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Safety

Safety notices

Safety notices may be printed throughout this guide. **DANGER** notices warn you of conditions or procedures that can result in death or severe personal injury. **CAUTION** notices warn you of conditions or procedures that can cause personal injury that is neither lethal nor extremely hazardous. **Attention** notices warn you of conditions or procedures that can cause damage to machines, equipment, or programs.

There are no **DANGER** notices in this guide.

World trade safety information

Several countries require the safety information contained in product publications to be presented in their translation. If this requirement applies to your country, a safety information booklet is included in the publications package shipped with the product. The booklet contains the translated safety information with references to the US English source. Before using a US English publication to install, operate, or service this IBM® product, you must first become familiar with the related safety information in the *Systems Safety Notices*, G229-9054. You should also refer to the booklet any time you do not clearly understand any safety information in the US English publications.

Laser safety information

All System z® models can use I/O cards such as PCI adapters, ESCON®, FICON®, Open Systems Adapter (OSA), InterSystem Coupling-3 (ISC-3), or other I/O features which are fiber optic based and utilize lasers or LEDs.

Laser compliance

All lasers are certified in the US to conform to the requirements of DHHS 21 CFR Subchapter J for class 1 laser products. Outside the US, they are certified to be in compliance with IEC 60825 as a class 1 laser product. Consult the label on each part for laser certification numbers and approval information.

**CAUTION:**
Data processing environments can contain equipment transmitting on system links with laser modules that operate at greater than Class 1 power levels. For this reason, never look into the end of an optical fiber cable or open receptacle. (C027)

**CAUTION:**
This product contains a Class 1M laser. Do not view directly with optical instruments. (C028)
About this publication

This publication describes the design, components, functions, features, and capabilities of the IBM System z10® Enterprise Class (z10™ EC) models. It is intended for executives, data processing managers, data processing technical staff, consultants, and vendors who wish to exploit z10 EC advantages.

You should be familiar with the various publications listed in "Prerequisite publications" and "Related publications." A glossary and an index are provided at the back of this publication.

What is included in this publication

This publication contains the following chapters and appendices:
- Chapter 1, “Introduction,” on page 1
- Chapter 2, “Hardware characteristics,” on page 13
- Chapter 3, “Software support,” on page 33
- Chapter 4, “Channel subsystem (CSS) structure,” on page 37
- Chapter 5, “I/O connectivity,” on page 53
- Chapter 6, “Sysplex functions,” on page 81
- Chapter 7, “Cryptography,” on page 99
- Chapter 8, “Cabling,” on page 105
- Chapter 9, “Hardware Management Console and Support Element,” on page 111
- Chapter 10, “Reliability, Availability, and Serviceability (RAS),” on page 127
- Appendix A, “System z10 EC Version 2.10.2 purpose and description,” on page 141
- Appendix B, “Resource Link,” on page 143
- Appendix C, “Capacity upgrades,” on page 145
- Appendix D, “Notices,” on page 151

Revisions

A technical change to the text is indicated by a vertical line to the left of the change.

Prerequisite publications

Before reading this publication you should be familiar with IBM z/Architecture®, IBM S/390®, and IBM Enterprise Systems Architecture/390 (ESA/390) as described in the following publications:
- z/Architecture Principles of Operation, SA22-7832

Related publications

Important

Please ensure that you are using the most recent version of all related documentation.
Other IBM publications that you will find helpful and that you should use along with this publication are in the following list. You can access these books from Resource Link® under the Library section.

- System z Application Programming Interfaces, SB10-7030
- Common Information Model (CIM) Management Interface, SB10-7154
- System z10 Capacity on Demand User’s Guide, SC28-6871
- System z ESCON and FICON Channel-to-Channel Reference, SB10-7034
- System z Hardware Management Console Operations Guide, SC28-6873
- System z10 and System z9 Stand-Alone Input/Output Configuration Program User’s Guide, SB10-7152
- System z Input/Output Configuration Program User’s Guide for ICP IOCP, SB10-7037
- System z10 Enterprise Class Manual, GC28-6864
- System z10 Enterprise Class Installation Manual for Physical Planning, GC28-6865
- System z10 Processor Resource/Systems Manager Planning Guide, SB10-7153
- System z10 Support Element Operations Guide, SC28-6879
- System z Small Computer Systems (SCSI) IPL - Machine Loader Messages, SC28-6839
- Enterprise Systems Architecture/390 Common I/O-Device Commands, SA22-7204
- Enterprise Systems Architecture/390 Data Compression, SA22-7208
- Enterprise Systems Architecture/390 ESCON Channel-to-Channel Adapter, SA22-7203
- Enterprise Systems Architecture/390 ESCON I/O Interface, SA22-7202
- Enterprise System Architecture/390 System 360 and System 370 I/O Interface Channel to Control Unit OEMI, GA22-6974
- IBM Cabling System Optical Fiber Planning and Installation Guide, GA27-3943
- Introducing Enterprise Systems Connection, GA23-0383
- IBM System z Planning for Fiber Optic Links, GA23-0367
- Storage Subsystem Library Introduction to Nonsynchronous Direct Access Storage Subsystems, GC26-4519.

**Parallel Sysplex publications**

A Parallel Sysplex® system consists of two or more z/OS® images coupled by coupling links to a common Coupling Facility and synchronized by a common time source, such as Server Time Protocol (STP) or a Sysplex Timer®. A Parallel Sysplex can be used to present a single image to the user. A Parallel Sysplex can use the coupling facility to provide data sharing among the systems participating in the Parallel Sysplex.

The following publications provide additional information to help you understand and prepare for a Parallel Sysplex that uses coupling facility for data sharing purposes.

- z/OS Parallel Sysplex Application Migration, SA22-7662
- z/OS Parallel Sysplex Overview: Introducing Data Sharing and Parallelism in a Sysplex, SA22-7661
- z/OS MVS Setting Up a Sysplex, SA22-7625
OSA publications

The following publication provides a short synopsis of all new OSA function and descriptions and links to all the associated books in the OSA library:

- System z10, System z9 and eServer zSeries Open Systems Adapter-Express Customer’s Guide and Reference, SA22-7935

Cryptographic publications

The following publications provide additional information about the Cryptographic function:

- z/OS ICSF Trusted Key Entry PCIX Workstation User’s Guide, SA23-2211
- z/OS Integrated Cryptographic Service Facility Administrator’s Guide, SA22-7521
- z/OS Integrated Cryptographic Service Facility Messages, SA22-7523
- z/OS Integrated Cryptographic Service Facility Overview, SA22-7519
- OS/390 ICSF Trusted Key Entry Workstation User’s Guide 2000, GA22-7430
- OS/390 Integrated Cryptographic Service Facility Messages, SC23-3977
- OS/390 Integrated Cryptographic Service Facility Overview, GC23-3972
- Cryptographic Subsystem Concepts and Facilities, GC22-9063
- Data Security Through Cryptography, GC22-9062

Miscellaneous publications

The following publications provide additional miscellaneous information:

- IBM 3390 Direct Access Storage Introduction, GC26-4573
- IBM TotalStorage Enterprise Storage Server Host Systems Attachment Guide 2105 Models E10, E20, F10, and F20, SC26-7446
- IBM TotalStorage Enterprise Storage Server Introduction and Planning Guide, GC26-7444
- z/OS Communications Server New Function Summary, GC31-8771
- Server Time Protocol Planning Guide, SG24-7280
- Server Time Protocol Implementation Guide, SG24-7281
- Getting Started with InfiniBand on System z10 and System z9, SG24-7539

Related websites

The following websites provide additional System z10 EC information:

Resource Link

http://www.ibm.com/servers/resourcelink
Resource Link is a key element in supporting the System z10 EC product life cycle. Some of the main areas include:

- **Education**
- **Planning**
- **Library**
- **CHPID Mapping Tool**
- **Fiber Cabling**
- **Customer Initiated Upgrade (CIU).**

**Supported operating systems information:**

**Parallel Sysplex and coupling facility information:**

**FICON information:**

**Linux on System z information:**

**Note:** When searching, specify “Linux” instead of “All of dW.”

### Additional online information

Online information about the z10 EC system explaining how to define tasks and to aid in completing tasks is available on the Hardware Management Console. The following information is also on the Hardware Management Console:

- Application Programming Interfaces (API)
- Programming Interfaces for Java
- Common Information Model (CIM) Management Interface
- Coupling Facility Control Code (CFCC) commands
- Coupling Facility Control Code (CFCC) messages
- Hardware Management Console Operations Guide
- Support Element Operations Guide.

Help is available for panels, panel options, and fields on panels.

### Engineering change (EC) level considerations

Future enhancements available for System z10 EC models may be dependent on the EC level of the Central Processor Complex (CPC) and/or Hardware Management Console. Additionally, some enhancements may further be dependent on the Microcode Load (MCL) level of the EC on the CPC and/or Hardware Management Console. The required MCL level will be available to the IBM field representative.


### How to send your comments

Your feedback is important in helping to provide the most accurate and high-quality information. Send your comments by using Resource Link at [http://www.ibm.com/servers/resourcelink](http://www.ibm.com/servers/resourcelink) Select **Feedback** on the Navigation bar on the left. You can also send an email to reslink@us.ibm.com. Be sure to include the name of the book, the form number of the book, the version of the book, if
applicable, and the specific location of the text you are commenting on (for example, a page number, table number, or a heading).
Summary of changes

Summary of changes for the *System z10 EC System Overview, SA22-1084.*

Table 1. Summary of changes

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<td>06b</td>
<td>2/2011</td>
<td>This revision contains corrections to the Plan Ahead information.</td>
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<tr>
<td>06a</td>
<td>10/2010</td>
<td>This revision contains editorial changes.</td>
</tr>
<tr>
<td>06</td>
<td>07/2010</td>
<td>This revision contains editorial changes.</td>
</tr>
<tr>
<td>05a</td>
<td>01/2010</td>
<td>This revision contains editorial changes and the following technical changes:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Network traffic analyzer support for HiperSockets™</td>
</tr>
<tr>
<td>05</td>
<td>10/2009</td>
<td>This revision contains editorial changes and the following technical changes:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Crypto Express3 feature</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Cryptographic migration wizard on TKE for Crypto Express2 and Crypto Express3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• TKE 6.0 feature</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• TKE workstation (FC 0840) will be available 1Q2010</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• For OSA-Express3 1000BASE-T Ethernet feature (FC 3367), ability to use both</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ports on a single CHPID when configured as CHPID type OSC – exploitation of</td>
</tr>
<tr>
<td></td>
<td></td>
<td>four ports (available 1Q2010)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Optimized latency mode of OSA-Express3 operating in QDIO mode (CHPID type OSD)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Capacity for Planned Event (CPE) allows you to select the capacity to meet</td>
</tr>
<tr>
<td></td>
<td></td>
<td>your business needs rather than providing temporary access to all dormant</td>
</tr>
<tr>
<td></td>
<td></td>
<td>capacity (available 4Q2009)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Ability to perform problem analysis for FICON channel link errors of attached</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Support Elements using the Fibre channel analysis task on the HMC.</td>
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<td>04</td>
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<td></td>
<td></td>
<td>• FICON Express8 feature</td>
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<tr>
<td></td>
<td></td>
<td>• Linux on System z: Novell SUSE SLES 11 support.</td>
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<td>03</td>
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<tr>
<td></td>
<td></td>
<td>• STP enhancements</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• User ID and password required to download internal code from IBM Support System</td>
</tr>
<tr>
<td></td>
<td></td>
<td>to removable media</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• CBU expiration date and test activation changes</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Worldwide Port Name (WWPN) prediction tool</td>
</tr>
<tr>
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<td>• EAL5 certification.</td>
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Table 1. Summary of changes (continued)

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<th>Release Level</th>
<th>Date</th>
<th>Changes in Level</th>
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<td>This revision contains editorial changes and the following technical changes:</td>
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<tr>
<td></td>
<td></td>
<td>• Availability of registration information on the name server for FICON and FCP</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• High Performance FICON for System z (zHPF) on FICON Express4 and FICON Express2 function</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• OSA-Express3 1000BASE-T Ethernet feature</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• OSA-Express3 10 GbE SR (2 ports per feature) with multimode fiber optic cabling</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• InfiniBand® coupling links (1x IB-SDR and 1x IB-DDR) for unrepeated distances up to 10 km (6.2 miles)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• HMC acting as an NTP server providing the ability to obtain its time from the internet or an NTP server</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Pulse per second (PPS) support to enhance accuracy in obtaining time source</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• CFCC Level 16</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Longer personal account number for stronger data protection on Crypto Express2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• TKE 5.3 feature</td>
</tr>
<tr>
<td>01</td>
<td>05/2008</td>
<td>This revision contains editorial changes and the following technical changes:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Enhanced OSA-Express3 support</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Plan Ahead for Line Cords, Plan Ahead for Balanced Power, and Plan Ahead for I/O Expansion options.</td>
</tr>
</tbody>
</table>
Chapter 1. Introduction

The IBM System z10 Enterprise Class (z10 EC) represents the latest state of the art scalable server designed and optimized for growth and large-scale consolidation as well as the premier platform to host traditional mission critical workloads along side new application workloads. The z10 EC provides an advanced combination of scalability, availability, reliability, security, and virtualization.

Built with modular book design, the z10 EC delivers higher total system capacity, memory, and I/O bandwidth. The z10 EC is focused on providing a strong combination of mainframe characteristics plus new functions designed around scalability, availability, and security. The intent of the z10 EC is to help increase the flexibility to configure a server to meet current needs, provide a powerful and advanced data serving environment, and help reduce risks and business costs without sacrificing features or functions.

With a range of five models, the z10 EC delivers enriched functions over the previous System z servers:
- Increased processing power
- Increased memory
- Improved availability
- Increased I/O capacity
- Increased options for specialty engines
- Enhanced security
- Enhanced network and On Demand offerings
- Enhanced system management
- Enhanced virtualization.

The z10 EC allows virtualization of resources such as:
- Sharing without boundaries.
Empowerment of business by applying intelligence to adapt and optimize to changing requirements.

Smart and secure management of global transactions.

Positioning the mainframe at the center of a heterogeneous on-demand infrastructure.

To address the growing complexity of fiber optic connectivity in the Information Technology (IT) infrastructure, IBM Site and Facilities Services is introducing scalable fiber optic cabling services to satisfy e-business infrastructure requirements at both the product-level and the enterprise-level. See Chapter 8, “Cabling,” on page 105 for more information. You can also access Resource Link at http://www.ibm.com/servers/resourcelink and click Services on the navigation bar for the network cabling services.

z10 EC highlights

The z10 EC is designed and optimized for growth and large-scale consolidation. The z10 EC provides:

- **Up to 64 customer processor units (PUs)**
- **Up to 384 GB of memory per book**
- **Up to 12 PUs available for subcapacity use**
- **Maximum available real memory:**
  - Up to 1.5 TB of maximum available memory available on a z10 EC Model E54 and Model E60 server
  - Up to 1.0 TB of maximum available memory supported on a z10 in any LPAR.
- **Reduction in the preplanning requirements by:**
  - Providing a fixed HSA (16 GB)
  - Reducing the number of Power-on-Resets
  - Allowing dynamic add/remove of a new LPAR to a new or existing LCSS.
- **Coupling using InfiniBand**
  A System z10 to System z10 connection is provided by an InfiniBand Double Data Rate (12x IB-DDR) fiber optical link with a link data rate of 6 Gigabytes per second (GBps) and maximum link distance of 150 meters (492 feet), or by an 1x IB-SDR fiber optical link or 1x IB-DDR fiber optical link with a maximum unrepeated distance of 10 kilometers (6.2 miles) and a maximum repeated distance of 100 kilometers (62 miles). 1x IB-SDR has a link data rate of 2.5 Gbps (Gigabits). 1x IB-DDR has a link data rate of 5 Gbps (Gigabits).
  A System z10 to System z9® connection is provided by an InfiniBand Single Data Rate (12x IB-SDR) fiber optical link with a link data rate of 3 Gigabytes per second (GBps) and maximum link distance of 150 meters (492 feet).
- **Integrated Cluster Bus-4 (ICB-4)**
  The ICB-4 link is a member of the family of coupling link options. ICB-4 operates at STI speeds, and it is used by coupled servers to pass information back and forth over high speed links in a Parallel Sysplex environment when the distance between servers is no greater than 7 meters or 23 feet.
  ICB-4 links are not supported on Model E64.
- **InterSystem Coupling-3 (ISC-3)**
  The ISC-3 link is a member of the family of coupling link options. ISC-3 has a link data rate of 2 Gbps. It is used by coupled servers to pass information back and forth over high speed links in a Parallel Sysplex environment for unrepeated distances up to 10 km (6 miles) and repeated distances up to 100 km (62 miles).
• **IBM System z10 Integrated Information Processor (zIIP)**
  zIIP is a specialty engine designed to help improve resource optimization and lower the cost of eligible workloads enhancing the role of the mainframe as the data hub of the enterprise.

• **IBM System z10 Application Assist Processor (zAAP)**
  zAAP is a specialty engine that provides an attractively priced Java execution environment if you want the traditional qualities of service and the integration advantages of the System z10 EC platform.

• **Integrated Facility for Linux (IFL)**
  An IFL is a specialty engine that provides additional processing capacity exclusively for Linux on System z workloads.

• **Internal Coupling Facility (ICF)**
  An ICF is a specialty engine that provides additional processing capability exclusively for the execution of the Coupling Facility Control Code (CFCC) in a coupling facility partition.

• **MBA fanout cards**
  Memory Bus Adapter (MBA) fanout cards contain the STI connectors that allow you to connect the ICB-4 cable from one machine to another. This card is typically used when connecting a z10 EC machine to a z9® EC, z9 BC, z990, or z890 server.

• **HCA (Host Channel Adapter) fanout cards (HCA2-C (copper), HCA2-O (optical), and HCA2-O LR (optical))**
  HCA2-C (copper) cards have InfiniBand connections used for internal I/O on a z10 BC. HCA2-O and HCA2-O LR (optical) cards have InfiniBand connections used for coupling on a z10 EC.

• **Server Time Protocol function** provides:
  - Improved time synchronization for z10 EC, z10 BC, z9 EC, z9 BC, z990, and z890 servers.
  - Increased multisite sysplex distance to 100 km.
  - Coexistence of servers and coupling facilities (CFs) synchronized in an ETR network with servers and CFs that are synchronized with Coordinated Server Time (CST)
  - Concurrent migration from an ETR network
  - Messaging over ICB-4 links, ISC-3 links, and InfiniBand (IFB) links
  - NTP client support. The NTP client attaches to an NTP server that provides time accuracy across heterogeneous platforms in an enterprise
  - Enhanced accuracy to an external time source using pulse per second (PPS) output from NTP server
  - Use of the HMC as an NTP server configured for use as the external time source
  - Continuous availability of NTP servers used as an external time source
  - Enhanced STP recover when the Internal Battery Feature is in use
  - Ability to save the STP configuration and time information across Power on Resets (POR) or power outages for a single or dual server STP-only CTN
  - Automation of STP CTN reconfiguration using the System z application programming interface (API).
  - Ability to notify z/OS when events related to accessing an external time source occur.

• **Internet Protocol Version 6 (IPv6) support**
  IPv6 is available for the HMC and SE customer network, the TKE network connection to operating system images, and HiperSockets. IPv6 is the protocol designed by the Internet Engineering Task Force (IETF) to replace Internet Protocol Version 4. IPv6 expands the IP address space from 32 bits to 128 bits enabling a far greater number of unique IP addresses.
• **Power estimating and monitoring functions:**
  – Power Estimator tool on Resource Link
  – Monitoring of power consumption and thermal loading on the Activity task on the HMC
  – Support for IBM Systems Director Active Energy Manager™ for x86, IBM Systems Director Active Energy Manager for POWER®, and IBM Systems Director Active Energy Manager for Linux on System z, which can monitor power and thermal data for z10 EC, as well as other systems.

• **Capacity on Demand functions, which include:**
  – Ability to perform a permanent LICCC upgrade while temporary resources are active
  – Ability to install and activate multiple temporary records at any given time
  – Ability to activate partial resources on a single temporary record
  – Disaster recovery solutions:
    Capacity for Planned Events (CPE) - Short range - 3 days
    Capacity Backup (CBU) - Long range - 90 days
  – Capacity provisioning, which provides a means of managing your processing capacity based on business needs
  – Ability to prepay for On/Off CoD upgrades
  – Ability to set spending limits when ordering an On/Off record
  – 36 available subcapacity settings.

• **HiperSockets** performance improvements with the Multiple Write facility support and ability to host both non-IP workloads (with Layer 2 support) and IP workloads (with Layer 3 support). Ability to diagnose network problems using a network traffic analysis tool.

• **HiperDispatch** helps provide increased scalability and performance of higher n-way and multi-book System z10 systems by improving the way workload is dispatched across the server. HiperDispatch accomplishes this improvement by recognizing the physical processor where the work was started and then dispatching subsequent work to the same physical processor. This intelligent dispatching helps reduce the movement of cache and data, and improves CPU time and performance.

  Support to dynamically optimize the CPU-to-book allocation of physical processor (PUs).

  HiperDispatch is available only with System z10 PR/SM™ and z/OS functions.

• **Large page support (1 MB pages)** provides performance improvement for a select set of applications, primarily long running memory access intensive applications.

