Scenarios



Contents

Scenarios
Scenario: To provide a primary and replica server 1
Backup and restore practices 2
Backup and restore runtime requirements 3
Setting up a replica computer
Responding after significant replica server activity 4
Scenario: Request for a third-party certificate 4
Creating a certificate request 5
Importing a certificate
Certificate request problems
Scenario: Setup for SSL handshake between IBM
Security Key Lifecycle Manager server and client
device

	lt-signe									
certificate .									. 1	1
Exporting a s	erver c	ertifica	ate						. 1	2
Importing a c	client co	mmu	nicat	tior	ce	rtif	ica	te	. 1	2
Notices									 . 13	3
Terms and cond: Trademarks .										

Scenarios

Scenarios demonstrate how to apply technology to accomplish business goals and solve problems. They describe hypothetical business situations to bring the discussions to life.

These scenarios explore some of the first steps and some of the more advanced tasks that you can do by using IBM Security Key Lifecycle Manager. As a prerequisite for these scenarios, install the IBM Security Key Lifecycle Manager server and verify that its components are running.

Note: The user IDs, names, and passwords that are used in these scenarios are examples only.

Scenario: To provide a primary and replica server

To ensure continuous key and certificate availability to encrypting devices, configure a primary and a replica IBM Security Key Lifecycle Manager server for your enterprise. Then, provide repeated backup and restore actions that protect critical data.

On Windows systems and other systems, both systems must have the required memory, speed, and available disk space to meet the workload.

IBM Security Key Lifecycle Manager creates backup files in a manner that is independent of operating systems and directory structure of the application. You can restore the backup files to an operating system that is different from the one it was backed up from.

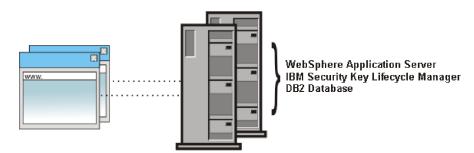


Figure 1. Primary and replica IBM Security Key Lifecycle Manager server

Before you create a replica server, catalog the requirements in your operation, which might include:

 Disaster recovery procedures that are unique to your site. The procedures might require ad hoc or periodic activities to ensure concurrent availability of a primary and replica IBM Security Key Lifecycle Manager server.

Your site might require periodic exercises to demonstrate that a simulated failure of a primary IBM Security Key Lifecycle Manager server causes an immediate response from a replica.

The IBM Security Key Lifecycle Manager server does not provide automatic failover. You must separately set up the necessary device controls to ensure that the replica server is available if the primary server fails.

- Initial installation and configuration of IBM Security Key Lifecycle Manager server and the devices in your installation that require keys and certificates. You might choose to also install and configure IBM Security Key Lifecycle Manager server and its prerequisites on another server, and set a schedule to back up and restore critical data.
- Cycles of time at which your organization normally changes keys and certificates.
 - If your organization replaces keys and certificates on a monthly or quarterly basis, ensure that the key materials and other data are backed up when new keys and certificates begin their usage cycle.
- Events that cause you to create a certificate request and send the request to a certificate authority.
 - Use the secure communication process that your site or the certificate authority requires. Run a backup to protect keys and data that are associated with a certificate request until the actual certificate returns.
- Upgrades and related middleware fix packs for the IBM Security Key Lifecycle Manager server.
 - Run a backup to ensure that the upgraded IBM Security Key Lifecycle Manager server has the same keys and other critical data that were in use immediately prior to the upgrade.

Backup and restore practices

When a change occurs, such as adding or changing devices, keys, and certificates, you must back up the IBM Security Key Lifecycle Manager critical data. IBM Security Key Lifecycle Manager provides a task that creates a backup file of configuration files, database, and other data. You can restore this backup file to an operating system that is different from the one it was backed up from.

Failure to back up your critical data properly might result in unrecoverable loss of all access to your encrypted data. Do not encrypt your backup file, or store a backup file on an encrypting device. Failure to back up data might also result in a later inconsistency of the key manager and potential data loss on the storage device.