• **Reduced impact of planned and unplanned server outages** through:
  – Enhanced book availability
  – Redundant I/O interconnect
  – Enhanced driver maintenance
  – Dynamic oscillator switchover
  – Program directed re-IPL
  – Concurrent MBA fanout card hot-plug
  – System-initiated CHPID reconfiguration
  – Concurrent HCA fanout card hot-plug and rebalance.

• **Enhanced driver maintenance** allows Licensed Internal Code (LIC) updates to be performed in support of new features and functions. When properly configured, z10 EC is designed to support activating a selected new LIC level concurrently. Certain LIC updates are not supported by this function.

• **Dynamic oscillator switchover**
The z10 EC has two oscillator cards, a primary and a backup. If a primary card failure occurs, the backup card is designed to detect the failure, switch over, and provide the clock signal to the server transparently.

- **Enhanced book availability** allows a single book, in a multibook server, to be concurrently removed from the server and reinstalled during an upgrade or repair action. Enhanced book availability is an extension of the support for Concurrent Book Add (CBA).

- **Flexible memory** provides the additional resources to maintain a constant level of memory.

- **Redundant I/O interconnect** helps maintain critical connections to devices. The z10 EC allows a single book, in a multibook server, to be concurrently removed and reinstalled during an upgrade or repair, continuing to provide connectivity to the server I/O resources using a second path from a different book.

- **Up to 60 logical partitions (LPARs)**

- **Server consolidation**
  The expanded capacity and enhancements to the I/O infrastructure facilitates the consolidation of multiple servers into one z10 EC with increased memory and LPARs, which might allow you to reduce the number of servers while hosting additional applications.

  z10 EC provides the ability to define up to four logical channel subsystems (LCSS). Each LCSS can support up to 256 CHPID definitions and 60 LPARs.

- **ESCON (16 ports)**

- **Frame bolt-down kit**
  A bolt-down kit is available for a low raised floor installation (9 - 13 inches) and a high raised floor installation (12 - 22 inches).

- **FICON Express8, FICON Express4, FICON Express2, and FICON**
  **FICON Express8** features:
  - FICON Express8 10KM LX (4 channels per feature)
  - FICON Express8 SX (4 channels per feature)
  **FICON Express4** features:
  - FICON Express4 10KM LX (4 channels per feature)
  - FICON Express4 4KM LX (4 channels per feature)
  - FICON Express4 SX (4 channels per feature)
  **FICON Express2** features:
  - FICON Express2 LX (4 channels per feature)
  - FICON Express2 SX (4 channels per feature)
  **FICON Express®** features:
  - FICON Express LX (2 channels per feature)
  - FICON Express SX (2 channels per feature)

  Enhancements:
  - zHPF (FICON Express8, FICON Express4 and FICON Express2 features (CHPID type FC))
  - Extended distance (FICON Express8, FICON Express4 and FICON Express2 features (CHPID type FC))
  - SCSI IPL function is part of the base system.

- **OSA-Express3 and OSA-Express2**

  **Note:** OSA-Express features are no longer supported.

  **OSA-Express3** features:
  - OSA-Express3 GbE LX (4 ports per feature)
  - OSA-Express3 GbE SX (4 ports per feature)
  - OSA-Express3 1000BASE-T Ethernet (4 ports per feature)
- OSA-Express3 10 GbE LR (2 ports per feature)
- OSA-Express3 10 GbE SR (2 ports per feature)

OSA-Express2 features:
- OSA-Express2 GbE LX (2 ports per feature)
- OSA-Express2 GbE SX (2 ports per feature)
- OSA-Express2 1000BASE-T Ethernet (2 ports per feature)
- OSA-Express2 10 GbE LR (1 port per feature)

**Cryptographic options:**
- Configurable Crypto Express3 feature.
- Configurable Crypto Express2 feature. (Crypto Express2 replaces PCICA and PCIXCC.)
- CP Assist for Cryptographic Function (CPACF), which delivers cryptographic support on every PU with data encryption/decryption. CPACF also provides a high performance secure key function that ensures the privacy of key material used for encryption operations.
  CPACF support includes AES for 128-, 192-, and- 256-bit keys; SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512 for message digest; PRNG, DES, and TDES
  Using the Support Element, you can enable or disable the encrypt DEA key and encrypt AES key functions of the CPACF.
- User Defined Extension (UDX), which is supported by Crypto Express2 and Crypto Express3.
- Remote loading of ATMs and POS keys.
- Dynamically add, move, or delete a Crypto Express2 or Crypto Express3 feature to or from an LPAR.
- Cryptographic migration wizard on TKE for migrating configuration data from one Cryptographic coprocessor to another Cryptographic coprocessor.
- The tamper-resistant hardware security module, which is contained within the Crypto Express2 and Crypto Express3 is designed to meet the FIPS 140-2 Level 4 security requirements for hardware security modules.

**Fiber Quick Connect (FQC),** an optional feature, is a fiber harness integrated in the System z10 EC frame for a “quick” connect to ESCON and FICON LX channels.

**CFCC Level 16 support**
**TKE 6.0 Licensed Internal Code (LIC) support**
**z/VM-mode partition (LPAR) support** to contain processor types (CPs, IFLs, zIIPs, zAAPs, and ICFs)
**Plan ahead memory,** an optional feature, allows you to preplan to future memory upgrades. The memory upgrades can be made nondisruptively and also concurrently.

**Worldwide Port Name (WWPN) prediction tool**
The WWPN prediction tool assists you in preplanning and setting up your Storage Area Networks (SANs) environment before the installation of your System z10 server. Therefore, you can be up and running much faster after the server is installed. This tool applies to all FICON channels defined as CHPID type FCP (for communication with SCSI devices). The WWPN prediction tool is located on Resource Link.

**EAL5 certification**
The z10 EC server received the Common Criteria Evaluation Assurance Level 5 (EAL5) certification level (Evaluation Assurance Level 5) for the security of its LPARs that run under the control of the Processor Resource/Systems Manager™ (PR/SM).


**Enhanced security using digital signatures**

Digitally Signed Firmware (Licensed Internal Code) support provided by the HMC and the SE. This support provides the following benefits:

- Ensures that no malware can be installed on System z products during firmware updates (such as, transmission of MCL files, delivery of code loads, and restoration of critical data)
- Designed to comply to FIPS (Federal Information Processing Standard) 140-2 Level 1 for Cryptographic LIC (Licensed Internal Code) changes.

**z10 EC models**

The z10 EC is offered in five models. The model naming is representative of the maximum number of customer configurable processor units (PUs) in the system. PUs are delivered in single engine increments orderable by feature code. The model number also reflects the number of books installed.

The z10 EC models, corresponding feature codes, and maximum number of books allowed are:

- **IBM Model E12** (FC 1117) - One book
- **IBM Model E26** (FC 1118) - Two books
- **IBM Model E40** (FC 1119) - Three books
- **IBM Model E56** (FC 1120) - Four books
- **IBM Model E64** (FC 1121) - Four books

The following table lists the five z10 EC models and some of their characteristics, such as range of PUs allowed, the memory range of each model, and number of InfiniBand connections, the range of I/O cages that can be installed, and the maximum number of orderable channels.

<table>
<thead>
<tr>
<th>Models</th>
<th>Processor Units (PUs)</th>
<th>Memory</th>
<th>InfiniBand connections</th>
<th>I/O Cages</th>
<th>Maximum Channels</th>
</tr>
</thead>
<tbody>
<tr>
<td>E12</td>
<td>1 to 12</td>
<td>16 GB to 352 GB</td>
<td>16</td>
<td>1 to 3</td>
<td>960</td>
</tr>
<tr>
<td>E26</td>
<td>1 to 26</td>
<td>16 GB to 752 GB</td>
<td>32</td>
<td>1 to 3</td>
<td>1024</td>
</tr>
<tr>
<td>E40</td>
<td>1 to 40</td>
<td>16 GB to 1136 GB</td>
<td>40</td>
<td>1 to 3</td>
<td>1024</td>
</tr>
<tr>
<td>E56</td>
<td>1 to 56</td>
<td>16 GB to 1520 GB</td>
<td>48</td>
<td>1 to 3</td>
<td>1024</td>
</tr>
<tr>
<td>E64</td>
<td>1 to 64</td>
<td>16 GB to 1520 GB</td>
<td>48</td>
<td>1 to 3</td>
<td>1024</td>
</tr>
</tbody>
</table>

**Notes:**
1. An additional 16 GB is delivered and reserved for HSA.
2. With the addition of the third and fourth books, air flow concerns require that you reduce the number of fanout cards plugged to increase cooling.
3. Support for 1024 CHPIDs is dependent on the operating system support.

The system model number does not reflect the number of processors that are enabled for use. It reflects only the maximum number of customer-used processors that can be enabled when purchased.

The CP features offered have varying levels of capacity. The capacity setting is based on the quantity and type of CP feature. It is identified by a *model capacity indicator*. The model capacity indicator identifies the number of active CPs rather than the total physical PUs purchased and identifies the type of capacity. The
model capacity indicators are identified as 7xx, 6xx, 5xx, and 4xx, where xx is the number of active CP features. 7xx is a full capacity identifier. 6xx, 5xx, and 4xx are subcapacity identifiers. Subcapacity CP features provide reduced capacity relative to the full capacity CP feature. While you can only have up to 12 subcapacity CPs, you are not limited to one book.

For example, model capacity indicator “700” indicates no active CPs at full capacity. Model capacity indicator “510” indicates 10 active CP5 type PUs.

Table 3. Model capacity indicators

<table>
<thead>
<tr>
<th>PU Type (Capacity Identifier)</th>
<th>Allowable Quantity</th>
<th>Notes</th>
</tr>
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<tbody>
<tr>
<td>Full capacity, CP7 (7xx)</td>
<td>00 - 12</td>
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<tr>
<td></td>
<td>00 - 26</td>
<td></td>
</tr>
<tr>
<td></td>
<td>00 - 40</td>
<td></td>
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<td></td>
<td>00 - 56</td>
<td></td>
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<td></td>
<td>00 - 64</td>
<td></td>
</tr>
<tr>
<td>Subcapacity, CP6 (6xx)</td>
<td>1 - 12</td>
<td>Note 1. Depends on the 2097 model.</td>
</tr>
<tr>
<td>Subcapacity, CP5 (5xx)</td>
<td>1 - 12</td>
<td>Note 2. For all 2097 models.</td>
</tr>
<tr>
<td>Subcapacity, CP4 (4xx)</td>
<td>1 - 12</td>
<td></td>
</tr>
</tbody>
</table>

Notes:
1. Depends on the 2097 model.
2. For all 2097 models.

Performance

With the expanded capacity of the z10 EC and enhancements to the I/O infrastructure, IBM continues to facilitate the consolidation of multiple servers into one z10 EC with a substantial increase in:

- Available memory
- Advanced virtualization technologies
- LPARs
- Speed using InfiniBand
- Available processors in a single footprint
- 4.4 GHz high frequency z10 quad core processor chip.

IBM’s Large Systems Performance Reference (LSPR) method provides comprehensive z/Architecture processor capacity data for different configurations of Central Processor Units across a wide variety of system control program and workload environments. The LSPR ratios defining the capacity for z10 EC are associated with the 701 - 764 model names as discussed in the previous section.


Resource Link

Resource Link is a key component in getting your z10 EC server up and running and maintained. Key areas of improvement include the Customized planning aids, CHPID Mapping Tool, Customer Initiated Upgrades (CIU), power estimation tool, and additional education courses. See Appendix B, “Resource Link,” on page 143 for detailed information about Resource Link and all the functions that it can assist you with your z10 EC processor.
**Fiber optic cabling**

To serve the cabling needs of System z customers, IBM Site and Facilities Services has fiber optic cabling services available whether the requirements are product-level or enterprise-level. These services consider the requirements for the protocols and media types supported on the System z10 (for example, ESCON, FICON, Coupling Links, OSA-Express) whether the focus is the data center, the Storage Area network (SAN), the Local Area Network (LAN), or the end-to-end enterprise.

The IBM Site and Facilities Services is designed to deliver convenient, packaged services to help reduce the complexity of planning, ordering, and installing fiber optic cables. The appropriate fiber cabling is selected based upon the product requirements and the installed fiber plant.

See Chapter 8, “Cabling,” on page 105 for additional information.

**z/Architecture**

The System z10 EC, like its predecessors, support 24-, 31-, and 64-bit addressing, as well as multiple arithmetic formats. High-performance logical partitioning using Processor Resource/System Manager (PR/SM) is achieved by industry-leading virtualization support provided by z/VM. The z/Architecture also provides key technology features such as HiperSockets and the Intelligent Resource Director, which result in a high speed internal network and an intelligent management with dynamic workload prioritization and physical resource balancing.

z/Architecture has:

- High-frequency z10 quad core processor chip (4.4 GHz operation in system)
- Hardware accelerators on the chip for data compression, cryptographic functions, and decimal floating point
- Integrated SMP communications
- Instructions added to z10 chip to improve compiled code efficiency
- Enablement for software/hardware cache optimization
- System z10 EC support for 1 MB page frames
- Full hardware support for Hardware Decimal Floating-point Unit (HDFU)
- 64-bit general registers
- 64-bit integer instructions. Most ESA/390 architecture instructions with 32-bit operands have new 64-bit and 32- to 64-bit analogs
- 64-bit addressing is supported for both operands and instructions for both real addressing and virtual addressing
- 64-bit address generation. z/Architecture provides 64-bit virtual addressing in an address space, and 64-bit real addressing.
- 64-bit control registers. z/Architecture control registers can specify regions and segment, or can force virtual addresses to be treated as real addresses
- The prefix area is expanded from 4 K to 8 K bytes
- Quad-word storage consistency
- The 64-bit I/O architecture allows CCW indirect data addressing to designate data addresses above 2 GB for both format-0 and format-1 CCWs.
- The 64-bit SIE architecture allows a z/Architecture server to support both ESA/390 (31-bit) and z/Architecture (64-bit) guests and Zone Relocation is expanded to 64-bit for LPAR and z/VM
• 64-bit operands and general registers are used for all cryptographic instructions

The implementation of 64-bit z/Architecture can help reduce problems associated with lack of addressable memory by making the addressing capability unlimited (16 Exabytes).

z/Architecture also includes the following functions:
• ASN-and-LX-Reuse Facility
• Compare-and-Swap-and-Store Facility
• Compare-and-Swap-and-Store Facility 2
• Conditional-SSKE Facility
• Configuration-Topology Facility
• DAT-Enhancement Facility 1
• DAT-Enhancement Facility 2
• Decimal-Floating-Point Facility
• Decimal-Floating-Point-Rounding Facility
• Enhanced-DAT Facility
• ETF2-Enhancement Facility
• ETF3-Enhancement Facility
• Execute-Extensions Facility
• Extended-Immediate Facility
• Extended-1/O-Measurement-Block Facility
• Extended-1/O-Measurement-Word Facility
• Extended-Translation Facility 2
• Extended-Translation Facility 3
• Extract-CPU-Time Facility
• Fibre-channel-extensions (FCX) Facility
• Floating-Point-Support-Sign-Handling Facility
• FPR-GR-Transfer Facility
• General-Instructions-Extension Facility
• HFP Multiply-and-Add/Subtract Facility
• HFP-Unnormalized-Extensions Facility
• IEEE-Exception-Simulation Facility
• List-Directed Initial Program Load
• Long-Displacement Facility
• Message-Security Assist
• Message-Security-Assist Extension 1
• Message-Security-Assist Extension 2
• Message-Security-Assist Extension 3
• Modified CCW Indirect Data Addressing Facility
• Move-With-Optional-Specifications Facility
• Multiple-Subchannel-Set Facility
• Parsing-Enhancement Facility
• PER-3 Facility
• PPPO Facility
• Restore-Subchannel Facility
• Server-Time-Protocol Facility
• Store-Clock-Fast Facility
• Store-Facility-List-Extended Facility
• TOD-Clock-Steering Facility

For more detailed information about z/Architecture, see z/Architecture Principles of Operation.
Upgrade progression

You can upgrade within the System z10 EC family. However, an upgrade to a Model E64 from another z10 EC model requires a planned outage.

You can also upgrade from a zSeries® 990 (z990) model and System z9 EC model to a z10 EC model.

An upgrade includes all frames, cages, support cards, and new I/O features.

Unsupported features/functions

This section lists the features/functions that are not supported on z10 EC.

OSA-Express
OSA-Express is not supported on the z10 EC.

PCIXCC and PCICA
PCIXCC and PCICA are not supported on the z10 EC.

ICB-3 Links
ICB-3 links are not supported on the z10 EC.

ICB-2 Links
ICB-2 links are not supported on the z10 EC.

ISC-3 Links in Compatibility Mode
ISC-3 links in compatibility mode are not supported on the z10 EC (CHPID types CFS and CFR).
Chapter 2. Hardware characteristics

This chapter describes the hardware features and functions for the five z10 EC models, E12, E26, E40, E56, and E64 (machine type 2097).

Note: You can also see System z10 Enterprise Class Installation Manual for Physical Planning, available on Resource Link at http://www.ibm.com/servers/resourcelink, for initial system physical planning requirements.

System frame configuration

The z10 EC frames are enclosures built to Electronic Industry Association (EIA) standards. The A frame (CEC frame) and Z frame (I/O feature frame) make up the z10 EC configuration as shown in the following figure.

The basic A frame consists of:
- Processor cage
- Input/Output (I/O) cage for channel attachment capability
- Cooling equipment.

The basic Z frame consists of:
- Two optional I/O cages
- Two internal Support Elements (SEs)
- System power supply.
Both the A frame and the Z frame can include the optional Internal Battery Features (IBFs). They are installed in pairs in the top of the A frame and Z frame. There can be up to six IBFs installed. See “Internal Battery Feature” on page 26 for more information.

**Note:** If all book positions are not used in the CPC, the following are required:
- Air block book for book positions not containing a physical book
- (ETR) cards air flow book for unpopulated positions.

**Central Processor Complex (CPC)**

The Central Processor Complex (CPC) consists of one to four books connected using a point-to-point SMP network. Point-to-point connectivity allows the books to be plugged from left to right, but due to airflow and heating restrictions, a plugging sequence will be specified. This design provides growth paths up to a 64 engine system where each of the 64 PUs have full access to all system resources, specifically memory and I/O.

Each CPC cage basically consists of:
- One to four books, each book containing:
  - One Multichip Module (MCM)
  - 48 memory DIMMs
  - Up to eight fanout cards (HCA, MBA)
  - Two FSP cards
- Three Distributed Converter Assembly (DCA) cards. The DCA cards are mounted directly to the rear of the book.
- Two Oscillator (OSC) cards.
- Two ETR cards.

**Books**

A z10 EC system consists of one to four books. A book is a physical package containing memory, an MCM, the HCA and MBA cards, and the FSP cards. The books are referred to as the first book, second book, third book, and fourth book. Due to airflow considerations, the books are plugged into the slots in the CPC cage in a specific sequence — the first book plugs into slot 6, the second book plugs into slot 15, the third book plugs into slot 10, and the fourth book plugs into slot 1. Figure 3 on page 15 displays the book positions in the CPC cage of the z10 EC.
The number of processor books in your system determines which z10 EC model you have. Figure 4 identifies these models. Figure 4 also identifies available PUs, the number of SAPs and spares provided to you as standard PUs, and the MCM size for each book on each z10 EC model.

Multichip Module (MCM)
The z10 EC models E12, E26, E40, and E56 use 17 PU MCMs. The Model E64 uses one 17 PU MCM and three 20 PU MCMs.
A Processor Unit (PU) is the generic term for the z/Architecture processor on the Multichip Module (MCM) that can be characterized as a:
- Central Processor (CP) to be used by the operating system
- Internal Coupling Facility (ICF) to be used by the Coupling Facility Control Code (CFCC)
- Integrated Facility for Linux (IFL)
- Additional System Assist Processors (SAPs) to be used by the CSS
- IBM z10 Integrated Information Processor (zIIP)
- IBM z10 Application Assist Processor (zAAP).

Table 4 lists the number of physical processor units (PUs) per z10 EC model. For each z10 EC model, you must select at least one CP, IFL, or ICF as shown in Table 4. Any remaining PUs can be assigned as additional SAPs or additional spares, or can be assigned to optional functions such as ICFs, IFLs, zIIPs, zAAPs, CPs, CIU, On/Off CoD, CBU, or CPE engines, as indicated in Table 4.

Table 4. PUs per z10 EC model

<table>
<thead>
<tr>
<th>Model</th>
<th>PUs</th>
<th>Active PUs</th>
<th>CPs ¹</th>
<th>ICF ¹</th>
<th>IFL / uIFLS ¹</th>
<th>zAAPs ²</th>
<th>zIIP ²</th>
<th>SAPs Std</th>
<th>SAPs Opt</th>
<th>Spare PUs</th>
<th>Memory (GB)</th>
<th>CBU, CIU, On/Off CoD, CPE PUs Available</th>
</tr>
</thead>
<tbody>
<tr>
<td>E12</td>
<td>12</td>
<td>CP 0 - 12</td>
<td>0 - 12</td>
<td>0 - 12</td>
<td>0 - 6</td>
<td>0 - 6</td>
<td>3</td>
<td>0 - 3</td>
<td>2</td>
<td>16 to 352</td>
<td>0 - 12</td>
<td></td>
</tr>
<tr>
<td>E26</td>
<td>26</td>
<td>CP 0 - 26</td>
<td>0 - 16</td>
<td>0 - 16</td>
<td>0 - 13</td>
<td>0 - 13</td>
<td>6</td>
<td>0 - 7</td>
<td>2</td>
<td>16 to 752</td>
<td>0 - 26</td>
<td></td>
</tr>
<tr>
<td>E40</td>
<td>40</td>
<td>CP 0 - 40</td>
<td>0 - 16</td>
<td>0 - 16</td>
<td>0 - 20</td>
<td>0 - 20</td>
<td>9</td>
<td>0 - 11</td>
<td>2</td>
<td>16 to 1136</td>
<td>0 - 40</td>
<td></td>
</tr>
<tr>
<td>E56</td>
<td>56</td>
<td>CP 0 - 56</td>
<td>0 - 16</td>
<td>0 - 16</td>
<td>0 - 28</td>
<td>0 - 28</td>
<td>10</td>
<td>0 - 18</td>
<td>2</td>
<td>16 to 1520</td>
<td>0 - 56</td>
<td></td>
</tr>
<tr>
<td>E64</td>
<td>64</td>
<td>CP 0 - 64</td>
<td>0 - 16</td>
<td>0 - 16</td>
<td>0 - 64 / 63</td>
<td>0 - 32</td>
<td>11</td>
<td>0 - 21</td>
<td>2</td>
<td>16 to 1520</td>
<td>0 - 64</td>
<td></td>
</tr>
</tbody>
</table>

Notes:
1. Only one CP, ICF, or IFL is required for any model. The total number of PUs purchased cannot exceed the total number available for that model.
2. One CP must be installed with or before any zIIPs or zAAPs that are installed. The total number of zIIPs and zAAPs installed must be less than or equal to the number of unassigned and installed CPs installed on a single machine.
3. PU selection is completed by identifying the number of features when ordering.
4. Minimum storage is 16 GB for all models.

Central Processor (CP): A Central Processor (CP) is a PU that has the z/Architecture and ESA/390 instruction sets. It can run z/OS, z/VM, z/VSE®, z/TPF, and Linux on System z operating systems and the Coupling Facility Control Code (CFCC). z10 EC PUs operate only in LPAR mode; consequently all CPs are dedicated to an LPAR or shared between LPARs. Reserved CPs can also be defined to an LPAR to allow for nondisruptive image upgrades.

All CPs within a configuration are grouped into a CP pool. Any z/VM, z/OS, z/VSE, TPF, z/TPF, and Linux on System z operating systems can run on CPs that were assigned from the CP pool. Within the capacity of the z10 EC books, CPs can be concurrently added to an existing configuration permanently by using CIU or CUoD, or temporarily by using On/Off CoD, CBU, or CPE.

Internal Coupling Facility (ICF): An ICF provides additional processing capability exclusively for the execution of the Coupling Facility Control Code (CFCC) in a coupling facility partition. Depending on the model, optional ICF can be ordered. ICFs can only be used in coupling facility partitions. However, it can
be shared or dedicated because only CFCC runs on these PUs. Software licensing charges are not affected by the addition of ICFs. For more information, see “Coupling facility” on page 87.

**Integrated Facility for Linux (IFL):** An IFL feature provides additional processing capacity exclusively for Linux on System z workloads with no effect on the System z10 model designation. An IFL can only be used in Linux on System z or z/VM LPARs. However, it can be shared or dedicated because only Linux on System z software runs on these CPs.

IFL is an optional feature for System z10. Up to 64 IFL features can be ordered for System z10 EC models, depending upon the server model and its number of maximum unused PUs.

Software licensing charges are not affected by the addition of IFLs. For more information about software licensing, contact your IBM representative.

The IFL enables you to:

- Add processing capacity dedicated to running Linux on System z.
- Run multiple Linux on System z images independently of the traditional z/Architecture, with associated savings of IBM z/Architecture.
- Define many virtual Linux on System z images on fewer real System z10 EC resources.

As with any change in the LPAR configuration of a processor, the introduction of additional resources to manage can have an impact on the capacity of the existing LPARs and workloads running on the server. The size of the impact is dependent on the quantity of added resources and the type of applications being introduced. Also, carefully evaluate the value of sharing resources (like CHPIDs and devices) across LPARs to assure the wanted balance of performance, security, and isolation has been achieved.

**IBM System z10 Applications Assist Processor (zAAP):** The System z10 Application Assist Processor is a specialized processor unit that provides a Java execution environment for a z/OS environment. zAAPs are designed to operate asynchronously with the CPs to execute Java programming under control of the IBM Java Virtual Machine (JVM).

When configured with CPs within LPARs running z/OS, zAAPs can help increase CP productivity and can contribute to lowering the overall cost of computing for z/OS and z/OS.e Java technology-based applications. zAAPs are designed to operate asynchronously with the CPs to execute Java programming under control of the IBM Java Virtual Machine (JVM). This can help reduce the demands and capacity requirements on CPs, which may then be available for reallocation to other z10 EC workloads.

The IBM JVM processing cycles can be executed on the configured zAAPs with no anticipated modifications to the Java application. Execution of the JVM processing cycles on a zAAP is a function of the Software Developer’s Kit (SDK) 1.4.1 for System z10, System z9, zSeries, z/OS, and Processor Resource/Systems Manager (PR/SM).
**Note:** The zAAP is a specific example of an assist processor that is known generically as an Integrated Facility for Applications (IFA). The generic term IFA often appears in panels, messages, and other online information relating to the zAAP.

z/VM 5.3 or later supports zAAPs for guest exploitation.

**IBM System z10 Integrated Information Processor (zIIP):** The IBM System z10 Integrated Information Processor (zIIP) is a specialty engine designed to help improve resource optimization, enhancing the role of the server as the data hub of the enterprise. The z/OS operating system, on its own initiative or acting on the direction of the program running in SRB mode, controls the distribution of work between the general-purpose processor (CP) and the zIIP. Using a zIIP can help free capacity on the general-purpose processor.

z/VM 5.3 or later supports zIIPs for guest exploitation.

**System Assist Processor (SAP):** A SAP is a PU that runs the channel subsystem Licensed Internal Code (LIC) to control I/O operations. One of the SAPs in a configuration is assigned as a master SAP. It is used for communication between the z10 EC books and the Support Element. All SAPs perform I/O operations for all LPARs.

A standard SAP configuration provides a well-balanced system for most environments. However, there are application environments with high I/O rates (typically some TPF environments), and in this case additional SAPs can increase the capability of the channel subsystem to perform I/O operations. Additional SAPs can be added to a configuration by either ordering optional SAPs or assigning some PUs as SAPs. Orderable SAPs may be preferred because they do not incur software charges, as might happen if PUs are assigned as SAPs.

**z/VM-mode LPARs:** System z10 EC allows you to define a z/VM-mode LPAR containing a mix of processor types including CPs and specialty processors (IFLs, zIIPs, zAAPs, and ICFs). This support increases flexibility and simplifies systems management by allowing z/VM 5.4 or later to manage guests to operate Linux on System z on IFLs, operate z/VSE and z/OS on CPs, offload z/OS system software overhead, such as DB2® workloads, on zIIPs, and provide an economical Java execution environment under z/OS on zAAPs, all in the same VM LPAR.

**Memory**
Each z10 EC CPC has its own processor memory resources. CPC processor memory can consist of both central and expanded storage.

**Central Storage (CS):** Central storage consists of main storage, addressable by programs, and storage not directly addressable by programs. Nonaddressable storage includes the Hardware System Area (HSA). Central storage provides:
- Data storage and retrieval for the Processor Units (PUs) and I/O
- Communication with PUs and I/O
- Communication with and control of optional expanded storage
- Error checking and correction.

Part of central storage is allocated as a fixed-sized Hardware System Area (HSA), which is not addressable by application programs. See "Hardware System Area (HSA)" on page 20 for further information.
In z/Architecture, storage addressing is 64 bits, allowing for an addressing range up to 16 Exabytes. Consequently, all central storage in a z10 EC (up to 1520 GB) can be used for central storage.

**Key-controlled storage protection** provides both store and fetch protection. It prevents the unauthorized reading or changing of information in central storage.

Each 4 KB block of storage is protected by a 7-bit storage key. For processor-initiated store operations, access key bits 0-3 from the active program status word (PSW) are compared with bits 0-3 from the storage key associated with the pertinent 4 KB of storage to be accessed. If the keys do not match, the central processor is notified of a protection violation, the data is not stored, and a program interruption occurs. The same protection is active for fetch operations if bit 4 of the storage key (the fetch protection bit) is on. See *System z10 Processor Resource/Systems Manager Planning Guide* for more information about central storage.

**Expanded Storage (ES):** Expanded storage can optionally be defined on z10 EC servers. It is controlled by the control program, which can transfer 4 KB pages between expanded storage and central storage. The control program can use expanded storage to reduce the paging and swapping load to channel-attached paging devices in a storage-constrained environment and a heavy-paging environment.

The z10 EC models offer a flexible storage configuration which streamlines the planning effort by providing a single storage pool layout at IML time. The storage is placed into a single pool which can be dynamically converted to ES and back to CS as needed. LPARs are still specified to have CS and optional ES as before. Activation of LPARs as well as dynamic storage reconfigurations causes the LPAR to convert the storage to the type needed.

The control program initiates the movement of data between main storage (the addressable part of central storage) and expanded storage. No data can be transferred to expanded storage without passing through main storage. With z10 EC models, a dedicated move page engine assists in efficiently transferring data between main and expanded storage. See *System z10 Processor Resource/Systems Manager Planning Guide* for more information about expanded storage.

**Memory cards:** Up to 48 memory cards reside within a book. The physical card capacity of each card is 4 GB and 8 GB. The sum of enabled memory on each card is the amount available for use in the system.

The following list contains some general rules for memory.
- Each book can contain different physical capacities from other books.
- The physical memory card capacity is not required to be identical on each book installed in the system.
- Larger capacity cards can be used for repair actions and manufacturing substitution. LICCC dials down to the ordered size.
- Memory downgrades are not supported.
- Minimum memory orderable on any model is 16 GB.
- Memory can only be upgraded in 16 GB increments between the defined minimum and maximum.
- Changing an installed physical memory card is not a disruptive action using Enhanced Book Availability.
• LICCC dialing is used to offer concurrent memory upgrades within the physical memory card installed.

**Hardware System Area (HSA):** The HSA contains the CPC Licensed Internal Code (LIC) and configuration-dependent control blocks. HSA is not available for program use. The HSA has a fixed size of 16 GB. Customer storage is no longer be reduced due to HSA size increase on a GA upgrade within the same machine family because an additional 16 GB is always delivered and reserved for HSA.

**Error Checking and Correction (ECC):** Data paths between central storage and expanded storage (if configured), and between central storage and the central processors and channels are checked using either parity or Error Checking and Correction (ECC). Parity bits are included in each command or data word. ECC bits are stored with data in central storage. ECC codes apply to data stored in and fetched from central storage. Memory ECC detects and corrects single bit errors. Also, because of the memory structure design, errors due to a single memory chip failure are corrected. Unrecoverable errors are flagged for follow-up action. ECC on z10 EC models is performed on the memory data bus as well as memory cards.

**Fanout cards**

z10 EC servers can have one to four books installed in the CPC. Each book has eight (8) fanout slots and each fanout slot can accommodate one fanout card. There are three main fanout cards – an MBA fanout card, an HCA2-O fanout card, an HCA2-O LR fanout card, and an HCA2-C fanout card. The number of fanout cards allowed in each book depends on the number of books installed. See Table 5 for the maximum number of fanout cards allowed.

<table>
<thead>
<tr>
<th>Book Structure</th>
<th>Maximum # of combined MBA and HCA fanout cards allowed in the first book</th>
<th>Maximum # of combined MBA and HCA fanout cards allowed in the second book</th>
<th>Maximum # of combined MBA and HCA fanout cards allowed in the third book</th>
<th>Maximum # of combined MBA and HCA fanout cards allowed in the fourth book</th>
<th>Maximum # of combined MBA and HCA fanout cards in the structure</th>
</tr>
</thead>
<tbody>
<tr>
<td>One-book structure</td>
<td>8</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>8</td>
</tr>
<tr>
<td>Two-book structure</td>
<td>8</td>
<td>8</td>
<td>-</td>
<td>-</td>
<td>16</td>
</tr>
<tr>
<td>Three-book structure</td>
<td>8</td>
<td>6</td>
<td>6</td>
<td>-</td>
<td>20</td>
</tr>
<tr>
<td>Four-book structure</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>24</td>
</tr>
</tbody>
</table>

The fanout cards are inserted in a specific sequence from bottom-to-top of the book — first are MBA fanout cards (used for ICB-4s), next are HCA2-C fanout cards used for I/O, and finally the HCA2-O and HCA2-O LR fanout cards for coupling over InfiniBand.

When configuring the machine to use the best RAS characteristics, we want to use IFBs equally from each installed fanout card in each book and plug each I/O type equally across those fanout cards in those books.
Memory Bus Adapter (MBA) fanouts: An MBA fanout card is used for ICB-4 attachment and provides two Self-Timed Interconnect (STIs) operating at a link rate of 2.0 GBps. The MBA fanout card is primarily used to connect a z10 EC or z10 BC to a z9 EC or z9 BC machine. (However, it can connect two System z10 EC machines.)

Host Channel Adapter (HCA) fanouts: There are four types of HCA fanout cards: HCA2-C, HCA2-O, HCA2-O LR, and HCA1-O. The HCA2-C (copper) card is used for internal connection to the I/O cage only. The HCA2-O, HCA2-O LR, and HCA1-O (optical) fanout cards are used for coupling using an InfiniBand connection. The HCA2-O fanout is designed to support a two-port 12x IB-DDR optical link operating at a link rate of 6 GBps. The HCA2-O LR fanout is designed to support a two-port 1x IB-SDR or 1x IB-DDR optical link. The 1x IB-SDR link operates at a link rate of 5.0 Gigabits per second (Gbps); the 1x IB-SDR link operates at a link rate of 2.5 Gigabits per second (Gbps). The HCA1-O fanout is designed to support a two-port 12x IB-DDR optical link operating at a link rate of 3 GBps. (The HCA1-O fanout is for z9 only.)

Distributed Converter Assembly (DCA) cards
The Distributed Converter Assembly (DCA) cards are DC-to-DC converter cards in the CPC cage that convert −350 volts DC to logic voltages. There are a three DCA cards per book in the CPC.

Oscillator (OSC) card
Two quarter high oscillator (OSC) cards are standard on z10 EC. These oscillator cards serve as a primary card and a backup card. If the primary oscillator card fails, the backup card detects the failure and continue to provide the clock signal preventing an outage due to an oscillator failure.

External Time Reference (ETR) card
There are two External Time Reference (ETR) cards (FC FPH601) on a z10 EC. ETR is a standard feature on System z10 EC. Each ETR card connects to a different Sysplex Timer in an expanded availability configuration. Each card has an ETR port supporting an MT-RJ fiber optic connector to provide the capability to attach to a Sysplex Timer and a PPS input port supporting a coaxial connector to provide the capability to attach to the PPS output of an NTP server.

Connectivity to the Sysplex Timer Model 2 is supported for those environments not yet migrating to STP on z10 EC. Customer-supplied cabling with an MT-RJ connector and 62.2 micron multimode fiber optic cabling continues to be required.

The Sysplex Timer is a centralized time source that sets the Time-of-Day (TOD) clocks in all attached servers to maintain synchronization. The Sysplex Timer can provide the stepping signal that ensures that all TOD clocks in a multisystem environment increment in unison to permit full read/write data sharing with integrity.

If you are not using Server Time Protocol (STP), the Sysplex Timer is a mandatory hardware requirement for a sysplex consisting of more than one CPC. Additionally, the CPCs must be connected to the same External Time Reference (ETR) network.

If you are using STP, the Sysplex Timer is not required for an STP-only Coordinated Timing Network (CTN). STP is designed for servers that have been configured to be in a Parallel Sysplex or a basic sysplex (without a Coupling Facility) as well as servers that are not in a sysplex, but need to be time synchronized.
For more information about the parallel sysplex environment, see Chapter 6, “Sysplex functions,” on page 81.

The z10 EC server, implemented in the server’s Support Element Licensed Internal Code (LIC), requires the ETR Network ID of the attached Sysplex Timer network to be manually set in the Support Element at installation time. This function checks that the ETR Network ID being received in the timing signals via each of the server’s two ETR ports matches the ETR Network ID manually set in the server’s Support Element. This function provides greater checking; therefore, it helps eliminate cabling errors where either server ETR port may be incorrectly connected to Sysplex Timer units of an incorrect Sysplex Timer ETR network. If the ETR Network ID received on one or both server ETR ports does not match the value set in the server’s Support Element, that ETR port state is made semi-operational by the server. Timing signals are still received from the semi-operational ETR port, but are not used for stepping the server TOD clock. This has some important operational considerations at sysplex system IPL time as well as for running sysplex systems. Another important value of this function is that it allows verification of cabling connectivity from the Sysplex Timer to the z10 EC server before IPLing z/OS.

If the z10 EC server is using STP, configured in a Mixed CTN, and directly connected to the Sysplex Timer, connections to the ETR ports are required. If the z10 EC server is using STP and configured in an STP-only CTN using NTP with pulse per second as the external time source, cables are required from the PPS output of the NTP server to the PPS port on the External Time Reference (ETR) card.

I/O cages

There is always an I/O cage in the bottom of the A frame. An I/O cage is included even if no I/O cards are in the configuration. The z10 EC supports up to three total I/O cages. Additional I/O cages can be driven by either the total number of cards in a configuration, plan-ahead for additional I/O, or because of the cage power budget. The placement of the second I/O cage is at the bottom of the Z frame. The placement of the third I/O cage is at the top of the Z frame.

The I/O cages support ESCON, FICON, ISC-3, OSA-Express2, OSA-Express3, Crypto Express2, and Crypto Express3 features. An I/O cage allows you to add channels up to the amount supported by a particular I/O cage and the CPC. There is a system maximum of 1024 channels.

**Note:** Installation of I/O cages is disruptive.

Figure 5 on page 41 is an example of the I/O cage layout for z10 EC.

I/O features

The I/O cards that are supported in the I/O cages are shown in Table 6 on page 24. There are a total of 84 slots in three I/O cages (28 I/O slots per cage). You can also refer to Chapter 5, “I/O connectivity,” on page 53 for more detailed information about the I/O channels and adapters.

**Notes:**

1. The Crypto Express3 and Crypto Express2 features use I/O slots. The Crypto Express3 feature has two PCIe adapters, and the Crypto Express2 feature has two PCI-X adapters. The Crypto Express3 and Crypto Express2 features do not
have ports and do not use fiber optic cables. They are not defined in the IOCDS and, therefore, do not receive a CHPID number. However, they are assigned a cryptographic number.

2. ICB-4 connects directly from an STI port on an MBA fanout card on a z10 EC server to an STI port on an MBA fanout card on a z9 EC or z9 BC server.