You can follow these practices:

- Maintain both a primary IBM Security Key Lifecycle Manager server and at least one replica IBM Security Key Lifecycle Manager server that run concurrently. Ensure that a storage device has access to its keys if the primary server fails. The IBM Security Key Lifecycle Manager server does not provide automatic failover. You must separately set up the necessary device controls to ensure that the replica server is available if the primary server fails.
- Run the backup task whenever you add or change devices, keys, or certificates. Restore the IBM Security Key Lifecycle Manager backup file to a replica IBM Security Key Lifecycle Manager server.
- Do not make changes to the IBM Security Key Lifecycle Manager server on the replica computer under normal operating conditions in which a primary server is always available. If failure events cause significant activity on the replica server while the primary server is down, back up the replica server and restore the backup file to the primary server.

- Use only the IBM Security Key Lifecycle Manager backup and restore tasks to create a backup file. Use only IBM Security Key Lifecycle Manager to restore the data that the backup file contains. Do not take other manual steps to back up or to restore files.
- · Keep backup files in a safe place, separate from the computer on which the IBM Security Key Lifecycle Manager server runs. Ensure that function can be rebuilt on a replacement server if files on the primary IBM Security Key Lifecycle Manager server are lost. These files might reside at a geographically separate location.

Backup and restore runtime requirements

You must prevent timeout failure by increasing the time interval that is allowed for backup and restore transactions for large key populations. Specify a larger value for the totalTranLifetimeTimeout setting in the server.xml file.

WAS HOME/profiles/KLMProfile/config/cells/ SKLMCell/nodes/SKLMNode/servers/server1/server.xml

Additionally, these conditions must be true:

- Ensure that the task occurs during a time interval that allows a halt to key serving activity.
- For a backup task, the IBM Security Key Lifecycle Manager server must be running in a normal operational state. The IBM Security Key Lifecycle Manager database instance must be available.
- · For a restore task, the IBM Security Key Lifecycle Manager database instance must be accessible through the IBM Security Key Lifecycle Manager data source. Before you start a restore task, ensure that you have the password that was used when the backup file was created. Restored files must be written to the same IBM Security Key Lifecycle Manager server from which the data was previously backed up. Alternatively, the restored files must be written to a replica computer.
- Ensure that the directories, which are associated with the tklm.backup.dir property exist. Also, ensure read and write access to these directories for the system and IBM Security Key Lifecycle Manager administrator accounts under which the IBM Security Key Lifecycle Manager server and the DB2[®] server run.

Setting up a replica computer

A replica computer for IBM Security Key Lifecycle Manager must have the same or greater storage capacity and free disk space as the primary computer on which IBM Security Key Lifecycle Manager server customarily runs.

About this task

Use the IBM Security Key Lifecycle Manager installation program and repeat the same steps that you took on the primary computer.

Procedure

- 1. Obtain a computer that has the same or greater storage capacity and free disk space as the computer on which IBM Security Key Lifecycle Manager server customarily runs.
- 2. Install and configure an operating system and fixes on the replica computer to match the system on the computer on which IBM Security Key Lifecycle Manager server customarily runs.

3. Complete the installation steps and verification steps that are described in the "Installing and configuring" section on IBM Knowledge Center for IBM Security Key Lifecycle Manager.

What to do next

Configure and test the replica computer after you install and verify the primary computer on which IBM Security Key Lifecycle Manager customarily runs.

Verify that a current backup file that you create on the primary IBM Security Key Lifecycle Manager server can be successfully restored on the replica computer.

Responding after significant replica server activity

A replica server might have significant activity while the primary IBM Security Key Lifecycle Manager server is down. Select an announced maintenance interval, when network traffic is stopped, to back up the replica server and restore the backup file to the primary server.

About this task

No alerts are issued if the replica server provides keys to a device. Validate that there is actually a need to back up the replica computer and then restore the backup file to the primary server. For example, you might determine whether a write request caused a key to be served to a device. Use the <code>tklmServedDataList</code> command to query the database and to list served data. Less significant information might be available in the audit log for read requests from devices.

Procedure

- 1. At an announced time when network traffic is stopped, back up the replica computer.
- 2. Restore the backup file from the replica computer onto the primary computer on which IBM Security Key Lifecycle Manager server customarily runs.

What to do next

Verify that the primary IBM Security Key Lifecycle Manager server is active and that the backup file was successfully restored.