3. HCA2-O and HCA2-O LR is not an I/O feature. It is a fanout feature in the CEC cage. Each fanout feature has two ports supporting InfiniBand coupling links.
<table>
<thead>
<tr>
<th>Feature</th>
<th>Max features</th>
<th>Maximum connections</th>
<th>Channels/Links/Adapters per feature</th>
<th>Purchase increment</th>
</tr>
</thead>
<tbody>
<tr>
<td>16-port ESCON (FC 2323)</td>
<td>69</td>
<td>1024 channels</td>
<td>16 channels²</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express8 10KM LX (FC 3325)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express8 SX (FC 3326)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express4 10KM LX (FC 3321)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express4 4KM LX (FC 3324)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express4 SX (FC 3322)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express2 LX (FC 3319)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express2 SX (FC 3320)</td>
<td>84</td>
<td>336 channels</td>
<td>4 channels</td>
<td>4 channels</td>
</tr>
<tr>
<td>FICON Express LX (FC 2319)</td>
<td>60</td>
<td>120 channels</td>
<td>2 channels</td>
<td>2 channels</td>
</tr>
<tr>
<td>FICON Express SX (FC 2320)</td>
<td>60</td>
<td>120 channels</td>
<td>2 channels</td>
<td>2 channels</td>
</tr>
<tr>
<td>OSA-Express3 GbE LX (FC 3362)</td>
<td>24</td>
<td>96 ports</td>
<td>4 ports</td>
<td>4 ports</td>
</tr>
<tr>
<td>OSA-Express3 GbE SX (FC 3363)</td>
<td>24</td>
<td>96 ports</td>
<td>4 ports</td>
<td>4 ports</td>
</tr>
<tr>
<td>OSA-Express3 1000BASE-T Ethernet (FC 3367)</td>
<td>24</td>
<td>96 ports</td>
<td>4 ports</td>
<td>4 ports</td>
</tr>
<tr>
<td>OSA-Express3 10 GbE LR (FC 3370)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>OSA-Express3 10 GbE SR (FC 3371)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>OSA Express2 GbE LX (FC 3364)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>OSA Express2 GbE SX (FC 3365)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>OSA Express2 1000BASE-T Ethernet (FC 3366)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>OSA-Express2 10 GbE LR (FC 3368)</td>
<td>24</td>
<td>48 ports</td>
<td>2 ports</td>
<td>2 ports</td>
</tr>
<tr>
<td>Crypto Express3 (FC 0864)²</td>
<td>8</td>
<td>16 PCIe adptrs</td>
<td>2 PCIe adptrs</td>
<td>2 PCIe adptrs</td>
</tr>
<tr>
<td>Crypto Express2 (FC 0863)²</td>
<td>8</td>
<td>16 PCI-X adptrs</td>
<td>2 PCI-X adptrs</td>
<td>2 PCI-X adptrs</td>
</tr>
<tr>
<td>ISC-3¹</td>
<td>12</td>
<td>48 links⁴</td>
<td>4 links</td>
<td>1 link</td>
</tr>
<tr>
<td>ICB-4¹</td>
<td>8</td>
<td>16 links⁴</td>
<td>2 links</td>
<td>1 link</td>
</tr>
<tr>
<td>IFB (12x IB-DDR)¹</td>
<td>16</td>
<td>32 links⁴</td>
<td>2 links</td>
<td>2 links</td>
</tr>
<tr>
<td>IFB (1x IB-DDR)¹</td>
<td>16</td>
<td>32 links⁴</td>
<td>2 links</td>
<td>2 links</td>
</tr>
</tbody>
</table>

Notes:
1. A minimum of one I/O feature (ESCON or FICON) or one coupling link (ICB-4, ISC-3, IFB) is required.
2. Each ESCON feature has 16 channels, of which a maximum of 15 can be activated. One is reserved as a spare.
3. A maximum number of combined FICON Express8, FICON Express4, FICON Express2, and FICON Express features is 84.
4. A maximum number of coupling links combined cannot exceed 64 per server. (ICB-4, active ISC-3, and IFB links)
5. ICB-4s and IFBs are not included in the maximum feature count for I/O slots, but they are included in the CHPID count.
6. An initial order for Crypto Express3 is four PCIe adapters (two features). An initial order for Crypto Express2 is four PCI-X adapters (two features). Each PCI-X adapter or PCIe adapter can be configured as either a coprocessor or an accelerator.
7. FICON Express and FICON Express2 features can be carried forward on an upgrade, but they cannot be ordered.
8. ICB-4 links are not supported on Model E64.
**Distributed Converter Assembly (DCA) cards**
The Distributed Converter Assembly (DCA) cards are DC-to-DC converter cards in the I/O cages that convert −350 volts DC to logic voltages. There are two DCA cards in each I/O cage.

**PSC24V card**
The PSC24V card is a Power Sequence Control (PSC) card used to turn on/off specific control units from the CPC. The PSC24V card in the I/O cage provides the physical interface between the cage controller and the PSC boxes, located outside the I/O cage in the system frame. Two PSC boxes are connected by twin tail cables to a D15 connector on the PSC24V card. Each PSC box then provides 16 power control interfaces to external devices. You can only have one PSC per I/O cage.

*Note:* The PSC24V card is **not** hot pluggable.

For more information about PSC, see [“Power sequence controller” on page 31](#).

**Support Element**
The z10 EC is supplied with two integrated laptop computers that function as a primary and alternate Support Elements (SEs). Mounted inside the Z frame, the SEs communicate with the CPC and with each other through the service network. The SE sends hardware operations and management controls to the Hardware Management Console for the CPC and allows for independent and parallel operational control of a CPC from the Hardware Management Console. The second, or alternate SE is designed to function as a backup and to preload SE Licensed Internal Code. Power for the SE is supplied by the server frame. See [Figure 2 on page 13](#) to view the location of the SEs on a z10 EC.

The SE contains the following:
- Licensed Internal Code for the CPC.
- Hardware system definitions for the CPC (contained in the reset, image, and load profiles for the CPC and IOCDs).
- Battery-powered clock used to set the CPC time-of-day (TOD) clock at power-on reset. In ETR timing mode, CPC attachment to a Sysplex Timer causes the CPC TOD clock to take its time setting from the Sysplex Timer. In STP timing mode, the CPC TOD clock is initialized to Coordinated Server Time (CST).
- Two 10/100 Mbps Ethernet LAN adapters to connect the Support Element to the Hardware Management Console through an external Ethernet switch. An ethernet switch (FC 0089) is required to provide an ethernet attachment between the HMC and the internal SEs.
- An ethernet mini-PCI LAN adapter or LAN on board to connect the Support Element to the CPC through the power service network.

For more detailed information about the Support Element, see Chapter 9, [“Hardware Management Console and Support Element,” on page 111](#) or [System z10 Support Element Operations Guide](#).

**Support Element attachment to HMC**
The Support Elements have dual Ethernet LAN adapters used to communicate with Hardware Management Consoles. This is offered as part of the initial order or as a Manufacturing Engineering Specification (MES). An ethernet switch (FC 0089) is required to provide an ethernet attachment between the HMC and the internal SEs. An Ethernet switch (FC 0089) is shipped automatically on every order unless you deselected FC 0089.
System power supply

The system power supply provides the control structure to support the z10 EC power requirements for the CPC cage and three I/O cages.

The z10 EC power subsystem basic components include:
- Bulk Power Assembly (BPA) - provides the prime power conversion and high voltage DC distribution.
- Bulk Power Controller (BPC) - is the main power controller and cage controller for the BPA.
  The BPC is the principal control node for the z10 EC diagnostic/service and power/cooling system. It is the cage controller for the BPA cage and connects to both Ethernet service networks.
- Bulk Power Distribution (BPD) - distributes -350 VDC and RS422 communications to logic cage power Field Replaceable Units (FRUs).
- Bulk Power Fan (BPF) - is a cooling device.
- Bulk Power Regulator (BPR) - is the main front-end power supply that converts line voltage to regulated -350 VDC.
- Bulk Power Enclosure (BPE) - is the metal enclosure that contains the back plane.
- Bulk Power Hub (BPH) - provides Ethernet connection to the Support Element and all cage controllers in the system (ETRs, BPCs, processor book FSPs, and I/O cage DCAs).
- Internal Battery Feature (IBF) - provides battery power to preserve processor data if there is a power loss.
- Distributed Converter Assemblies (DCAs).

Internal Battery Feature

The optional Internal Battery Feature (FC 3211) provides the function of a local uninterruptible power source. It has continuous self-testing capability for battery backup which has been fully integrated into the diagnostics, including Remote Service Facility (RSF) support.

The IBF provides battery power to preserve processor data if there is a power loss on both of the AC supplies from the utility company.

In the event of input power interruption to the system, the IBF provides sustained system operation for the times listed in the following table.

Table 7. System IBF hold up times

<table>
<thead>
<tr>
<th>Model</th>
<th>with 1 I/O Cage</th>
<th>with 2 I/O Cages</th>
<th>with 3 I/O Cages</th>
</tr>
</thead>
<tbody>
<tr>
<td>E12 - 1 book</td>
<td>9 minutes¹</td>
<td>10 minutes¹</td>
<td>10 minutes¹</td>
</tr>
<tr>
<td>E26 - 2 books</td>
<td>9 minutes¹</td>
<td>6 minutes²</td>
<td>4.5 minutes²</td>
</tr>
<tr>
<td>E40 - 3 books</td>
<td>6 minutes²</td>
<td>4.5 minutes²</td>
<td>3.5 minutes²</td>
</tr>
<tr>
<td>E56 - 4 books</td>
<td>4.5 minutes²</td>
<td>3.5 minutes²</td>
<td>3.5 minutes²</td>
</tr>
<tr>
<td>E60 - 4 books</td>
<td>4.5 minutes²</td>
<td>3.5 minutes²</td>
<td>2 minutes²</td>
</tr>
</tbody>
</table>
Table 7. System IBF hold up times (continued)

<table>
<thead>
<tr>
<th>I/O Configuration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model</td>
</tr>
<tr>
<td>with 1 I/O Cage</td>
</tr>
<tr>
<td>with 2 I/O Cages</td>
</tr>
<tr>
<td>with 3 I/O Cages</td>
</tr>
</tbody>
</table>

Notes:
1. Single-line power cord pair
2. Two line power cord pair
3. Battery hold up time are estimates based on:
   - Batteries three years old or less
   - Normal service Life (two or less complete discharges per year)
   - System in N+1 mode of operation.

If the IBF is ordered, they must be installed in pairs. Two to six battery units are used depending on the number of Bulk Power Regulators (BPRs) required.

The IBF is fully integrated into the server power control/diagnostic system that provides full battery charge, and test and repair diagnostics. For more information about the IBF, see System z10 Enterprise Class Installation Manual for Physical Planning.

Internet Protocol Version 6

IPv6 is the protocol designed by the Internet Engineering Task Force (IETF) to replace Internet Protocol Version 4 (IPv4) to satisfy the demand for additional IP addresses. IPv6 expands the IP address space from 32 bits to 128 bits enabling a far greater number of unique IP addresses.

The HMC and supports element are designed to support customer internal and open networks that are configured to use only IPv6 addresses, only IPv4 addresses, or a combination of the two.

Cooling equipment

The Modular Refrigeration Units (MRUs) are the main components of the z10 EC hybrid refrigeration subsystem located in the A frame. Each MRU cools the MCM in one or two books. The first MRU controls the first book and the third book. The second MRU controls the second book and the fourth book. Therefore, if you install a second book, you need a second MRU.

The MRUs are not redundant and backup fans provide the cooling in case of an MRU failure. Each MRU is made up of a Motor Scroll Assembly (MSA) and a Motor Drive Assembly (MDA).

Multiple Subchannel Sets (MSS)

The Multiple Subchannel Sets (MSS) structure allows increased device connectivity for Parallel Access Volumes (PAVs). Two subchannel sets per Logical Channel Subsystem (LCSS) are designed to enable a total of 63.75K subchannels in set-0 and the addition of 64 K - 1 subchannels in set-1. MSS is supported by ESCON (CHPID type CNC), FICON (when configured as CHPID type FC or FCV), and z/OS.

LPAR mode

LPAR mode is the mode of operation for the z10 EC. It allows you to:
Define ESA/390, ESA/390 TPF, coupling facility, z/VM-mode, and Linux-only LPARs.
Define and use up to 1024 GB in a single LPAR.
Dynamically reconfigure storage between LPARs.

You can define and activate up to 60 LPARs for each CPC.

After you define and activate an ESA/390 or ESA/390 TPF LPAR, you can load a supporting operating system into that LPAR.

Processor Resource/System Manager (PR/SM) enables logical partitioning of the CPC.

Resources for each LPAR include:
- Processor Units (CPs, ICFs, IFLs, zIIPs, or zAAPs)
- Storage (central storage and expanded storage)
- Channels.

**Processor units**

On z10 EC, PUs can be used within an LPAR as Central Processors (CPs), Internal Coupling Facilities (ICFs), Integrated Facilities for Linux (IFLs), System 10 Integrated Information Processor (zIIPs), or System z10 Application Assist Processors (zAAPs). The initial allocation of CPs, ICFs, IFLs, zIIPs, and zAAPs to an LPAR is made when the LPAR is activated.

Within an LPAR on z10 EC models, they can be used as follows:
- CPs can be dedicated to a single LPAR or shared among multiple LPARs. The use of CP resources shared between LPARs can be limited and modified by operator commands while the LPARs are active. CPs that are dedicated to an LPAR are available only to that LPAR. z/OS and z/VM support up to a combined total of 64 CPs in a single LPAR on the z10 EC. (z/VM V5.3 or later supports 32 CPs.) With z/OS, the maximum number of combined zAAPs and CPs supported in a single LPAR is 64.
- ICFs, IFLs, and zAAPs are available as orderable features on z10 EC models for use in an LPAR. ICFs are available as a feature for use in a coupling facility partition (see “Internal Coupling Facility (ICF)” on page 16 for additional information). IFLs are available as a feature for running Linux on System z (see “Integrated Facility for Linux (IFL)” on page 17 for additional information). zAAPs are available as a feature for providing special purpose assists that execute Java programming under control of the IBM Java Virtual Machine (JVM) (see “IBM System z10 Applications Assist Processor (zAAP)” on page 17 for additional information).

**Storage**

Before you can activate LPAR, you must define central storage and optional expanded storage to the LPARs. See “Central Storage (CS)” on page 18 and “Expanded Storage (ES)” on page 19 for more information.

All installed storage is initially configured as central storage. Each individual LPAR is limited to a maximum of 2 GB (in ESA/390 mode) or 1 TB (in z/Architecture mode) of central storage. When an LPAR is activated, the storage resources are allocated in contiguous blocks.

For z10 EC models, LPAR central storage granularity is a minimum of 256 MB and increases as the amount of storage defined for the LPAR increases. You can...
dynamically reallocate storage resources for z/Architecture and ESA/390 architecture LPARs using **Dynamic Storage Reconfiguration**. Dynamic storage reconfiguration allows both central and expanded storage allocated to an LPAR to be changed while the LPAR is active. It provides the capability to reassign storage from one LPAR to another without the need to POR the CPC or IPL the recipient LPAR. For more information, see *System z10 Processor Resource/Systems Manager Planning Guide*.

**Note:** You cannot share allocated central storage or expanded storage among multiple LPARs.

Expanded storage granularity for LPARs is fixed at 256 MB.

### Channels

You can allocate channels to LPARs as follows:

- **Dedicated channels**
  
  Dedicated channels are unshared channels and can only be used by one LPAR. All channel types supported by the model can be allocated as dedicated channels.

- **Reconfigurable channels**
  
  Reconfigurable channels are unshared channels that can be moved among LPARs within an LCSS but can only belong to one LPAR at a given time. All channel types supported by the model can be allocated as reconfigurable channels.

- **Shared channels**
  
  The Multiple Image Facility (MIF) allows channels to be shared among multiple LPARs in a Logical Channel Subsystem (LCSS). Shared channels are configured to an LPAR giving the LPAR a channel image of the shared channel that it can use. Each channel image allows an LPAR to independently access and control the shared channel as if it were a physical channel assigned to the LPAR. For more information, see ["Multiple Image Facility (MIF)" on page 48](#).

  You can define the channels, shown in [Table 10 on page 38](#) as shared among multiple LPARs within an LCSS so that the shared channels can be accessed by more than one LPAR in an LCSS at the same time.

  On z10 EC models with coupling facility LPARs, CFP, CBP, CIB, and ICP channels can be shared by many ESA LPARs and one coupling facility partition.

- **Spanned channels**
  
  Spanned channels are channels that are configured to multiple Logical Channel Subsystems (LCSSes) and are transparently shared by any or all of the configured LPARs without regard to the LCSS to which the LPAR is configured.

- **Device Sharing**
  
  You can share a device among LPARs by:
  - Using a separate channel for each LPAR
  - Using a shared channel
  - Using a spanned channel.

### LPAR time offset support

LPAR Time Offset support provides for the optional specification of a fixed time offset (specified in days, hours, and quarter hours) for each LPAR activation profile. The offset, if specified, is applied to the time that an LPAR receives from connected Sysplex Timers or from the Current Time Server (CTS) in a Coordinated Timing Network (CTN).
This support can be used to address the customer environment that includes multiple local time zones with a single Sysplex Timer, two Sysplex Timers in an Expanded Availability configuration, or a Current Time Server (CTS) in a CTN.

It is sometimes necessary to run multiple Parallel Sysplexes with different local times and run with the time set to GMT=LOCAL. This causes the results returned in the store clock (STCK) instruction to reflect local time. With LPAR time offset support, LPARs on each z10 EC CPC in a Parallel Sysplex that need to do this can specify an identical time offset that will shift time in the LPAR sysplex members to the desired local time. Remaining LPARs on the z10 EC CPCs can continue to participate in current date production Parallel Sysplexes using the same Sysplex Timer or CTS with the time provided by the Sysplex Timer or CTS.

This function is supported by all in service releases of z/OS.

For more information about LPARs, see System z10 Processor Resource/Systems Manager Planning Guide and System z Input/Output Configuration Program User’s Guide for ICP IOCP.

Server Time Protocol (STP)

Server Time Protocol (STP) (FC 1021) provides the means for multiple System z10, System z9, z890, and z990 servers to maintain time synchronization with each other without using a Sysplex Timer. STP is designed to synchronize servers configured in a Parallel Sysplex or a sysplex without a coupling facility, as well as servers that are not in a sysplex.

STP uses a message-based protocol to transmit timekeeping information over externally defined Coupling Links between servers. Unlike the Sysplex Timer, which distributes time to multiple servers in a star pattern, STP distributes time messages in layers (called stratum). The timekeeping information is needed to determine the Coordinated Server Time (CST) at each server. The coupling links used to transport STP messages include ISC-3 links configured in peer mode, ICB-4 links, and IFB links. These links can be the same links already being used in a Parallel Sysplex for coupling facility communications.

For more details about Server Time Protocol, see “Server Time Protocol (STP)” on page 89.

For hardware and software requirements, see the STP website located at http://www.ibm.com/systems/z/pso/stp.html.

Hardware Management Console

On z10 EC, the Hardware Management Console is a desktop PC that controls and monitors status for the Central Processor Complexes (CPCs). The HMC provides a single point of control and single system image for those CPCs defined to it. One Hardware Management Console can control up to 100 CPCs. One CPC can be controlled by up to 32 Hardware Management Consoles.

The HMC supports both single and dual ethernet configurations. With dual ethernet, the HMC is used to control and monitor multiple systems, local or remote, on an Ethernet local area network (LAN) using the Hardware Management Console Application (HWMCA). The HMC is supplied with two Ethernet ports.
The physical location of the Hardware Management Console hardware features (standard and/or optional) are dictated by the specific PC. Some features can be mutually exclusive with other features depending on the PC model. Each CPC must be connected to at least one Hardware Management Console on the same network as the SEs of the CPC.

For more detailed information about the Hardware Management Console, see Chapter 9, “Hardware Management Console and Support Element,” on page 111 or System z Hardware Management Console Operations Guide.

Bolt-down kit

A bolt-down kit is available for a low raised floor installation (9 - 13 inches) and a high raised floor installation (12 - 22 inches). You need to order two bolt-down kits.

Power sequence controller

The optional power sequence controller (PSC) is available on the z10 EC models. The PSC feature provides the ability to turn on/off specific control units from the CPC. The PSC feature is two PSC boxes with a twin tail cable connected to a PSC24V card in an I/O cage. You can only order one per I/O cage.

Additional features/functions supported

In addition to the standard and optional features previously listed, the design of the z10 EC also provides the following functions:

Monitoring and estimating CPC power consumption and temperature

You can monitor the power consumption and the internal temperature of a specific CPC using the Hardware Management Console (HMC) or the Active Energy Manager. In addition to providing the power consumption and temperature of a specific CPC, the Active Energy Manager also provides the aggregated temperature and power for a group of systems or a complete data center. Active Energy Manager can display this data in a format that shows trends over a specified time interval. It is a plug-in to IBM Director.

Before using Active Energy Manager, you must enable the SNMP APIs and define a community name for Active Energy Manager. This action is specified on the Customize API Settings task on the HMC. Once you have configured the SNMP support on the HMC, you must set up Active Energy Manager so it can communicate to the HMC. You can perform this setup, within Active Energy Manager, by defining it as an SNMP device. Once the setup is complete, the Active Energy Manager can communicate to the HMC.

For more information, see the IBM Systems Software Information Center website [http://publib.boulder.ibm.com/infocenter/eserver/v1r2/index.jsp](http://publib.boulder.ibm.com/infocenter/eserver/v1r2/index.jsp). Expand IBM Systems Software Information Center located in the navigation pane on the left, select Product listing, then select IBM Director extension: Active Energy Manager from the product listing.

You can estimate the power consumption of a specific z10 EC machine model and its associated configuration using the Power Estimation tool. The exact power consumption for your machine will vary. The purpose of the tool is to produce an
estimation of the power requirements to aid you in planning for your machine installation. This tool is available on Resource Link.

**Preplanning and setting up the Storage Area Network (SAN) environment**

The WWPN prediction tool assists you in preplanning and setting up your Storage Area Networks (SANs) environment before the installation of your System z10 server. Therefore, you can be up and running much faster after the server is installed. This tool applies to all FICON channels defined as CHPID type FCP (for communication with SCSI devices). The WWPN prediction tool creates WWPN assignments that are required to set up your SAN and creates a binary configuration that can be imported by your system.

The WWPN prediction tool is located on Resource Link.
Chapter 3. Software support

This chapter describes the software support for the System z10 EC. This information applies to z10 EC servers running in an LPAR mode. The following table displays a summary of the minimum supported operating systems levels for the z10 EC models.