Scenario: Request for a third-party certificate

IBM Security Key Lifecycle Manager can generate a certificate request in PKCS #10 format that you can send to a certificate authority. Use the returned CA certificate to protect data on an encryption-enabled device, or for SSL communication.

- 1. Before you begin, determine whether the usage of the certificate is for SSL authentication, or for secure communication with 3592 tape drives or DS8000 Turbo drives.
- 2. For each of the certificates that you anticipate in your next business cycle, create a certificate request.

The generated certificate request files reside in the SKLM_HOME directory. For example, a generated certificate request might be a file such as SKLM HOME\080419154137-sslcert001.csr.

The certificate request file is an encoded, base64 format, which is not readable with an editor.

The certificate request file contains the base64 format information, including:

- The version number.
- The subject name, which is the X.500 name of the requestor. For example, an X.500 name contains values for a common name (cn), organization, and other values that identify the subject.
- The public key data and the algorithm unique identifier. You can use the algorithm, such as RSA or ECDSA.
- A generated signature for the data that is signed by the private key of the

The keystore database contains the private key that was used to generate the signature for the certificate request.

Additionally, information related to the certificate request is stored in the database. The information includes the X.500 subject name, the start, expiration, and retirement date, and other values for other attributes that are normally specified for a certificate, including a pending state for the certificate request. The values are updated when the returned certificate is imported.

- 3. Protect certificate requests until the certificate returns. It is important to run a backup task for the keystore database after you create and send a certificate request, just as when you change actual keys or certificates in a keystore database.
- 4. After ensuring that a backup file is in place, manually send a certificate request to your selected certificate authority, by using the secure communication process that your site or the certificate authority requires for e-mail or https transmission.
- 5. Import a returned certificate that matches an earlier certificate request. Upon receipt of a valid request, the certificate authority returns a DER, base64, or PEM encoded certificate to you. The certificate contains the public key that was provided in the certificate request, and a signature from the certificate authority, which specify that the public key is valid, and that your enterprise is the authentic owner. The certificate subject name is the X.500 subject name that you provided in the certificate request.
- 6. Again back up the keystore database, which contains the new certificate.

Creating a certificate request

Use the Create Certificate dialog, tklmCertGenRequest command, or Certificate Generate Request REST Service to create certificate requests.

About this task

Before you begin, determine your site policy and process to obtain certificates that are issued by a certificate authority.

Procedure

- 1. Navigate to the appropriate page or directory:
 - Graphical user interface:
 - a. Log on to the graphical user interface.
 - b. In the Key and Device Management section on Welcome page, select the 3592 or DS8000 device group.
 - c. Click Go to > Guided key and device creation.
 - d. Alternatively, right-click 3592 or DS8000 and select Guided key and device creation.
 - Command-line interface

a. Go to the <WAS_HOME>/bin directory. For example,

Windows

cd drive:\Program Files\IBM\WebSphere\AppServer\bin

Linux cd /opt/IBM/WebSphere/AppServer/bin

b. Start the **wsadmin** interface by using an authorized user ID, such as SKLMAdmin. For example,

Windows

wsadmin.bat -username SKLMAdmin -password mypwd -lang jython

Linux

./wsadmin.sh -username SKLMAdmin -password mypwd -lang jython

- · REST interface:
 - Open a REST client.
- 2. Request a certificate:
 - Graphical user interface:
 - a. On the Step 1: Create Certificates page, click Create.
 - b. On the Create Certificate dialog, select a certificate request for a third-party provider.
 - c. Specify values for the required and optional parameters.
 - d. Click Create Certificate.
 - Command-line interface:

Type tklmCertGenRequest to create a certificate request file. For example:

SSL communication

```
print AdminTask.tklmCertGenRequest('[-alias sklmSSLCertificate1
  -cn sklm -ou sales -o myCompanyName -locality myLocation
  -country US -validity 999 -keyStoreName defaultKeyStore
  -fileName mySSLCertRequest1.crt -usage SSLSERVER]')
```

- 3592 tape drives

```
print AdminTask.tklmCertGenRequest('[-alias sklmCertificate1
  -cn sklm -ou marketing -o CompanyName -locality myLocation
  -country US -validity 999 -keyStoreName defaultKeyStore
  -fileName myCertRequest1.crt -usage 3592]')
```

- DS8000 Turbo drives

```
print AdminTask.tklmCertGenRequest('[-alias sklmCertificate3
-cn sklm -ou sales -o myCompanyName -locality myLocation
-country US -validity 999 -keyStoreName defaultKeyStore
-fileName myCertRequest3.crt -usage DS8000]')
```

- · REST interface:
 - a. Obtain a unique user authentication identifier to access IBM Security Key Lifecycle Manager REST services. For more information about the authentication process, see Authentication process for REST services.
 - b. To invoke Certificate Generate Request REST Service, send the HTTP POST request. Pass the user authentication identifier that you obtained in Step a along with the request message as shown in the following example.
 - SSL communication

```
POST https://localhost:<port>/SKLM/rest/v1/certificates
Content-Type: application/json
Accept: application/json
Authorization: SKLMAuth authId=139aeh34567m
{"type":"certreq", "alias":"sklmSSLCertificate1", "cn":"sklm", "ou":
"sales", "o":
```

```
"myCompanyName", "usage": "SSLSERVER", "country": "US", "validity": "999",
   "fileName":
   "mySSLCertRequest1.crt","algorithm":"ECDSA"}
- 3592 tape drives
   POST https://localhost:9080/SKLM/rest/v1/certificates
   Content-Type: application/json
   Accept: application/json
   Authorization: SKLMAuth authId=139aeh34567m
   {"type":"certreq", "alias": "sklmCertificate1", "cn": "sklm", "ou":
   "sales", "o":
   "myCompanyName", "usage": "3592", "country": "US", "validity": "999",
   "fileName":
   "myCertRequest1.crt", "algorithm": "ECDSA"}

    DS8000 Turbo drives

   POST https://localhost:<port>/SKLM/rest/v1/certificates
   Content-Type: application/json
   Accept : application/json
   Authorization: SKLMAuth authId=139aeh34567m
   {"type":"certreg", "alias": "sklmCertificate3", "cn": "sklm", "ou":
   "sales", "o":
   "myCompanyName", "usage": "DS8000", "country": "US", "validity": "999",
   "fileName":
   "myCertRequest1.crt", "algorithm": "ECDSA"}
```

- 3. A success indicator varies, depending on the interface:
 - Graphical user interface:

The certificate or certificate request appears as an item in the **Certificates** table. Return to the Welcome page. On the Welcome page, in the Action Items, the certificate request appears as an item in the Pending Certificate table.

Command-line interface:

A completion message indicates success.

• REST interface:

The status code 200 0K indicates success.

What to do next

Manually send the certificate request to a certificate authority, by using the secure communication process that your organization provides. Additionally, retain the alias value of the certificate request, for use when you import the returned certificate, which must match a certificate request.

Importing a certificate

You can use the pending certificates link on the Welcome page of graphical user interface, the tklmCertImport CLI command, or Certificate Import REST Service to import a certificate that you earlier requested from a certificate authority.

About this task

Before you begin, ensure that the alias of the incoming certificate matches the alias of a previous certificate request, such as sklm cert1. Write the certificate file to a temporary directory.

Retrieve the alias of original certificate request, for use when you import the returned certificate, which must specify the correct alias.

To look up the X.500 subject name of a certificate request, to determine whether it matches the X.500 subject name of the certificate, run the **tklmCertList** command or **Certificate List REST Service**, by specifying the state attribute with a value of pending.

To look at the subject name of the certificate file, you might take these steps:

- Windows systems:
 - Open the certificate file directly. A Windows native utility displays the information in the certificate in readable format.
- · Other systems:

Import the certificate into IBM Security Key Lifecycle Manager by using a new alias. Then, run the **tklmCertList** command or the **Certificate List REST Service**, specifying the alias, to view the certificate information.

Procedure

- 1. Navigate to the appropriate page or directory:
 - Graphical user interface:

Log on to the graphical user interface. The Welcome page is displayed.