Table 8. Supported operating systems for z10 EC

<table>
<thead>
<tr>
<th>Operating System</th>
<th>ESA/390 (31-bit)</th>
<th>z/Architecture (64-bit)</th>
</tr>
</thead>
<tbody>
<tr>
<td>z/OS Version 1 Releases 9, 10, 11</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>z/OS Version 1 Release 7 (^1) and 8 (^2) with IBM Lifecycle Extension for z/OS V1.7 and V1.8</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Linux on System z (^3), (^4), Red Hat RHEL 4 and Novell SUSE SLES 9</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Linux on System z (^3), (^4), Red Hat RHEL 5 and Novell SUSE SLES 10, 11</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>z/VM Version 5 Release 3 (^5), 4 (^6), and 4</td>
<td>No (^5)</td>
<td>Yes</td>
</tr>
<tr>
<td>z/VM Version 6 Release 1</td>
<td>No (^6)</td>
<td>Yes</td>
</tr>
<tr>
<td>z/VSE Version 4 Release 1 (^7), (^8), 2 (^9), and 3 (^8), (^7)</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>z/TPF Version 1 Release 1</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>TPF Version 4 Release 1 (ESA mode only)</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

Notes:
1. z/OS V1.7 support was withdrawn September 30, 2008. The Lifecycle Extension for z/OS V1.7 (5637-A01) makes fee-based corrective service for z/OS V1.7 available through September 2010. With this Lifecycle Extension, z/OS V1.7 supports the z10 Server. Certain functions and features of the z10 Server require later releases of z/OS. For a complete list of software support, see the 2097DEVICE Preventive Planning (PSP) bucket.
2. z/OS V1.8 support was withdrawn September 30, 2009. The Lifecycle Extension for z/OS V1.8 (5638-A01) makes fee-based corrective service for z/OS V1.8 available through September 2011. With this Lifecycle Extension, z/OS V1.8 supports the z10 Server. Certain functions and features of the z10 Server require later releases of z/OS. For a complete list of software support, see the 2097DEVICE Preventive Planning (PSP) bucket.
3. Compatibility support for listed releases. Compatibility support allows OS to IPL and operate on z10.
4. Requires compatibility support, which allows z/VM to IPL and operate on the z10 providing System z9 functionality for the base OS and guests.
5. z/VM supports 31-bit and 64-bit guests.
6. z/VSE V4 is designed to exploit 64-bit real memory addressing, but does not support 64-bit virtual memory addressing.
7. z/VSE V4.3 preview announcement October 20, 2009.
8. RHEL is an abbreviation for Red Hat Enterprise Linux. SLES is an abbreviation for SUSE Linux Enterprise Server.
9. z/VM 5.3 reaches end-of-service on September 30, 2010.

Any program written for z/Architecture or ESA/390 architecture mode can operate on servers operating in the architecture mode for which the program was written, provided that the program:

- Is not time-dependent.
- Does not depend on the presence of system facilities (such as storage capacity, I/O equipment, or optional features) when the facilities are not included in the configuration.
- Does not depend on the absence of system facilities when the facilities are included in the configuration.
• Does not depend on results or functions that are defined as unpredictable or model dependent in the z/Architecture Principles of Operation or in the Enterprise System Architecture/390 Principles of Operation.

• Does not depend on results or functions that are defined in this publication (or, for logically partitioned operation, in the System z10 Processor Resource/Systems Manager Planning Guide) as being differences or deviations from the appropriate Principles of Operation publication.

• Does not depend on the contents of instruction parameter fields B and C on interception of the SIE instruction.

Any problem-state program written for ESA/390 architecture mode can operate in z/Architecture mode provided that the program complies with the limitations for operating in ESA/390 mode and is not dependent on privileged facilities that are unavailable on the system.

For z/OS to operate as a guest of z/VM on a z10 EC, z/OS and z/VM must be operating in 64-bit mode. z/VM V5 and V6 are only supported running in 64-bit mode.

### z/OS

z/OS V1R8, V1R9, V1R10, and V1R11 provide support for System z10. Refer to the following table for a list of the supported functions and releases.

<table>
<thead>
<tr>
<th>Feature</th>
<th>V1R8</th>
<th>V1R9</th>
<th>V1R10</th>
<th>V1R11</th>
</tr>
</thead>
<tbody>
<tr>
<td>65535 MP factors</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>InfiniBand coupling</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>HiperDispatch</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>RMF™ modified CMG-2 support</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td></td>
</tr>
<tr>
<td>&gt;128 GB support</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>HW decimal math support</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>CPU Measurement facility</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>CFCC Level 16</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>Communicate QDIO Queue Counts</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>HCD support</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>OSA-Express3 features</td>
<td>x²</td>
<td>x²</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Capacity provisioning</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>64-way single system image</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Large page (1 MB) storage</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>FICON Express8 support</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>Optimized latency mode</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>RMF enhancements for FICON</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>BCP/IOS FCTC Debug command</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
</tbody>
</table>

**Notes:**
1. Requires PTFs.
2. z/OS V1.8 or V1.9 with PTFs is required to support CHPID type OSD and the exploitation of 4 ports per OSA-Express3 GbE LX feature, OSA-Express3 GbE SX feature, and OSA-Express3 1000BASE-T Ethernet feature; and the exploitation of 2 ports per OSA-Express3-2P GbE SX feature and OSA-Express3-2P 1000BASE-T Ethernet feature.
Refer to the z/OS subset of the 2097DEVICE Preventive Service Planning (PSP) bucket prior to installing a z10 EC server.

**z/VM**

z/VM V5.3, V5.4, and V6.1 provide support for System z10. Refer to the following table for a list of the supported functions and releases.

<table>
<thead>
<tr>
<th>Function</th>
<th>V5R3</th>
<th>V5R4</th>
<th>V6R1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Installing Linux on System z from the HMC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>z/VM Systems Management from the HMC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Enhanced Systems Management from the HMC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>QDIO connection isolation support</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
<tr>
<td>Select z/VM system and guest exploitation of System z10</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>z/VM system and guest exploitation of z9 functionality level</td>
<td>x¹</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Ability to define, modify, and delete a coupling connection using an InfiniBand link, CHPID type CIB, when z/VM is the controlling LPAR for dynamic I/O</td>
<td>x¹</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Support for CHPID type OSD and the exploitation of 4 ports per</td>
<td>x¹</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>OSA-Express3 GbE LX feature, OSA-Express3 GbE SX feature, and</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSA-Express3 1000BASE-T Ethernet feature; and the exploitation of 2 ports per OSA-Express3-2P GbE SX feature and OSA-Express3-2P 1000BASE-T Ethernet feature</td>
<td>x¹</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FICON Express8 support</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Exploitation of the System z10 server cache management instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CFCC level 16</td>
<td>x¹</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Optimized latency mode</td>
<td>x¹</td>
<td>x¹</td>
<td>x¹</td>
</tr>
<tr>
<td>QDIO port isolation</td>
<td>x¹</td>
<td>x¹</td>
<td>x</td>
</tr>
</tbody>
</table>

**Notes:**
1. Requires PTFs.

Refer to the z/VM subset of the 2097DEVICE Preventive Service Planning (PSP) bucket prior to installing a z10 EC server or IPLing a z/VM image.

**z/VSE**

z/VSE V3.1, z/VSE V4.1, and z/VSE V4.2 provide support for System z10 EC.

Compatibility support for z/VSE V3.1 and z/VSE V4.1 is provided with PTFs. Compatibility support allows z/VSE to IPL and operate on the System z10 EC, both in an LPAR and as a guest under z/VM.

z/VSE 4.1 with PTFs or z/VSE 4.2 is required to support CHPID type OSD and the exploitation of 4 ports per OSA-Express3 GbE LX feature, OSA-Express3 GbE SX feature, and OSA-Express3 1000BASE-T Ethernet feature.

z/VSE 4.1 and later support FICON Express8.
Refer to the z/VSE subset of the 2097DEVICE Preventive Service Planning (PSP) bucket prior to installing the z10 EC server.

### Linux on System z

Linux on System z (Novell SUSE SLES 9, 10, 11; Red Hat RHEL 4, 5) provides compatibility support for System z10.

You can run Linux on System z natively in an LPAR or as a guest under z/VM. You can also isolate your Linux on System z in its own workspace using the Integrated Facility for Linux (IFL). IFL is a hardware option for capacity dedicated to Linux on System z workload. The IFL enables you to:

- Add processing capacity dedicated to running Linux on System z
- Run multiple Linux on System z images independently of the traditional z/Architecture, with associated savings of IBM z/Architecture
- Define many virtual Linux on System z images on fewer real System z10 EC resources.

### TPF

TPF V4.1 and z/TPF V1.1 provide support for System z10.

TPF V4.1 and z/TPF V1.1 support CDLC for CCL V2 and the OSA-Express2 OSN card.

TPF V4.1 at PUT 13 with PTFs is required to support CHPID type OSD and the 2 ports per OSA-Express3 feature.

z/TPF V1.1 supports up to 64 engines per z/TPF LPAR, and supports CEX2A, but not CEX2C. TPF 4.1 does not support Crypto Express2.

z/TPF V1.1 at PUT 4 with APARs is required to support CHPID type OSD and the exploitation of 4 ports per OSA-Express3 GbE LX feature and OSA-Express3 GbE SX feature.

z/TPF V1.1 with PTFs supports Crypto Express3 accelerator.
Chapter 4. Channel subsystem (CSS) structure

A channel subsystem (CSS) structure for z10 EC is designed for 256 channels. With the scalability benefits provided by System z10 EC, it is essential that the channel subsystem (CSS) structure will also be scalable and permit "horizontal" growth. This is facilitated by allowing more than one logical channel subsystem (LCSS) on a single z10 EC.

Table 9. Channel maximums

<table>
<thead>
<tr>
<th>Channel Type</th>
<th>z10 EC Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>ESCON</td>
<td>1024 channels</td>
</tr>
<tr>
<td>FICON Express 1</td>
<td>60 features / 120 channels</td>
</tr>
<tr>
<td>FICON Express2 1</td>
<td>84 features / 336 channels</td>
</tr>
<tr>
<td>FICON Express4 1</td>
<td>84 features / 336 channels</td>
</tr>
<tr>
<td>FICON Express8 1</td>
<td>84 features / 336 channels</td>
</tr>
<tr>
<td>OSA-Express2 2</td>
<td>24 features / 48 ports</td>
</tr>
<tr>
<td>OSA-Express3 2</td>
<td>24 features / 96 ports</td>
</tr>
<tr>
<td>IC link 3</td>
<td>32 links</td>
</tr>
<tr>
<td>ISC-3 link 3</td>
<td>48 links</td>
</tr>
<tr>
<td>ICB-4 link 3, 4</td>
<td>8 MBAs / 16 links</td>
</tr>
<tr>
<td>IFB link 3, 4</td>
<td>16 HCAs / 32 links</td>
</tr>
<tr>
<td>Crypto Express2 5, 6</td>
<td>8 features / 16 PCI-X adapters</td>
</tr>
<tr>
<td>Crypto Express3 5, 6</td>
<td>8 features / 16 PCIe adapters</td>
</tr>
</tbody>
</table>

Notes:
1. The maximum number of combined FICON Express8, FICON Express4, FICON Express2, and FICON Express features is 84.
2. The maximum number of combined OSA-Express2 and OSA-Express3 features is 24.
3. The maximum number of coupling links (any combination of IC, ICB-4, IFB, and active ISC-3 links) is 64.
4. The maximum number of fanout cards/links for ICB-4 and IFB is 16 fanout cards/32 links.
5. The maximum number of combined Crypto Express2 and Crypto Express3 features is eight.
6. The initial order for Crypto Express2 and Crypto Express3 is two features.

The CSS structure offers the following:

- Four logical channel subsystem (LCSSs)
  - Each LCSS can have up to 256 channels defined.
  - Each LCSS can be configured with one to 15 LPARs (cannot exceed 60 LPARs per system).
- Spanned channels are shared among LPARs across LCSSs. For more information on spanned channels, refer to Table 10 on page 38 and to "Spanned channels" on page 48.
- Channel paths, control units, and devices that can be dynamically added, changed, and deleted in multiple LCSSs.
Note: One operating system image supports up to a maximum of 256 channel path identifiers (CHPIDs).

The I/O Subsystem (IOSS) is viewed as a single Input/Output Configuration Data Set (IOCDS) across the entire system with up to four LCSSs. Only one Hardware System Area (HSA) is used for the multiple LCSSs.

A CHPID is a two-digit hexadecimal number that identifies a channel path in the CPC. A Physical Channel Identifier (PCHID) is a three-digit number that identifies the physical location (cage, slot, card port) for a channel path in the CPC. An adapter ID (AID) is a two-digit hexadecimal number that identifies HCA2-O fanout card. CHPIDs are associated with ports on an adapter and the AID is used in that definition.

The CHPID Mapping Tool can help you map your PCHIDs to the CHPID definitions in your IOCP source statements. The tool will provide you with a new report with your CHPID assignment in addition to the PCHID values. The CHPID Mapping Tool is available from Resource Link, as a standalone PC-based program. For more information on the CHPID Mapping Tool, CHPIDs, or PCHIDs, refer to System z CHPID Mapping Tool User’s Guide.

## IOCP channel and link definitions

The following table lists the channel and link types as defined in an IOCDS that are used with z10 EC systems.

<table>
<thead>
<tr>
<th>Channels/Links</th>
<th>CHPID Type</th>
<th>May be defined as Shared</th>
<th>May be defined as Spanned</th>
</tr>
</thead>
<tbody>
<tr>
<td>ESCON channels:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Connection Channel (ESCON architecture)</td>
<td>CNC</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>Channel-to-Channel (connects to CNC)</td>
<td>CTC</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>ESCON channels connected to converter:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Conversion Channel (ESCON to Parallel Block Multiplexer (BL))</td>
<td>CVC</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>Conversion Channel (ESCON to Parallel Byte Multiplexer (BY))</td>
<td>CBY</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>FICON Express. A FICON channel that attaches to an ESCON Director Model 5.</td>
<td>FCV</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>FICON channels — native FICON, zHPF, or CTC for attachment to FICON channels on System z servers, directors, control units, and printers</td>
<td>FC</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>Fibre Channel Protocol (FCP) for communicating with SCSI devices</td>
<td>FCP</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>ISC-3 peer links (connects to another ISC-3)</td>
<td>CFP</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>ICB-4 peer links (connects to another ICB-4)</td>
<td>CBP</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>IC peer links (connects to another IC)</td>
<td>ICP</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>IFB peer links (connects to another IFB)</td>
<td>CIB</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>HiperSockets</td>
<td>IQD</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>OSA adapters using QDIO architecture</td>
<td>OSD</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>OSA adapters using non-QDIO architecture for TCP/IP and/or SNA/APPN/HPR traffic</td>
<td>OSE</td>
<td>yes</td>
<td>yes</td>
</tr>
</tbody>
</table>
Table 10. Channels, links, and adapters with CHPID type (continued)

<table>
<thead>
<tr>
<th>Channels/Links</th>
<th>CHPID Type</th>
<th>May be defined as Shared</th>
<th>May be defined as Spanned</th>
</tr>
</thead>
<tbody>
<tr>
<td>OSA 1000BASE-T Ethernet adapters for TN3270E, non-SNA DFT, IPL CPCs, and LPARs, OS system console operations</td>
<td>OSC</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>OSA-Express for NCP: NCPs running under IBM Communication Controller for Linux (CDLC)</td>
<td>OSN</td>
<td>yes</td>
<td>yes</td>
</tr>
</tbody>
</table>

Each of these channel types requires that a CHPID be defined, even if it is an internal channel and no physical hardware (channel card) exists. Each channel, whether a “real” channel or a virtual (such as HiperSockets) must be assigned a unique CHPID within the LCSS. You can arbitrarily assign a number within the X’00’ to X’FF’ range. Real channels require a PCHID value to be defined. Most of these channel types can be shared and used concurrently among multiple LPARs within the same LCSS. Refer to “Multiple Image Facility (MIF)” on page 48 for more information on shared channels.

PCHIDs are used for ICB-4 connections. AIDs are used for InfiniBand connections.

**Coupling link peer channels**

You may define an ISC-3 feature as CFP, an ICB-4 feature as CBP, and an IFB link as CIB. Any available/unused CHPID may be defined as ICP.

You can configure a CFP, CBP, CIB, or ICP channel path as:

- An unshared dedicated channel path to a single LPAR.
- An unshared reconfigurable channel path that can be configured to only one LPAR at a time but which can be dynamically moved to another LPAR by channel path reconfiguration commands. Reconfigurable support for CFP, CBP, CIB, and ICP is limited to two coupling facility partitions total. One coupling facility partition in the initial access list and one other coupling facility partition in the candidate list.
- A shared or spanned channel path that can be concurrently used by the LPARs to which it is configured. A peer channel cannot be configured to more than one coupling facility partition at a time, although it can be configured to multiple z/Architecture or ESA/390 LPARs in addition to the single coupling facility partition.
- Timing-only links. These are coupling links that allow two servers to be synchronized using Server Time Protocol (STP) messages when a coupling facility does not exist at either end of the coupling link. Note: ICP is not supported for this type of connection.

Each ICP channel path must specify which ICP channel path it is logically connected to.

The System z9 and System z10 models support dynamic I/O configuration for all peer channel path types.
Subchannel connectivity

With four Logical Channel Subsystems comes more subchannels. There is a maximum of 65280 subchannels per LCSS in subchannel set 0 and 65535 subchannels per LCSS in subchannel set 1. Each LCSS can have its own set of subchannels in both subchannel sets and each LPAR in each LCSS can have access to the subchannels in both sets of its LCSS.

With four Logical Channel Subsystems you can have:
- Up to a maximum of 65280 devices/subchannels per LCSS for subchannel set 0
- Up to a maximum of 65535 devices/subchannels per LCSS in subchannel set 1
- Up to a maximum of 523260 devices for four LCSSs (four LCSSs times 65280 plus 65535 subchannels for each LCSS).

Each LPAR can access all the devices in its assigned LCSS.

This capability relieves the I/O device configuration constraints experienced by large system configurations.

Guidelines for maximum availability

When configuring devices with multiple paths to the same CPC, select any of the channel paths from any I/O card shown in Figure 6 on page 44 that:

- Are available on the CPC you are defining
- Are the correct type (FICON, ESCON, etc.) to meet the control unit, coupling facility, or network attachment requirements
- Satisfy the rules regarding the mixing of channel types to a control unit.
For maximum availability of the device, OSA network, or coupling facility on z10 EC models, you should consider the following guidelines:

Legend:
- **IFB**: InfiniBand interface (InfiniBand Multiplexer (IFB-MP))
- **H1**: Half-high card in top half of slot
- **H2**: Half-high card in bottom half of slot
- **S**: Slot

**Figure 5. I/O cage layout for the z10 EC models**
Choose channels plugged in different I/O domains (see CU1). An I/O domain contains four channel cards controlled by a single IFB-MP card. For example, the domain for the IFB-MP card in H105 contains slots 01, 03, 06, and 08.

**Note:** This is also recommended for optimum performance of your most heavily-used I/O devices.

When choosing the I/O domains to use, whether from different cages or the same cage, consider using a combination of I/O domains from different books. When you must use IFB links from the same book, try to use IFB links from different HCA fanout cards on that book. Refer to your PCHID report to determine which IFB links belong to which HCA fanout cards on a book. If you have multiple paths to the device and multiple domains available that have the correct channel type, spreading the paths across as many books and HCAs as possible is also advisable.

Redundant I/O Interconnect is a function that allows one IFB-MP to back up another IFB-MP in case of a failure or repair. The IFB-MPs in slot 05 (same is true for those in slots 14, 23, and 28) back up each other. Therefore, in the event an InfiniBand cable, fanout card, or book fails, the remaining IFB-MP card will control both domains. There are failures (for example, the IFB-MP card) that may prevent the redundant takeover, which is why it is advisable to spread your paths over multiple domains.

When configuring ICB-4 channel paths for the same target CPC or coupling facility, use a combination of ICB-4 links from different books and different MBA fanout cards on those books. This allows for continued connectivity if you have to run degraded after a book or MBA fanout card failure.

When configuring Coupling using InfiniBand (CIB) links for the same target CPC or coupling facility, use InfiniBand links that originate from different books and different HCA fanout cards on those books. This eliminates the HCA fanout card and the book as a single point of failure where all connectivity would be lost.

- If you define multiple paths from the same IFB link, distribute paths across different channel cards (see CU 2). Also, if you define multiple coupling facility channels to the same coupling facility or to the same ESA image, distribute paths across different coupling facility channel adapter cards or different coupling facility daughter cards.

z10 servers have eliminated the concept of FICON channels or channel cards having affinity to specific System Assist Processors (SAPs). With System z10 EC, each SAP handles FICON work on an on-demand basis. That is, as FICON work for any channel arrives, the next available SAP will handle that request. It does not matter if it is an outbound request or an inbound interrupt, the next available SAP will handle the FICON work. There is no requirement to configure for “SAP affinity,” because there is no affinity for FICON.

For the other channel types, the z10 servers automatically balance installed channel cards across all available SAPs. The processor attempts to assign an equal number of each channel card type to each available SAP. While all channels on a given I/O card are always in the same SAP, it is not predictable which I/O cards will be assigned to which SAPs. However, there are two exceptions. First, ICBs and Host Channel Adapters (HCAs) used for coupling are always given affinity to a SAP on the local book. Second, if an OSA channel is defined as OSD or a FICON channel is defined as FCP, these channels use QDIO architecture and, therefore, do not actually use any SAP resource during normal operations.
For all channel types, simply follow the preceding recommendations for configuring for RAS, and the SAPs will handle the workload appropriately.

**InfiniBand and ICB-4 configurations**

A z10 EC can have one to four books installed. You can configure each book with a combination of MBA fanout cards (for ICB-4 connections) and HCA fanout cards (for InfiniBand connections).