- Command-line interface
 - a. Go to the <WAS HOME>/bin directory. For example,

Windows

cd drive:\Program Files\IBM\WebSphere\AppServer\bin

Linux cd /opt/IBM/WebSphere/AppServer/bin

b. Start the **wsadmin** interface by using an authorized user ID, such as SKLMAdmin. For example,

Windows

wsadmin.bat -username SKLMAdmin -password mypwd -lang jython

Linux

./wsadmin.sh -username SKLMAdmin -password mypwd -lang jython

- · REST interface:
 - Open a REST client.
- 2. Import a certificate:
 - Graphical user interface
 - a. In the Action Items section of the Welcome page, in the Key Groups and Certificates area, click **You have pending certificates**.
 - b. In the **Pending Certificates** table, select the appropriate pending certificate
 - c. Click Import.
 - d. Click **Browse** to specify the certificate request file location under <*SKLM_DATA>*. For the definition of <*SKLM_DATA>*, see Definitions for *HOME* and other directory variables.
 - e. Click Import.
 - Command-line interface:

Type tklmCertImport to import a certificate. For example:

- SSL communication

```
print AdminTask.tklmCertImport
('[-fileName myTempPath\\mySSLCertRequest1.cer
   -alias sklmSSLCertificate1 -format base64
   -keyStoreName defaultKeyStore -usage SSLSERVER]')
```

- 3592 tape drives

```
print AdminTask.tklmCertImport \
  ('[-fileName myTempPath\\myCertRequest2.cer
   -alias sklmCertificate2 -format base64
   -keyStoreName defaultKeyStore -usage 3592]')
```

DS8000 Turbo drives

```
print AdminTask.tklmCertImport
  ('[-fileName myTempPath\\myCertRequest3.cer
   -alias sklmCertificate3 -format base64
   -keyStoreName defaultKeyStore -usage DS8000]')
```

- · REST interface
 - a. Obtain a unique user authentication identifier to access IBM Security Key Lifecycle Manager REST services. For more information about the authentication process, see Authentication process for REST services.
 - b. To invoke **Certificate Import REST Service**, send the HTTP POST request. Pass the user authentication identifier that you obtained in Step a along with the request message as shown in the following example.
 - SSL communication

```
POST https://localhost:<port>/SKLM/rest/v1/certificates/import
Content-Type: application/json
Accept: application/json
Authorization: SKLMAuth authId=139aeh34567m
{"fileName":"/mycertfilenam.base64", "alias", "sklmSSLCertificate1",
"format":"base64",
"usage":"SSLSERVER"}
```

- 3592 tape drives

```
POST https://localhost:<port>/SKLM/rest/v1/certificates/import Content-Type: application/json Accept: application/json Authorization: SKLMAuth authId=139aeh34567m {"fileName":"/mycertfilenam.base64", "alias", "sklmSSLCertificate2", "format":"base64", "usage":"3592"}
```

DS8000 Turbo drives

```
POST https://localhost:<port>/SKLM/rest/v1/certificates/import
Content-Type: application/json
Accept: application/json
Authorization: SKLMAuth authId=139aeh34567m
{"fileName":"/mycertfilenam.base64","alias","sklmSSLCertificate3",
"format":"base64",
"usage":"DS8000"}
```

- 3. A success indicator varies, depending on the interface:
 - Graphical user interface:

The pending certificate entry is removed from the **Pending Certificates** table on the Welcome page. If there are no more certificates to be imported, the **Pending Certificates** table is removed from the Action Items section of the Welcome page.

• Command-line interface:

A completion message indicates success.

· REST interface:

The status code 200 0K indicates success.

What to do next

Ensure that you back up the key materials to protect the certificate. Then, you might associate the certificate with one or more devices.

Certificate request problems

You must solve problems in either creating a certificate request, or enabling a returned certificate for use.

- Before you create a certificate request, solve these problems as administrator:
 - Problem: You might not have permission to write to the certificate request file. Alternatively, there might not be sufficient free disk space, or the database might not be available.
 - **Solution:** Ensure that your permissions are correct, that there is sufficient free disk space, and that the database connection is available. If not, make the appropriate corrections. Then, try the operation again.
 - Problem: A value is not specified for the common name. The common name (cn) is part of the unique identification for the certificate. For example, the value of cn is used in the subject name for a certificate, which can identify whether a certificate that is being imported matches an original certificate request.

Solution: Specify the common name for the certificate. Then, try the operation again.

- **Problem:** The certificate request file exists.