The MBA fanout card is used to connect a z10 EC to a z9 EC, z9 BC, z990, or z890 server using an ICB-4 connection. (However, it can also connect two System z10 servers.) The MBA fanout card is designed to support two Self-Timed Interconnect (STIs) operating at a link rate of 2.0 Gbps. The STI cable is 10 meters (33 feet) in length, of which 3 meters are reserved for intraserver connection.

There are four types of HCA fanout cards: HCA2-C, HCA2-O, HCA2-O LR, and HCA1-O. (HCA1-O is for z9 only.)

- The HCA2-C fanout card is used for internal connection to the I/O cage only.
- The HCA2-O fanout card is used to connect a z10 EC to a z10 EC, z10 BC, or z9 at an distance of 150 meters (492 feet). The HCA2-O fanout attaches to a z10 EC. It is designed to support a two-port 12x IB-DDR optical link operating at a link rate of 6 Gbps. The HCA1-O attaches to a z9. It is designed to support a two-port 12x IB-SDR optical link operating at a link rate of 3 Gbps.
- The HCA2-O LR fanout card is used to connect a z10 server to a z10 server at an unrepeated distance of 10 km (6.2 miles). It is designed to support a two-port 1x IB-SDR or 1x IB-DDR optical link operating at a link rate of 2.5 Gbps (1x IB-SDR) or 5 gbps (1x IB-DDR).

Each book contains eight fanout cards. The maximum number of fanout cards installed in each book depends on the model.

- The one or two book models (E12 and E26, respectively) can hold up to eight fanout cards per book, which provides up to 16 IFB or ICB-4 links per book.
- For the three book model (E40), the first book can hold up to eight fanout cards, but the second and third book can only hold up to six fanout cards. This provides up to 40 IFB or ICB-4 links total; however, up to 32 links may be used for IFB and ICB-4 coupling.
- The four book models (E56 and E64) can hold up to six fanout cards per book. However, the E64 model does not support MBA fanouts. Therefore, an E56 model provides up to 48 IFB and ICB-4 links total, and an E64 model provides up to 48 IFB links total. However, up to 32 links may be used for IFB and ICB-4 coupling.

**Note:** When configuring the machine to exploit the best RAS characteristics, you want to use IFB and ICB-4 links equally from each installed book.

Figure 6 on page 44 depicts the z10 EC IFB and ICB-4 connections.
Planning for channel subsystem

This section contains information to aid in the planning for maximum channel subsystem availability on z10 EC models. It addresses ESCON, FICON, OSA, and ISC-3 channels; ICB-4, IFB, and IC links; and HiperSockets. The information shows the major components of the channel subsystem and suggests ways to configure the CSS for maximum availability.

The overall process of assigning CHPIDs, PCHIDs, and AIDs begins when you order the z10 EC or an MES to an existing machine. After placing the order, the configurator prepares a report (PCHID report) detailing the physical location for each channel, link, and adapter in the machine. This report shows PCHID and AID assignments.

PCHID assignments

There are no default CHPIDs assigned. You are responsible for assigning the logical CHPID number to a physical location, identified by a PCHID number. You can complete this task using either IOCP or HCD. The CHPID Mapping Tool can also be used to help with these assignments. (Refer to “CHPID Mapping Tool” on page 47 for more information.)
A PCHID relates directly to a jack location (J01/J02) in a specific fanout card slot in a specific book. Table 11 shows the PCHID assignments for the jacks on MBA fanout cards (ICB-4 attachments) plugged into the books. HCAs are not assigned PCHID values. Each fanout slot is allocated 2 PCHID numbers. (Remember that slots D3 and D4 do not have fanout cards plugged into them; therefore, they are not assigned PCHIDs.)

**Table 11. PCHID assignments for MBA fanout cards**

<table>
<thead>
<tr>
<th>Book</th>
<th>Cage slot number</th>
<th>Fanout card slots</th>
<th>PCHIDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>First book</td>
<td>06</td>
<td>D1-DA</td>
<td>010-01F</td>
</tr>
<tr>
<td>Second book</td>
<td>15</td>
<td>D1-DA</td>
<td>030-03F</td>
</tr>
<tr>
<td>Third book</td>
<td>10</td>
<td>D1-DA</td>
<td>020-02F</td>
</tr>
<tr>
<td>Fourth book</td>
<td>01</td>
<td>D1-DA</td>
<td>000-00F</td>
</tr>
</tbody>
</table>

**Note:** The terms “first book,” “second book,” “third book,” and “fourth book” refers to the plugging sequence of the book. (ie. the first book plugged in, the second book plugged in, etc.)

Table 12 lists the PCHID assignments for slots in the I/O cages. Only the active ports on an installed card are actually assigned a PCHID. The remainder are unused.

Except for ESCON sparing, the cards in the I/O cage are assigned a PCHID starting with the first value in the range for the slot and cage where the card is located. For ISC-3 cards, the first daughter is assigned the first two PCHID values of the slot. The second daughter is assigned the slot value plus 8 for the first port and plus 9 for the second port.

**Table 12. PCHID assignments for I/O cage**

<table>
<thead>
<tr>
<th>Cargo Slot</th>
<th>PCHID Range</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cage 1/Bottom A</td>
</tr>
<tr>
<td></td>
<td>Cage 2/Bottom Z</td>
</tr>
<tr>
<td></td>
<td>Cage 3/Top Z</td>
</tr>
<tr>
<td>1</td>
<td>100 - 10F</td>
</tr>
<tr>
<td>2</td>
<td>110 - 11F</td>
</tr>
<tr>
<td>3</td>
<td>120 - 12F</td>
</tr>
<tr>
<td>4</td>
<td>130 - 13F</td>
</tr>
<tr>
<td>6</td>
<td>140 - 14F</td>
</tr>
<tr>
<td>7</td>
<td>150 - 15F</td>
</tr>
<tr>
<td>8</td>
<td>160 - 16F</td>
</tr>
<tr>
<td>9</td>
<td>170 - 17F</td>
</tr>
<tr>
<td>10</td>
<td>180 - 18F</td>
</tr>
<tr>
<td>11</td>
<td>190 - 19F</td>
</tr>
<tr>
<td>12</td>
<td>1A0 - 1AF</td>
</tr>
<tr>
<td>13</td>
<td>1B0 - 1BF</td>
</tr>
<tr>
<td>15</td>
<td>1C0 - 1CF</td>
</tr>
<tr>
<td>16</td>
<td>1D0 - 1DF</td>
</tr>
<tr>
<td>17</td>
<td>1E0 - 1EF</td>
</tr>
<tr>
<td>18</td>
<td>1F0 - 1FF</td>
</tr>
<tr>
<td>19</td>
<td>200 - 20F</td>
</tr>
<tr>
<td></td>
<td>010 - 01F</td>
</tr>
<tr>
<td></td>
<td>020 - 02F</td>
</tr>
<tr>
<td></td>
<td>030 - 03F</td>
</tr>
<tr>
<td></td>
<td>040 - 04F</td>
</tr>
<tr>
<td></td>
<td>050 - 05F</td>
</tr>
<tr>
<td></td>
<td>060 - 06F</td>
</tr>
<tr>
<td></td>
<td>070 - 07F</td>
</tr>
<tr>
<td></td>
<td>080 - 08F</td>
</tr>
<tr>
<td></td>
<td>090 - 09F</td>
</tr>
<tr>
<td></td>
<td>0A0 - 0AF</td>
</tr>
<tr>
<td></td>
<td>0B0 - 0BF</td>
</tr>
<tr>
<td></td>
<td>0C0 - 0CF</td>
</tr>
<tr>
<td></td>
<td>0D0 - 0DF</td>
</tr>
<tr>
<td></td>
<td>0E0 - 0EF</td>
</tr>
<tr>
<td></td>
<td>0F0 - 0FF</td>
</tr>
<tr>
<td></td>
<td>100 - 10F</td>
</tr>
<tr>
<td></td>
<td>110 - 11F</td>
</tr>
<tr>
<td></td>
<td>120 - 12F</td>
</tr>
<tr>
<td></td>
<td>130 - 13F</td>
</tr>
<tr>
<td></td>
<td>140 - 14F</td>
</tr>
<tr>
<td></td>
<td>150 - 15F</td>
</tr>
<tr>
<td></td>
<td>160 - 16F</td>
</tr>
<tr>
<td></td>
<td>170 - 17F</td>
</tr>
<tr>
<td></td>
<td>180 - 18F</td>
</tr>
<tr>
<td></td>
<td>190 - 19F</td>
</tr>
<tr>
<td></td>
<td>1A0 - 1AF</td>
</tr>
<tr>
<td></td>
<td>1B0 - 1BF</td>
</tr>
<tr>
<td></td>
<td>1C0 - 1CF</td>
</tr>
<tr>
<td></td>
<td>1D0 - 1DF</td>
</tr>
<tr>
<td></td>
<td>1E0 - 1EF</td>
</tr>
<tr>
<td></td>
<td>1F0 - 1FF</td>
</tr>
<tr>
<td></td>
<td>200 - 20F</td>
</tr>
</tbody>
</table>

Chapter 4. Channel subsystem (CSS) structure  45
Table 12. PCHID assignments for I/O cage (continued)

<table>
<thead>
<tr>
<th>Cargo Slot</th>
<th>PCHID Range</th>
<th>PCHID Range</th>
<th>PCHID Range</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cage 1/Bottom A</td>
<td>Cage 2/Bottom Z</td>
<td>Cage 3/Top Z</td>
</tr>
<tr>
<td>20</td>
<td>210 - 21F</td>
<td>410 - 41F</td>
<td>610 - 61F</td>
</tr>
<tr>
<td>21</td>
<td>220 - 22F</td>
<td>420 - 42F</td>
<td>620 - 62F</td>
</tr>
<tr>
<td>22</td>
<td>230 - 23F</td>
<td>430 - 43F</td>
<td>630 - 63F</td>
</tr>
<tr>
<td>24</td>
<td>240 - 24F</td>
<td>440 - 44F</td>
<td>640 - 64F</td>
</tr>
<tr>
<td>25</td>
<td>250 - 25F</td>
<td>450 - 45F</td>
<td>650 - 65F</td>
</tr>
<tr>
<td>26</td>
<td>260 - 26F</td>
<td>460 - 46F</td>
<td>660 - 66F</td>
</tr>
<tr>
<td>27</td>
<td>270 - 27F</td>
<td>470 - 47F</td>
<td>670 - 67F</td>
</tr>
<tr>
<td>29</td>
<td>280 - 28F</td>
<td>480 - 48F</td>
<td>680 - 68F</td>
</tr>
<tr>
<td>30</td>
<td>290 - 29F</td>
<td>490 - 49F</td>
<td>690 - 69F</td>
</tr>
<tr>
<td>31</td>
<td>2A0 - 2AF</td>
<td>4A0 - 4AF</td>
<td>6A0 - 6AF</td>
</tr>
<tr>
<td>32</td>
<td>2B0 - 2BF</td>
<td>4B0 - 4BF</td>
<td>6B0 - 6BF</td>
</tr>
</tbody>
</table>

Direct book to book connections (z10 EC to z10 EC, z9 EC, z9 BC, z990, or z890) or direct book to drawer connections (z10 BC to z10 BC) can use ICB-4 links. (These links are used for connections to coupling facilities.) There can be up to 16 STI ports on a book, and a PCHID number is assigned to each one.

AID assignments
HCA2-O and HCA2-O LR fanout cards used for coupling are identified by adapter IDs (AIDs) rather than PCHIDs.

CHPID numbers need to be associated with ports on an adapter, and the AID is used for this purpose. It is your responsibility to assign CHPIDs using either IOCP or HCD. The CHPID assignment is done by associating the CHPID number to an AID and port. You cannot use the CHPID Mapping Tool to assign AID values.

You cannot change an AID assignment. After an AID is assigned, if an optical fanout card is moved on a z10 EC, the AID value moves with it.

There are two ports for each HCA. The CHPID to PCHID ratio was one-to-one, but an AID can have up to 16 associated CHPIDs.

Table 13 shows the initial AID assignments for the ports on the HCA fanout cards plugged into the books.

Table 13. AID assignments for HCA fanout cards

<table>
<thead>
<tr>
<th>Book</th>
<th>Cage slot number</th>
<th>Fanout card slots</th>
<th>AIDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>First book</td>
<td>06</td>
<td>D1-DA</td>
<td>08-0F</td>
</tr>
<tr>
<td>Second book</td>
<td>15</td>
<td>D1-DA</td>
<td>18-1F</td>
</tr>
<tr>
<td>Third book</td>
<td>10</td>
<td>D1-DA</td>
<td>10-17</td>
</tr>
<tr>
<td>Fourth book</td>
<td>01</td>
<td>D1-DA</td>
<td>00-07</td>
</tr>
</tbody>
</table>

Note: The terms “first book,” “second book,” “third book,” and “fourth book” refers to the plugging sequence of the book. (ie. the first book plugged in, the second book plugged in, etc.)
Each fanout slot is allocated one AID number. (Remember that slots D3 and D4 do not have fanout cards plugged into them; therefore, they are not assigned AIDs.) For example, for the first book, the allocation is:

<table>
<thead>
<tr>
<th>Fanout slot</th>
<th>AID</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1</td>
<td>08</td>
</tr>
<tr>
<td>D2</td>
<td>09</td>
</tr>
<tr>
<td>D5</td>
<td>0A</td>
</tr>
<tr>
<td>D6</td>
<td>0B</td>
</tr>
<tr>
<td>D7</td>
<td>0C</td>
</tr>
<tr>
<td>D8</td>
<td>0D</td>
</tr>
<tr>
<td>D9</td>
<td>0E</td>
</tr>
<tr>
<td>DA</td>
<td>0F</td>
</tr>
</tbody>
</table>

Note: These AID assignments can only be predicted for a new build machine and for new books added to a machine. For an MES to an existing z10 EC, the PCHID report contains the AID assigned to each installed HCA and the AID that is assigned to any new HCA being installed. Therefore, if a new HCA is added to an existing book, the AID from the PCHID report should be used.

PCHID report

The PCHID report from the configuration provides details on the placement of all the I/O features in your order. Your representative will provide you with this report. Using this report and the guidelines listed in “Guidelines for maximum availability” on page 40, you can plan the configuration of your I/O.

Note: If you use the CHPID Mapping Tool to aid you in assigning PCHIDs to CHPIDs, the tool will provide you with a new report with your CHPID assignment in addition to the PCHID values.

Other resources available are the System z Input/Output Configuration Program User’s Guide for ICP IOCP and the CHPID Mapping Tool. These resources are available on Resource Link.

CHPID Mapping Tool

The CHPID Mapping Tool is a Java-based standalone application available from IBM Resource Link and must be downloaded to your personal computer for use. Once downloaded, you can make CHPID assignments without further internet connections. As part of the CHPID Mapping Tool process, you will need a CFReport (which you can download from Resource Link or obtain from your representative) and an IOCP file.

Note: The CHPID Mapping Tool does not assign AID values.

The intent of the CHPID Mapping Tool is to ease installation of new z10 EC processors and for making changes to an already installed z10 EC processor either to make slight changes to the mapping or as part of an MES action to add or remove channel features on the processor.

The z10 EC server does not have default CHPIDs assigned to ports as part of the initial configuration process. It is your responsibility to perform these assignments by using the HCD/IOCP definitions and optionally the CHPID Mapping Tool. The result of using the tool is an IOCP deck that will map the defined CHPIDs to the corresponding PCHIDs for your processor. However, there is no requirement to use
the CHPID Mapping Tool. You can assign PCHIDs to CHPIDs directly in IOCP decks or through HCD, but it is much easier to use the tool to do the channel mapping and the tool can help make PCHID to CHPID assignments for availability.

For more information on the CHPID Mapping Tool, refer to any of the following:
- System z CHPID Mapping Tool User’s Guide
- CHPID Mapping Tool.

### Multiple Image Facility (MIF)

The Multiple Image Facility (MIF) allows channel sharing among multiple LPARs and optionally shares any associated I/O devices configured to these shared channels. MIF also provides a way to limit the LPARs that can access a reconfigurable channel, spanned channel, or a shared channel to enhance security.

With multiple LCSSs, the CSS provides an independent set of I/O controls for each logical channel subsystem called a CSS image. Each LPAR is configured to a separate CSS image in order to allow the I/O activity associated with each LPAR to be processed independently as if each LPAR had a separate CSS. For example, each CSS image provides a separate channel image and associated channel path controls for each shared channel and separate subchannel images for each shared device that is configured to a shared channel.

With MIF, you can configure channels as follows:
- **ESCON (TYPE=CNC, TYPE=CTC, TYPE=CVC, or TYPE=CBY), FICON (TYPE=FCV, TYPE=FC, or TYPE=FCP), ISC-3 peer (TYPE=CFP), ICB-4 peer (TYPE=CBP), IC peer (TYPE=ICP), IFB peer (TYPE=CIB), HiperSockets (TYPE=IQD), and OSA (TYPE=OSC, TYPE=OSD, TYPE=OSE or TYPE=OSN).**

  You can configure a channel path as:
  - An unshared dedicated channel path to a single LPAR.
  - An unshared reconfigurable channel path that can be configured to only one LPAR at a time it can be moved to another LPAR within the same LCSS.
  - A shared channel path that can be concurrently used by the ESA/390 images or coupling facility partitions within the same LCSS to which it is configured.

With MIF and multiple channel subsystems, shared and spanned channel paths can provide extensive control unit and I/O device sharing. MIF allows all, some, or none of the control units attached to channels to be shared by multiple LPARs and multiple CSSs. Sharing can be limited by the access and candidate list controls at the CHPID level and then can be further limited by controls at the I/O device level.

For example, if a control unit allows attachment to multiple channels (as is possible with a 3990 control unit), then it can be shared by multiple LPARs using one or more common shared channels or unique unshared channel paths.

### Spanned channels

With multiple LCSSs, transparent sharing of internal (ICs and HiperSockets) and external (FICON, ICB-4, IFB, ISC-3, OSA) channels across LCSSs is introduced, extending Multiple Image Facility (MIF). MIF allows sharing of channel resources across LPARs. ICs, HiperSockets, FICON (except when defined as FCV channels), ICB-4s, IFBs, ISC-3s, and OSA features can all be configured as MIF spanning channels.
Spanning channels is the ability for the channels to be configured to multiple LCSSs, and be transparently shared by any or all of the configured LPARs without regard to the Logical Channel Subsystem to which the partition is configured. For information on the channel CHPID types and spanning capabilities, refer to Table 10 on page 38.

You can configure the following as a spanned channel:

- **FICON** (TYPE=FC or TYPE=FCP), **ISC-3 peer** (TYPE=CFP), **ICB-4 peer** (TYPE=CBP), **IC peer** (TYPE=ICP), **IFB peer** (TYPE=CIB), **HiperSockets** (TYPE=IQD), and **OSA** (TYPE=OSC, TYPE=OSD, TYPE=OSE or TYPE=OSN)

They can be shared by LPARs in different logical channel subsystems.

**Internal coupling and HiperSockets channels**

Internal coupling (IC) channels and HiperSockets are virtual attachments and, as such, require no real hardware. However, they do require CHPID numbers and they do need to be defined in the IOCDS. The CHPID type for IC channels is ICP; the CHPID type for HiperSockets is IQD.

- It is suggested that you define a minimum number of ICP CHPIDs for internal coupling. For most customers, IBM suggests defining just one ICP for each coupling facility partition in your configuration. For instance, if your z10 EC configuration has several ESA LPARs and one CF LP, you would define one pair of connected ICP CHPIDs shared by all the LPARs in your configuration. If your configuration has several ESA LPARs and two coupling facility partitions, you still would define one connected pair of ICP CHPIDs, but one ICP should be defined as shared by the ESA images and one of the CF LPARs, while the other ICP is defined as shared by the ESA LPARs and the other CF LPAR. Both of these examples best exploit the peer capabilities of these coupling channels by using the “sending” and “receiving” buffers of both channels. If your ESA images and CF images are in different CSSs and you want to exploit the optimal use of ICP then your ICP CHPIDs must be defined as spanned.

- Each IQD CHPID represents one internal LAN. If you have no requirement to separate LAN traffic between your applications, only one IQD CHPID needs to be defined in the configuration. If the partitions sharing the LAN are in different LCSSs your IQD CHPID must be defined as spanned.

**IOCP considerations**

ICP IOCP supports the z10 EC model CPCs and multiple LCSSs. Refer to System z Input/Output Configuration Program User’s Guide for ICP IOCP for more information.

IOCP allows you to define controls for multiple channel subsystems. This includes changes to the way you define LPARs, channel paths, and I/O devices.

**LPAR definition**

Use the RESOURCE statement to define LCSSs and the LPARs in each LCSS. You can also assign a MIF image ID to each LPAR. If you do not specify a MIF image ID using the RESOURCE statement, ICP IOCP assigns them. Any LPARs not defined will be reserved and available to be configured later using dynamic I/O.
Channel path definition

You can define shared channel paths in addition to dedicated and reconfigurable channel paths. The CHPID statement has an additional SHARED keyword to accomplish this. You can also define spanned channel paths using the PATH keyword. You can define:

- All channel paths as dedicated or reconfigurable.
- Only CNC, CTC, FCV, FC, FCP, CFP, CBP, ICP, CIB, IQD, OSC, OSD, OSE and OSN channel paths as shared.
- Only FC, FCP, CFP, CBP, ICP, CIB, IQD, OSC, OSD, OSE, and OSN channel paths as spanned.

ICP IOCP provides access controls for spanned, shared or reconfigurable channel paths. Parameters on the PART | PARTITION or NOTPART keyword on the CHPID statement allow you to specify an access list and a candidate list for spanned, shared and reconfigurable channel paths.

The access list parameter specifies the LPAR or LPARs that will have the channel path configured online at LPAR activation following the initial power-on reset of an LPAR IOCDS. For exceptions, refer to System z10 Processor Resource/Systems Manager Planning Guide.

The candidate list parameter specifies the LPARs that can configure the channel path on-line. It also provides security control by limiting the LPARs that can access shared or reconfigurable channel paths.

Note: PR/SM LPAR manages the channel path configuration across POR. Refer to System z10 Processor Resource/Systems Manager Planning Guide.

I/O device definition

You can specify either the optional PART | PARTITION keyword or the optional NOTPART keyword on the IODEVICE statement to limit device access by LPARs for devices assigned to shared ESCON, FICON, or OSA channels, or HiperSockets. (The IODEVICE candidate list is not supported for shared CFP, CBP, CIB, or ICP CHPIDs.)

By limiting access to a subset of LPARs, you can:
- Provide partitioning at the device level.
- Provide security at the device level.
- Better manage the establishment of logical paths.

Hardware Configuration Definition (HCD) considerations

HCD provides the capability to make both dynamic hardware and software I/O configuration changes. It also provides:

- An online, interactive way to more usably manage the I/O configuration than IOCP.
- The capability to define the I/O configuration for dynamic or nondynamic I/O configuration purposes.

HCD allows you to define LPAR controls for defining LPARs, channel paths, and I/O devices. The following HCD panels (or corresponding HCM dialogs) support these controls.
**Add Partition**
Allows explicit definition of LPARs and associated LPAR numbers.

**Define Access List**
Allows definition of initial access list for channel path access control of shared and reconfigurable channel paths.

**Define Candidate List (for channel paths)**
Allows definition of candidate list for channel path access control of shared and reconfigurable channel paths.

**Define Candidate List (for devices)**
Allows definition of candidate list for device access control for devices assigned to shared channels.

**Add Processor**
Allows you to determine the capabilities of a CPC.

**Add Channel Path**
Operation mode field allows definition of a channel path as dedicated, reconfigurable, or shared.

**Define Device / Processor**
Additional field to specify candidate list.
Chapter 5. I/O connectivity

This chapter discusses the channels associated with the z10 EC I/O connectivity. You can also refer to Table 6 on page 24 for a summary of the I/O channel characteristics.

FICON and FCP channels

The FICON Express channel uses the industry standard Fibre Channel Standard as a base. It is an upper layer protocol that maps the channel architecture on the general transport vehicle used throughout the industry for such other upper layer protocols as SCSI, IPI, and IP, among others. This transport vehicle includes the physical definition, the transmission protocol, and signalling protocol that is the same for all of the other upper layer protocols.

The FICON Express8, FICON Express4, FICON Express2, and FICON Express features are designed to provide connectivity to servers, switches, directors, disk, tape, and printers. There are two CHPID types that can be specified using IOCP or HCD. Each channel has its own unique CHPID type:

- CHPID type FC — native FICON, High Performance FICON for System z (zHPF), and channel-to-channel (CTC)
- CHPID type FCP — Fibre Channel Protocol (FCP) for communication with SCSI devices

The FICON Express LX feature supports a third CHPID type:

- CHPID type FCV — Fibre Channel Converted to communicate with ESCON control units using the FICON Bridge card in the ESCON Director Model 5 (9032-005), which was withdrawn from marketing December 31, 2004. FICON Bridge was designed as a migration aid; to facilitate a transition from ESCON to FICON.

FICON builds upon the strengths of ESCON. The FICON implementation enables full duplex data transfer. So data travels both directions simultaneously, rather than the ESCON half duplex data transfer. Furthermore, concurrent I/Os can occur on a single FICON channel, a fundamental difference between FICON and ESCON. The data rate droop is minimal with FICON even at distances up to 100 km.

Native FICON supports up to 32 concurrent I/O operations per second. ESCON supports one I/O operation at a time. FICON bridge supports up to eight I/O operations at a time to different control units.

In conjunction with the Fibre Channel Protocol (FCP), N_Port ID virtualization (NPIV) is supported, which allows the sharing of a single physical FCP channel among operating system images.

FICON Express8 features

The FICON Express8 features conform to the Fibre Connection (FICON) architecture, the High Performance FICON on System z (zHPF) architecture, and the Fibre Channel Protocol (FCP) architecture, providing connectivity between any combination of servers, directors, switches, and devices (control units, disks, tapes,
printers) in a Storage Area Network (SAN). FICON Express8 provides increased performance over FICON Express4, FICON Express2, or FICON Express.

There are two FICON Express8 features for the IBM System z10 EC — FICON Express8 10KM LX and FICON Express8 SX.

Each feature has four channels per feature. Each of the four independent channels supports a link rate of 2 gigabits (Gbps), 4 Gbps, or 8 Gbps per second, depending upon the capability of the attached switch or device, with autonegotiation to 2 Gbps or 4 Gbps for existing devices. A link data rate of 1 Gbps is not supported. Each channel utilizes small form factor pluggable optics (SFPs) with LC duplex connectors. The optics allow each channel to be individually repaired without affecting the other channels.

Each FICON Express8 feature supports cascading (the connection of two FICON Directors in succession) to minimize the number of cross-site connections and help reduce implementation costs for disaster recovery applications, GDPS®, and remote copy.

**FICON Express8 10KM LX (FC 3325)**

All the channels on a single FICON Express8 10KM LX feature are the same type, 10KM LX. FICON Express8 10KM LX utilizes a long wavelength (LX) laser as the optical transceiver and supports use of a 9 micron single mode fiber optic cable terminated with an LC duplex connector.

FICON Express8 10KM LX supports unrepeated distances up to 10 km (6.2 miles).

FICON Express8 10KM LX (CHPID type FC or FCP) can be defined as a spanned channel and can be shared among LPARs within and across LCSS.

**FICON Express8 SX (FC 3326)**

All the channels on a single FICON Express8 SX feature are the same type, SX. FICON Express8 SX utilizes a short wavelength (SX) laser as the optical transceiver and supports use of a 50 micron multimode fiber optic cable or a 62.5 micron multimode fiber optic cable terminated with an LC duplex connector.

For details about the unrepeated distances for FICON Express8 SX, refer to *IBM System z Planning for Fiber Optic Links*.

**FICON Express4 features**

The FICON Express4 features conform to the Fibre Connection (FICON) architecture, the High Performance FICON for System z (zHPF) architecture, and the Fibre Channel (FC) architecture, providing connectivity between any combination of servers, directors, switches, and devices in a Storage Area Network (SAN).

The FICON Express4 features for IBM System z10 EC are:

- **FICON Express4 10KM LX (FC 3321)**, with four channels per feature, is designed to support unrepeated distances up to 10 kilometers (6.2 miles) over single mode fiber optic cabling.
- **FICON Express4 4KM LX (FC 3324)**, with four channels per feature, is designed to support unrepeated distances up to 4 kilometers (2.5 miles) over single mode fiber optic cabling.
- **FICON Express4 SX (FC 3322)**, with four channels per feature, is designed to carry traffic over multimode fiber optic cabling.
All channels on a single FICON Express4 feature are of the same type; 4KM LX, 10KM LX, or SX. You can carry forward features FICON Express2 LX, FICON Express2 SX, FICON Express LX, and FICON Express SX from z990 or z9 EC to System z10 EC.

FICON Express4 supports a 4 Gbps link data rate with auto-negotiation to 1, 2, or 4 Gbps for synergy with existing switches, directors, and storage devices.

**Note:** You need to ensure that the tactical as well as the strategic requirements for your data center, Storage Area Network (SAN), and Network Attached Storage (NAS) infrastructures are taken into consideration as you employ 2 Gbps and beyond link data rates.

Mode Conditioning Patch (MCP) cables are only supported at the 1 Gbps link data rate.

Effective October 27, 2009, FICON Express4 is withdrawn from marketing. After that time, FICON Express4 cannot be ordered. FICON Express8 replaces FICON Express4.

**FICON Express2 features**

The FICON Express2 LX (FC 3319) and FICON Express2 SX (FC 3320) features can only be carried forward to System z10 EC. They cannot be ordered.

The FICON Express2 feature conforms to the Fibre Connection (FICON) architecture, the High Performance FICON for System z (zHPF) architecture, and the Fibre Channel (FC) architecture, providing connectivity between any combination of servers, directors, switches, and devices in a Storage Area Network (SAN). FICON Express2 supports cascading to concentrate and minimize the number of cross-site connections and reduce implementation costs for disaster recovery applications, Graphically Dispersed Parallel Sysplex (GDPS), and remote copy.

**FICON Express features**

The FICON Express LX (FC 2319) and FICON Express SX (FC 2320) features can only be carried forward on System z10 EC. They cannot be ordered.

FICON Express LX can be defined as CHPID type FCV to allow communication with ESCON control units using the ESCON Director Model 5 with the bridge feature. However, migration to native FICON is recommended.

**Name server registration**

Registration information is provided on the name server for both FICON and FCP, which enhances problem determination, analysis, and manageability of the storage area network (SAN).

**High Performance FICON for System z (zHPF)**

Enhancements (called High Performance FICON for System z (zHPF)) have been made to the FICON architecture for protocol simplification and efficiency. With these enhancements, FICON Express8, FICON Express4 and FICON Express2 features will see a performance improvement in small block (4K) data transfers.

zHPF is supported by z/OS. zHPF applies to all FICON Express8, FICON Express4 and FICON Express2 features (CHPID type FC) and is exclusive to System z10.
Improved performance at extended distance

Enhancements have been made to the industry standard FICON architecture (FC-SB-3) to avoid performance degradation at extended distances. This enhancement is accomplished by implementing a new protocol for Information Unit (IU) pacing. This enhancement allows the channel to “remember” the last pacing update for use on subsequent operation to help avoid degradation of performance at the start of each new operation.

To exploit this enhancement, the control unit must also support the new pacing protocol.

MIDAW facility

The Modified Indirect Data Address Word (MIDAW) facility is a system architecture and software designed to improve FICON performance. This facility is exploited by z/OS access methods and supported by z/VM 5.3 or later for guests exploitation. The MIDAW facility can:

- Significantly improve FICON performance for extended format data sets. Nonextended data sets can also benefit from MIDAW.
- Improve channel utilization and can significantly improve I/O response time by reducing FICON channel and control unit overhead.

Multipath Initial Program Load (IPL)

If I/O errors occur during the IPL, z/OS on System z10 EC allows the system to attempt an IPL on alternate paths, if the paths are available. The system will attempt the IPL on an alternate path until all paths have been attempted or until the IPL is successful.

This function is applicable for all FICON features with CHPID type FC.

Purge path extended

The purge path extended function provides enhanced capability for FICON problem determination. The FICON purge path error-recovery function is extended to transfer error-related data and statistics between the channel and entry switch, and from the control unit and its entry switch to the host operating system.

FICON purge path extended is available for System z10 EC and is supported by z/OS and z/OS.e. FICON purge path extended applies to the FICON features when configured as a native FICON channel.

Fibre channel analysis

You can use the Fibre Channel Analyzer task on the HMC to identify fiber optic cabling issues in your Storage Area Network (SAN) fabric without contacting IBM service personnel. All FICON channel error information is forwarded to the HMC where it is analyzed to help detect and report the trends and thresholds for all FICON channels on System z10. This report shows an aggregate view of the data and can span multiple systems.

This applies to FICON channels exclusively (CHPID type FC).

Fibre Channel Protocol (FCP) for SCSI devices

Fibre Channel (FC) is a computer communications protocol that attempts to combine the benefits of both channel and network technologies. Fibre Channel
made the biggest impact in the storage arena, specifically, using Small Computer System Interface (SCSI) as an upper layer protocol.

Fibre Channel is broken down into five layers: FC-0, FC-1, FC-2, FC-3, and FC-4. The layers define the following functions:

- **FC-0** defines the physical characteristics
- **FC-1** defines the character encoding and link maintenance
- **FC-2** defines the frame format, flow control, classes of service
- **FC-3** defines the common services

FICON and FCP implement those layers, unchanged.

- **FC-4** defines the upper layer protocol mapping which includes SCSI as well as Fibre Channel - Single Byte-2 (FC-SB-2), which is FICON.

The Fibre Channel Protocol (FCP) capability, supporting attachment to Small Computer System Interface (SCSI) is based on the Fibre Channel (FC) standard defined by the INCITS, and published as ANSI standards. SCSI devices in Linux on System z environment, as well as SCSI devices defined to z/VM and z/VSE, are based on the Fibre Channel standards. FC is an upper layer fibre channel mapping of SCSI on a common stack of Fibre Channel physical and logical communication layers. HIPPI, IPI, IP, and FICON (FC-SB-2) are other examples of upper layer protocols.

SCSI is an industry-standard protocol that is supported by a wide range of controllers and devices which complement the classical System z9 and System z10 storage attachment capability through FICON and ESCON channels. FCP channels on System z9 and System z10 systems are provided to enable operating systems on System z9 and System z10 to access industry-standard SCSI storage controllers and devices.

The System z9 and System z10 FCP function can facilitate the consolidation of UNIX server farms onto System z9 and System z10, protecting investments in SCSI-based storage.

FCP is the base for open industry-standard Fibre Channel networks or Storage Area Networks (SANs).

Fibre Channel networks consist of servers and storage controllers and devices as end nodes, interconnected by Fibre Channel switches, directors, and hubs. While switches and directors are used to build Fibre Channel networks or fabrics, Fibre Channel loops can be constructed using Fibre Channel hubs. In addition, different types of bridges and routers may be used to connect devices with different interfaces (like parallel SCSI). All of these interconnects may be combined in the same network.

For information about the configurations supported by the System z9 and System z10 FCP channel, refer to "Configurations" on page 58.

An FCP channel is defined in the IOCP as CHPID type FCP and is available on FICON features.

FCP channels support full-fabric. The FCP full-fabric support means that multiple numbers of directors/switches can be placed between the server and FCP/SCSI device, thereby allowing many hops through a storage network for I/O connectivity.
In addition, for FCP channels, a high integrity fabric solution is not required but is recommended. If an FCP Interswitch Link (ISL) is moved, data could potentially be sent to the wrong destination without notification.

The FICON Express, FICON Express2, FICON Express4, and FICON Express8 features, when defined as CHPID type FCP in the IOCP, support storage controllers and devices with an FCP interface in z/VM, z/VSE, and Linux on System z environments.

Each port on a single FICON card can be configured individually and can be a different CHPID type.

**Configurations**

Storage controllers and devices with an FCP interface can be directly attached to the System z9 or System z10 server (point-to-point connection), or by using Fibre Channel switches or directors. A storage controller or device with an appropriate FCP interface may be attached to each port of a FICON feature, or of a Fibre Channel switch or director.

In addition, the following devices and controllers can be attached to each port on a Fibre Channel switch or director:

- **FC-AL controllers or devices, and FC-AL hubs**
  
  If the switch or director supports the Fibre Channel Arbitrated Loop (FC-AL) protocol, devices implementing this protocol may be attached to that port and accessed from the System z9 or System z10 server. Devices typically implementing the FC-AL protocol are tape units and libraries, and low-end disk controllers.

  If the switch or director does not support the FC-AL protocol, you can also install a FC-AL bridge between the switch or director and the FC-AL controller or device.

  If more than one FC-AL controller or device should be attached to a FC-AL switch port, it is convenient to use a Fibre Channel hub, where multiple devices with a FC-AL interface can be directly attached to that hub.

- **Fibre-Channel-to-SCSI bridges**

  Fibre-Channel-to-SCSI bridges can be used to attach storage controllers and devices implementing the electrical, parallel SCSI interface. Different types of Fibre-Channel-to-SCSI bridges may support different variants of the parallel SCSI interface, such as Low Voltage Differential (LVD), High Voltage Differential (HVD), Single Ended, wide (16-bit) versus narrow (8-bit) interfaces, and different link speeds.

Each System z9 or System z10 FCP channel (CHPID) can support up to 480 subchannels, where each subchannel represents a communication path between software and the FCP channel. Refer to “Channel and device sharing” on page 59 for more information.

Host operating systems sharing access to a System z9 or System z10 FCP channel can establish in total up to 2048 concurrent connections to up to 510 different remote Fibre Channel ports associated with Fibre Channel controllers.

The total number of concurrent connections to end devices, identified by logical unit numbers (LUNs), must not exceed 4096.
**I/O devices**

The FCP channel implements the FCP standard as defined by the INCITS Fibre Channel Protocol for SCSI (FCP), and Fibre Channel Protocol for SCSI, Second Version (FCP-2), as well as the relevant protocols for the SCSI-2 and SCSI-3 protocol suites. Theoretically, each device conforming to these interfaces should work when attached to a System z9 or System z10 FCP channel as previously defined. However, experience tells us that there are small deviations in the implementations of these protocols. Therefore, it is advisable to do appropriate conformance and interoperability testing to verify that a particular storage controller or device can be attached to an FCP channel in a particular configuration (i.e. attached via a particular type of Fibre Channel switch, director, hub, or Fibre-Channel-to-SCSI bridge).

Also, for certain types of FCP and SCSI controllers and devices, specific drivers in the operating system may be required in order to exploit all the capabilities of the controller or device, or to cope with unique characteristics or deficiencies of the device.

For a list of storage controllers and devices that have been verified to work in a Fibre Channel network attached to a System z9 or System z10 FCP channel, and for specific software requirements to support FCP and SCSI controllers or devices, refer to [http://www.ibm.com/servers/eserver/zseries/connectivity](http://www.ibm.com/servers/eserver/zseries/connectivity).

**Addressing**

FCP channels use the Queued Direct Input/Output (QDIO) architecture for communication with the operating system. IOCP is only used to define the QDIO data devices. The QDIO architecture for FCP channels, derived from the QDIO architecture that had been defined for communications via an OSA card, defines data devices that represent QDIO queue pairs, consisting of a request queue and a response queue. Each queue pair represents a communication path between an operating system and the FCP channel. It allows an operating system to send FCP requests to the FCP channel via the request queue. The FCP channel uses the response queue to pass completion indications and unsolicited status indications to the operating system.

IOCP is not used to define the actual Fibre Channel storage controllers and devices, nor the Fibre Channel interconnect units such as switches, directors, or bridges. IOCP is only used to define the QDIO data devices. The Fibre Channel devices (end nodes) in a Fibre Channel network are addressed using World Wide Names (WWNs), Fibre Channel Identifiers (IDs), and Logical Unit Numbers (LUNs). These addresses are configured on an operating system level, and passed to the FCP channel together with the corresponding Fibre Channel I/O or service request via a logical QDIO device (queue).

**Channel and device sharing**

An FCP channel can be shared between multiple operating systems, running in a logical partition or as a guest operating system under z/VM. Under z/VM, multiple z/VM, CMS, Linux on System z, and z/VSE guests are able to share SCSI channels and devices using z/VM Fixed Block Architecture (FBA) emulation. To access the FCP channel directly, each operating system needs its own QDIO queue pair, defined as a data device on an FCP channel directly in the IOCP.

Each FCP channel can support up to 480 QDIO queue pairs. This allows each FCP channel to be shared among 480 operating system instances.
Channel and device sharing using NPIV: N_Port ID Virtualization (NPIV) allows the sharing of a single physical FCP channel and attached devices, logical units, among operating system images, whether in logical partitions or as z/VM guests in virtual machines. This is achieved by assigning a unique WWPN to each subchannel that is defined for an FCP Channel using IOCP.

Each operating system instance using such a subchannel and its associated QDIO queues therefore also uses its own WWPN. When the operating system image starts using its subchannel, the FCP channel performs a login to the Fibre Channel fabric and acquires a unique Fibre Channel ID, also called N_Port ID. This ID is used in all further Fibre Channel communication that is done on behalf of this operating system image. System z9 and System z10 firmware takes care for the persistent and unique assignment of WWPNs to FCP subchannel.

Access controls based on the assigned WWPN can be applied in the SAN environment, using standard mechanisms such as zoning in FC switches and Logical Unit Number (LUN) masking in the storage controllers. You can configure the SAN prior to the installation of a new machine using the WWPN prediction tool available on Resource Link.

NPIV exploitation requires a Fibre Channel director or switch that supports the NPIV standard. If such a director or switch is installed, NPIV mode can be enabled for the FCP channel that attaches to this Fibre Channel switch or director through the Support Element. This enablement can be done on logical partition base, i.e., per FCP channel image.

NPIV is not supported in a point-to-point topology.

Channel and device sharing without NPIV: Without NPIV support, multiple operating system images can still concurrently access the same remote Fibre Channel port through a single FCP channel. However, Fibre Channel devices or logical units, identified by their LUNs, cannot be shared among multiple operating system images through the same FCP channel.

Positioning
FCP and SCSI are industry-standard protocols, which have been implemented by many vendors in a large number of different types of storage controllers and devices. These controllers and devices have been widely accepted in the marketplace and proven to be adequate to meet the requirements regarding reliability, availability, and serviceability (RAS) in many environments.