Solution: The file name that you specified in the certificate request matches an existing certificate request file name. Specify a different file name for the certificate request. For example, specify myUniqueRequest.crt. Then, try the operation again.

- When you import a returned CA certificate, solve these problems:
 - Problem: The subject name of the certificate that returned from a certificate authority does not match the subject name in the original certificate request.
 - **Solution:** Correct the file name or alias specification. Then, try the import operation again.
 - Problem: An error occurs while verifying the key and certificate. The
 certificate request that you submitted to a certificate authority and the
 certificate that returned, do not match.
 - **Solution:** The problem might be an internal processing error. Collect any information that might be in the audit log and then contact IBM Software Support.
 - Problem: The key in the certificate to be imported does not match the key in the original certificate request.
 - **Solution:** You attempted to match a returned certificate to an incorrect certificate request. Import the certificate by using an alias that corresponds to this response. Then, try the operation again.
 - Problem: When you import a certificate with the expiration year greater than 50 years, you might see these messages:

Using command-line interface

 ${\tt CTGKM0002E~Command~failed: javax.management.MBeanException:} \\ {\tt RuntimeException~thrown~in~RequiredModelMBean~while~trying~to~invoke~operation~importCertificate} \\$

Using graphical user interface

Cannot import certificate to the keystore. javax.management.MBeanException: RuntimeException thrown in RequiredModelMBean while trying to invoke operation importCertificate **Workaround:** The certificate expiration period cannot be greater than 50 years. To modify the expiration period, change the value of the **maximum.keycert.expiration.period.in.years** parameter in the SKLMConfig.properties file.

Scenario: Setup for SSL handshake between IBM Security Key Lifecycle Manager server and client device

The SSL handshake enables IBM Security Key Lifecycle Manager server and client devices to establish the connection for secure communication. IBM Security Key Lifecycle Manager provides the Server Configuration Wizard to configure server and the client device for SSL handshake.

You must complete the following steps in the wizard for SSL/TLS handshake:

- 1. Creating a self-signed SSL/KMIP server certificate.
- 2. Exporting the SSL/KMIP server certificate that is created in Step 1 to a certificate file in an encoded format for use by the client device. You can also export an existing certificate.
- 3. Importing client communication certificate to the IBM Security Key Lifecycle Manager server.

Creating a self-signed SSL/KMIP server certificate

As a first activity, you might create an SSL/KMIP server certificate for use with IBM Security Key Lifecycle Manager.

Procedure

- 1. Log on to the graphical user interface.
- 2. Click the Review the configuration parameters and/or create an SSL server certificate link.
 - Immediately after you install IBM Security Key Lifecycle Manager, the **Review the configuration parameters and/or create an SSL server certificate** link is the only available option to configure IBM Security Key Lifecycle Manager for SSL/TLS handshake with the client devices. This link is not visible if you previously created an SSL server certificate.
- Alternatively, on the Welcome page, click Configuration > SSL/KMIP > Launch Server Configuration Wizard.
- 4. Click Create SSL/KMIP Server Certificate.
- 5. On the Add SSL/KMIP Certificate dialog, select Create self-signed certificate.
- 6. Specify values for the parameters according to your requirements.
- 7. Click Create Certificate.

What to do next

You might need to export the IBM Security Key Lifecycle Manager SSL/KMIP server certificate that you created to a file in an encoded format for use by the client device. Click the **Export Certificate** link or click the **Export SSL/KMIP Server Certificate** tab. You can also export an existing SSL/KMIP server certificate by selecting **Use an existing certificate**. See "Exporting a server certificate" on page 12.

Exporting a server certificate

You must export the IBM Security Key Lifecycle Manager SSL/KMIP server certificate to a file in an encoded format for use by the client device. The client device imports this certificate for secure communication with the server.

Procedure

- 1. Log on to the graphical user interface.
- 2. On the Welcome page, click Configuration > SSL/KMIP > Launch Server Configuration Wizard.
- 3. To create a self-signed certificate, click Create SSL/KMIP Server Certificate. See the "Creating a self-signed SSL/KMIP server certificate" on page 11 topic for more information.
- 4. Click Export SSL/KMIP Server Certificate.
- 5. On the Export Certificate dialog, specify values for the parameters according to your requirements. For example, you might specify BASE64 or DER for certificate file format.
 - Certificate is exported to the location under *SKLM DATA*> directory. For the definition of <SKLM DATA>, see Definitions for HOME and other directory
- 6. Click Export Certificate.

What to do next

You might go the next step to import the client device communication certificate for secure communication between IBM Security Key Lifecycle Manager server and the client device. Click the Go to Next Step link or select Import SSL/KMIP Server Certificate. See "Importing a client communication certificate."

Importing a client communication certificate

You must import communication certificate to the IBM Security Key Lifecycle Manager server for secure communication with the client device.

Procedure

- 1. Log on to the graphical user interface.
- 2. On the Welcome page, click Configuration > SSL/KMIP > Launch Server Configuration Wizard.
- 3. To create a self-signed certificate, click Create SSL/KMIP Server Certificate. See the "Creating a self-signed SSL/KMIP server certificate" on page 11 topic for more information.
- 4. Click Export SSL/KMIP Server Certificate to export the IBM Security Key Lifecycle Manager SSL/KMIP server certificate to a file in an encoded format for use by the client device. See the "Exporting a server certificate" for more information.
- 5. Click Import SSL/KMIP Client Certificate.
- 6. On the Import Certificate dialog, specify values for the parameters according to your requirements.
- 7. Click Import.

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Index

В	import	scenario (continued)
_	certificate 12	certificate request (continued)
backup and restore backup files, securing 2		returned certificate 7
backup to primary 2	D	tklmCertGenRequest command 5
manual steps, avoiding 2	P	tklmCertImport command 7
primary and replica computer 2	pending	tklmCertList command 7
replica computer 3	certificate request, tklmCertList	primary and replica computer
runtime requirements	command 7	backup and restore 2
backup task 3	certificate table, certificate request 5	concurrently running 2
restore task 3	primary and replica computer	disaster recovery 1 failover not automatic 2
tklm.backup.dir property 3	concurrently running 2	initial installation 1
tklm.db2.backup.dir property 3	failover not automatic 2	ssl handshake
backup task	initial installation 1	server, client device 11
database accessible 3	scenario 1, 2	third-party certificate 4
IBM Security Key Lifecycle Manager	upgrading IBM Security Key Lifecycle	base64 format 4
running 3	Manager 1	database, information request 4
	primary computer	directory location 4
	replica concurrently running 2 restoring from replica, conditions 4	private key 4
C	problems, solutions for certificate	request, manually sending 4
certificate	request 10	returned 4
export 12	request	scenarios
import 12		IBM Security Key Lifecycle
certificate export	R	Manager 1
base64 12		ssl handshake
DER 12	replica computer	client device 11
Certificate Generate Request REST	activity on 4	server 11
Service, certificate request 5	audit log 4 backup	
certificate import 12	conditions 4	т
Certificate Import REST Service, returned	primary 2	.
certificate 7 certificate request	backup and restore 2	third-party certificate
alias, matching 7	offsite location 2	DER or base64 4
Certificate Import REST Service 7	requirements, identical to primary 1	directory location 4
Certificate List REST Service 7	restoring to primary 4	private key, request 4
create 5	scenario as backup 1	request base64 format 4
pending certificate table 5	Served Data List REST service 4	information in database 4
problems, solutions 10	setting up 3	manually sending 4
returned certificate 7	tklmServedDataList command 4	tklm.backup.dir, backup and restore 3
tklmCertImport command 7	replica computer, setting up 3	tklm.db2.backup.dir, backup and
tklmCertList command 7	restore task	restore 3
	database accessible 3	tklmCertGenRequest command, certificate
_	password requirement 3 primary computer 3	request 5
E	printary computer 5	tklmCertImport command, returned
export		certificate 7
certificate 12	S	tklmCertList command, pending
		certificate request 7
_	scenario	tklmServedDataList command, replica
F	certificate request	computer 4
failover not automatic, primary and	alias, matching 7 Certificate Generate Request REST	
replica computer 2	Service 5	W
1	Certificate Import REST Service 7	VV
_	Certificate List REST Service 7	wizard
	create 5	certificate, create 11
IBM Security Key Lifecycle Manager	pending certificate table 5	certificate, existing 11
scenarios 1	problems, solutions 10	
	•	