However, it must be noted that there are some advanced, unique RAS characteristics of System z9 and System z10 storage attachments based on ESCON and FICON attachments, using System z9 and System z10 channel programs (and the Extended Count Key Data (ECKD™) protocol in the case of disk control units), that may not be readily available in such an FCP or SCSI based world. Therefore, whenever there are very stringent requirements regarding isolation, reliability, availability, and serviceability, a conscious decision must be made whether FCP attached storage controllers and devices or classical System z9 and System z10 FICON or ESCON attached control units should be used. Customers requiring the more robust RAS characteristics should choose FICON or ESCON channels.

SCSI Initial Program Load (IPL)
This function allows you to IPL an operating system from an FCP-channel-attached disk, to execute either in an LPAR or as a guest operating system under z/VM. In particular, SCSI IPL can directly IPL a System z10 EC operating system which has
previously been installed on a SCSI disk. Thus, there is no need for a classical channel (ESCON or FICON) attached device, such as an ECKD disk control unit, in order to install and IPL a System z10 EC operating system. The IPL device is identified by its Storage Area Network (SAN) address, consisting of the WWPN of the disk controller, and the Logical Unit Number (LUN) of the IPL device.

You can also IPL a standalone-dump program from an FCP channel attached SCSI disk. The standalone-dump program can also store the generated dump data on such a disk.

Support of SCSI IPL in z/VM allows Linux on System z, z/VSE, and other guest operating systems that support SCSI IPL to be IPLed from FCP-attached SCSI disk, when z/VM is running on a z10 EC or equivalent server equipped with the SCSI IPL function. Therefore, z/VM, z/VSE, and Linux on System z guests may be started and run completely from FCP channel attached disk in your hardware configuration.

z/VM provides the capability to install z/VM from a DVD to an Enterprise Storage Server® (ESS) SCSI disk emulated as a Fixed Block Architecture (FBA) disk as well as Enterprise Storage Server from a DVD to a 3390 disk. Thus, z/VM and its Linux on System z guests may be started and run completely from FCP disks on your hardware configuration. Refer to z/VM subset of the 2097DEVICE Preventive Service Planning (PSP) bucket for any service required for z/VM support for SCSI IPL.

z/VM supports SCSI-attached disks to be used for installation, IPL, and operations such as storing dumps, and other functions, while continuing to provide support for ESCON-attached or FICON-attached disk or tape.

z/VM SCSI support allows a Linux on System z server farm and z/VSE to be deployed on z/VM in a configuration that includes only SCSI disks.

z/VM provides the capability to dump Linux on System z guests to FCP-attached SCSI disks. Benefits include:
• More guest virtual memory can be dumped because SCSI disks can be larger than ECKD disks
• Avoids the need to convert a VMDUMP into a Linux tool format
• Allows the same SCSI dump mechanisms to be used when running Linux for System z in an LPAR and in a z/VM virtual machine.

For Linux on System z support for SCSI IPL refer to this website:  

For additional information on:
• How to use SCSI IPL for an LPAR, refer to the System z10 Support Element Operations Guide or to the System z Hardware Management Console Operations Guide
• Messages that can show up on the operating systems console on the SE or Hardware Management Console, refer to System z Small Computer Systems (SCSI) IPL - Machine Loader Messages
• How to use the SCSI IPL function for a z/VM guest, refer to  
• How to prepare a Linux on System z IPL disk or a Linux on System z dump disk, refer to [http://www.ibm.com/developerworks/linux/linux390](http://www.ibm.com/developerworks/linux/linux390) for appropriate Linux on System z publications.
ESCON channels

The ESCON channel provides a 17 MBps link data rate between host and control units for I/O devices. ESCON supports half-duplex data transfers over 62.5 multimode fiber optic cabling.

The ESCON channel provides a light-emitting diode (LED) light source for fiber optic cables. It can extend up to 3 kilometers (1.86 U.S. miles), a range that can be further extended to 6 or 9 kilometers (km) by retransmission through one or two ESCON directors.

With the availability of four LCSSs, you can define a maximum of 1024 ESCON channels on your z10 EC, up to a maximum of 69 features per system. The maximum number of configurable channels is 256 per LCSS and per operating system image. The high density ESCON feature has 16 ports, 15 of which can be activated for your use. One port is always reserved as a spare, in the event of a failure of one of the other ports. When four ports are ordered, two 16-port ESCON features are installed and two ports are activated on each feature. After the first pair, ESCON features are installed in increments of one. ESCON channels continue to be ordered in increments of four channels.

ESCON supports these operating system environments: z/OS, z/VM, z/VSE, TPF, z/TPF, and Linux on System z.

ESCON channels affect the performance of the channel subsystem. Maximizing channel subsystem performance is an important consideration in configuring I/O devices to a z10 EC general purpose model CPC. Channel subsystem performance depends on the factors described in this chapter.

For an explanation of basic ESCON channel concepts, refer to Introducing Enterprise Systems Connection. For detailed information about synchronous and nonsynchronous I/O operation, refer to Storage Subsystem Library Introduction to Nonsynchronous Direct Access Storage Subsystems.

Multipath Initial Program Load (IPL)

z/OS on System z10 EC allows the system to attempt an IPL on alternate paths, if available., if I/O errors occur during the IPL. The system will attempt the IPL on an alternate path until all paths have been attempted or until the IPL is successful.

This function is applicable for all ESCON features with CHPID type CNC.

ESCON converter operation

You can configure ESCON converter channels (attached to a parallel converter - for example, the IBM 9034 or the Optica 34600 FXBT) for block and byte multiplexer mode of operation. The data mode of operation is determined by the multiplexer mode (byte or block). This is selected for specific channels when either the CPC or an LPAR is initialized.

As many as eight channel paths are available to attach to any I/O device. During any I/O operation, one of the available channel paths is selected. Channel path selection is a hardware function rather than a function of the system control program.
At the start of an I/O operation, a central processor signals the channel subsystem that an I/O operation is needed. An I/O request is posted to a queue; meanwhile, instruction execution in the central processor continues.

For more information, refer to the Enterprise System Architecture/390 System 360 and System 370 I/O Interface Channel to Control Unit OEMI.

**Channel multiplexing modes**

The data mode of operation is determined by the multiplexer mode (byte or block). This is selected for specific channels when either the CPC or an LPAR is initialized.

**Block multiplexer mode of operation:** In block multiplexer mode of operation, a device stays connected to a channel continuously during the transfer of a full block of data.

Block multiplexer mode of operation allows a control unit to present ‘channel end’ and to disconnect from a channel at the completion of a specified operation. “Device End” is presented at a later point. During the interval between “channel end” and “device end” another device attached to the same channel can be started or can complete an operation that is ready. However, if the second device does connect to the same channel during this interval, the first device may find the channel busy when it tries to reconnect, and then the first device must wait for service.

ESCON can be configured for block multiplexer mode of operation. In block multiplexer mode of operation, ESCON channels configured as CVC channel paths can operate in either interlock (high-speed transfer) mode or in data-streaming mode. They can also be attached to control units that operate in high-speed transfer or in data-streaming mode. Data rates can be as high 4.5 MBps for ESCON CVC channel paths.

**Byte multiplexer mode of operation:** Byte interleave mode of operation allows the execution of multiple I/O operations concurrently. Byte multiplexer mode permits several relatively slow-speed I/O devices to operate at the same time. Each addressed device requesting service is selected for transfer of a byte or a group of bytes to or from main storage. Bytes from multiple devices are interleaved on the channel and routed to or from the desired locations in main storage.

The load that a byte multiplexer channel can sustain is variable. It is governed by I/O device performance factors such as the data transfer rate, device buffers, number of bytes per data burst on the channel, channel program requirements, synchronized mechanical motion, and priority sequence position on the I/O interface.

ESCON converter channels (defined as CBY) can be configured for byte multiplexer mode of operation. In byte multiplexer mode of operation, ESCON channels configured as CBY channel paths can operate in either byte multiplexer mode or in burst mode. CBY channels require a 9034 ESCON converter. Byte multiplexer mode permits several relatively slow-speed I/O devices to operate at the same time.

**Byte multiplexer mode and burst mode:** A byte multiplexer channel can be monopolized by one I/O device (burst mode) or shared by many I/O devices (byte multiplexer mode). The number of bytes transferred at a time in byte multiplexer mode can be one (single byte transfers) or more than one (multibyte transfers). Most control units that operate in byte multiplexer mode can also
operate in burst mode. A manually set switch at the control unit determines whether the control unit operates in burst mode or byte multiplexer mode.

Some devices offer a choice of how many bytes are transferred during a single data transfer sequence in byte multiplexer mode.

Because most of the time spent in a data-transfer control sequence is for control, increasing the burst size (the number of bytes transferred per sequence) results in a relatively small increase in the total channel busy time for the sequence. Also, increasing the burst size reduces the number of data transfer sequences required. The net effect is a significant improvement in channel efficiency and a higher allowable data rate.

Burst mode, although most effective in the use of channel resources, can cause another device on the byte multiplexer channel to exceed its critical time. From the perspective of the control unit, burst mode occurs when the time contributed by the control unit in a transfer sequence is more than 32 microseconds. (Refer to the Enterprise System Architecture/390 System 360 and System 370 I/O Interface Channel to Control Unit OEMI.)

If the device configuration guidelines are followed for byte multiplexer channels on a general purpose model CPC, deferred accesses are minimized and data transfer sequences exceeding 32 microseconds are acceptable when large burst sizes are specified.

Most class-2 and class-3 devices that can operate in burst mode should be attached to block multiplexer channels for better performance.

**I/O operations control**

ESA/390 and z/Architecture I/O operations are performed by executing a channel program that consists of one or more chained Channel Command Words (CCWs). Each CCW contains a command and other information that is used by both the channel and control unit in executing the I/O operation.

Channel commands are segmented into six basic categories with many variations based on control unit type. A channel program is initiated and controlled by executing one or more of the ESA/390 and z/Architecture I/O instructions described below. I/O interruptions may result during the execution of a channel program to notify the CP of progress or completion.

**Channel commands**

The six basic channel commands are:

- **Write** Initiates the transfer of data from main storage to an I/O device.
- **Read** Initiates the transfer of data from an I/O device to main storage.
- **Read Backward** Initiates the transfer of data from an I/O device to main storage, storing data bytes in reverse order.
- **Control** Specifies operations such as set tape density, rewind tape, advance paper in a printer, or sound an audible alarm.
- **Sense** Requests information from a control unit. The information contains unusual conditions detected during the last I/O operation and detailed device status.
**Transfer in Channel (TIC)**

Specifies the location in main storage where the next CCW in the channel program is to be fetched. The TIC command provides branching between CCWs in noncontiguous storage areas. A TIC command cannot specify a CCW containing another TIC command.

**ESA/390 mode and z/Achitecture mode I/O instructions**

In ESA/390 mode or z/Achitecture mode, any CP can initiate I/O operations with any I/O device and can handle I/O interruptions from any I/O device. Each I/O device is assigned a unique device number, and is associated with one subchannel.

The CPs communicate with devices by specifying the appropriate subchannel. The subchannel uses the assigned device address to communicate with the device over one or more channel paths. The device number provides a path-independent means to refer to a device for use in operator messages or at the time of IPL.

For descriptions of these instructions, refer to the *Enterprise System Architecture/390 Principles of Operation* or *z/Achitecture Principles of Operation* manual.

The I/O instructions for operation in ESA/390 mode or z/Achitecture mode are:

- Cancel I/O (XSCH)
- Clear Subchannel (CSCH)
- Halt Subchannel (HSCH)
- Modify Subchannel (MSCH)
- Reset Channel Path (RCHP)
- Resume Subchannel (RSCH)
- Set Channel Monitor (SCHM)
- Start Subchannel (SSCH)
- Store Channel Report Word (STCRW)
- Store Subchannel (STSCH)
- Test Pending Interruption (TPI)
- Test Subchannel (TSCH).

The SSCH instruction specifies an operation request block, which designates the channel program.

**Chaining operations**

Following the transfer of information over a channel designated by a Channel Command Word (CCW), an operation initiated by the Start Subchannel (SSCH) instruction can be continued by fetching a new CCW. Fetching a new CCW immediately following the completion of the previous CCW is called chaining. Chaining is described in more detail in the *Enterprise System Architecture/390 Principles of Operation* or *z/Achitecture Principles of Operation* manual.

CCWs located in contiguous areas of central storage (successive doubleword locations) can be chained. Chains of CCWs located in noncontiguous storage areas can be coupled for chaining purposes by using a Transfer in Channel command. All CCWs in a chain refer to the I/O device specified in the original instruction.

The type of chaining (data or command) is specified by chain-data and chain-command flag bits in the CCW.

**Data Chaining**

When the data transfer specified by the current CCW is finished, data chaining causes the operation to continue by fetching a new CCW and using the storage area defined by the new CCW. Execution of the operation at the I/O device is not affected.
Command Chaining
Each time a new CCW is fetched during command chaining, a new I/O operation is specified. The new operation is initiated when the device end signal for the current operation is received, unless suspension is specified in the new CCW. When command chaining takes place, the completion of the current operation does not cause an I/O interruption.

I/O interruptions
I/O interruptions report the completion of I/O operations to the CPs, error and time-out conditions, and progress.

Ending status information about the operation is available to the control program at the end of the I/O operation. When an I/O operation is completed, an I/O interruption request is sent to a central processor. When the request is honored, an I/O interruption occurs and places the central processor under control of the I/O new program status word (PSW). Until an I/O interruption condition is honored, it is called a pending I/O interruption.

Errors detected by the channel subsystem are reported to the CPs as I/O interruptions or machine-check interruptions. I/O interruptions report the following hardware-related conditions:
- Interface Control Check (IFCC); for example, interface tag errors and time-outs.
- Channel Control Check (CCC); for example, parity, decode, or control errors.
- Channel Data Check (CDC); for example, a parity error detected in central storage.

Machine-check interruptions include the following:
- Unrecoverable errors (retry is unsuccessful).
- Persistent errors (retry can be attempted, but the error threshold is exceeded).
- Serious channel element errors that require immediate reporting or cannot be reported as an IFCC or CCC with an I/O interruption.

Resets
An I/O system reset is issued to all channels, and the channels signal a system reset to all attached I/O devices. An I/O system reset:
- Stops all subchannel operations.
- Resets interruptions and status in all subchannels.

An I/O system reset occurs as part of:
- Channel subsystem power-on reset.
- Initial program load.
- System reset.

A channel issues a selective reset to a specific I/O device in response to an IFCC, CCC, or as part of execution of the clear subchannel instruction. The status of the specific device is reset.

I/O interface protocol
The I/O interface protocol is determined by the interface sequencing operations selected for specific control units and their associated devices that are attached to the channel.
**Channel-to-Channel connection**

The Channel-to-Channel (CTC) function simulates an I/O device that can be used by one system control program to communicate with another system control program. It provides the data path and synchronization for data transfer between two channels. When the CTC option is used to connect two channels that are associated with different system, a loosely coupled multiprocessing system is established. The CTC connection, as viewed by either of the channels it connects, has the appearance of an unshared I/O device.

The CTC is selected and responds in the same manner as any I/O device. It differs from other I/O devices in that it uses commands to open a path between the two channels it connects, and then synchronizes the operations performed between the two channels.

**ESCON CTC support:** The parallel I/O CTC architecture defines two operating modes for CTC communication: basic mode and extended mode. ESCON CTC support for both of these modes is available.

**ESCON channels (using link-level and device-level protocols):** You can achieve ESCON channel-to-channel connections between CPCs with ESCON or FICON Express channels if one of the ESCON channels is defined to operate in channel-to-channel (CTC) mode.

ESCON CTC type channels can talk with FICON Express FCV type channels through a FICON bridge only, not with FICON FC type channels.

ESCON channels that operate in CTC mode (extended mode or basic mode) can be defined as shared ESCON channels. For more information, refer to "Multiple Image Facility (MIF)" on page 48.

For detailed information about the ESCON channel-to-channel adapter, refer to *Enterprise Systems Architecture/390 ESCON Channel-to-Channel Adapter*.

**Channel timeout functions**

The optional timeout function described here applies only to ESCON channels that attach to a 9034 ESCON converter channel.

Each channel path has I/O interface timeout functions that time the control unit delays in completing the following I/O interface sequences:

- A 6-second timeout for all selection and status presentation sequences. A timeout occurs if the sequence is not complete within 6 seconds.
- A 30-second timeout for data transfer. A timeout occurs if a byte of data is not transferred within 30 seconds.

If a timeout occurs, the channel terminates the I/O request to the control unit and generates an IFCC interruption.

The timeout function detects malfunctions in control units and I/O devices that can cause the channel path to be unusable to other control units and I/O devices. The timeout function is specified as active or inactive for a device by IOCP when the IOCDS is created.

**Control unit (CU) priority on an I/O interface**

CU priority on an I/O interface applies only to ESCON channels attached to a 9034 ES Connection Converter channel.
CU priority on the I/O interface of a channel depends on the order in which they were attached. If the CUs are connected to the “select out” line, the first CU has the highest priority. If the CUs are attached to the “select in” line, the priority sequence is reversed. CUs attached to the “select out” line have priority over CUs attached to the “select in” line.

![Diagram showing CU priority on ESCON channels attached to a 9034 ES connection converter]

**Dynamic reconnection**

The channel subsystem permits dynamic reconnection of I/O devices that have the dynamic-reconnection feature installed and that are set up to operate in a multipath mode, such as the IBM 3390 Direct Access Storage Model A14 or A22. Dynamic reconnection allows the device to reconnect and continue a chain of I/O operations using the first available channel path (one of as many as eight possible channel paths defined in an IOCP parameter). The selected path is not necessarily the one used initially in the I/O operation.
ESCON channel performance

Channel subsystem performance can be examined by observing two measurements:
- Response time (the amount of time taken to complete an I/O operation).
- Throughput (the number of I/O operations an I/O subsystem can complete in a given amount of time).

Channel subsystem response time and throughput can be divided into four major components:
- **Queuing and setup time**
  - The time taken for a channel path, control unit, and device to become available.
  - The time taken for a channel to send the I/O operation commands to the control unit.
- **Control unit and device time**
  The time required by the control unit and device to prepare for the transfer of data for the I/O operation. For example, a non-cached DASD control unit may have to wait for the DASD’s seek and latency times before being ready to accept or send data.
- **Data transfer time**
  The time it takes to transfer the data for the I/O operation.
- **Completion time**
  The time it takes for the channel and control unit to post the status of and end the I/O operation.

Factors affecting the components of ESCON channel performance

Factors that affect the various components of performance include:
- Synchronous or nonsynchronous type of operation
- Data transfer rate
- Attached device characteristics
- Channel subsystem workload characteristics.

**Synchronous and nonsynchronous I/O operation:** For detailed information about concepts described in this section, refer to Storage Subsystem Library Introduction to Nonsynchronous Direct Access Storage Subsystems.

**Synchronous operation**

Most DASD devices in a parallel environment transfer data synchronously. Synchronous operation requires that the channel, control unit, and device be active at the same time.

All work involved in ending an operation and advancing to the next operation must be completed before the DASD head reaches the next record (commonly referred to as the inter-record gap). If this does not occur, a rotational positional sensing/sensor (RPS) miss or an overrun is generated and the operation must wait for one DASD revolution before continuing.

**Nonsynchronous operation**

Nonsynchronous operation removes the requirements of synchronous operation. During nonsynchronous operation, the channel, control unit, and device do not have to be active at the same time to perform an I/O operation; thereby:
• Increasing DASD storage potential (by reducing inter-record gap).
• Allowing the channel and control units to be separated by longer distances.
• Eliminating command overruns.
• Reducing response time (by reducing RPS misses).
• Permitting the channel to perform other operations during the time it would normally wait for the device (this increases the throughput of the system).

Extended count key data (ECKD) channel programs are required to gain the benefits of nonsynchronous I/O operations. Count key data (CKD) channel programs are supported, but without the benefit of nonsynchronous operation. CKD channel-program performance could be degraded relative to ECKD channel programs in a nonsynchronous environment.

**Data transfer rate:** The I/O subsystem data rate is the data transfer rate between processor storage and the device during an I/O operation.

The I/O subsystem data rate is made up of three components:

- **Channel data rate** - The rate that the channel transfers data between the transmission link and processor storage during an I/O operation. For ESCON channels, the link speed is 20 MBps and the channel data rate is 17 MBps at 0 distance. The data rate increases with distance.
- **Control unit data rate** - The rate that the control unit transfers data between the control unit and the transmission link during an I/O operation.
- **Device data rate** - The rate of data transfer between the control unit and the device. This rate depends on the control unit and device you use.

The I/O subsystem data rate is the lowest of the channel data rate, the control unit data rate, and the device data rate. In cases where the data comes from the control unit or is stored on the control unit and not directly to the device (for example, a cache read), the I/O subsystem data rate is the lower of the two: channel data rate or the control unit data rate.

The I/O subsystem data rate affects only the data transfer portion of the response time for an I/O operation. Response time and throughput both improve (response time decreases and throughput increases).

**I/O device characteristics:** The characteristics of devices attached to a channel subsystem can have a substantial effect on performance. Device characteristics such as caches, buffers, and data transfer rates all affect response time and throughput.

**Channel subsystem workload characteristics:** The performance of a specific I/O configuration varies based on the workload characteristics of that configuration. Two significant factors that determine workload characteristics and affect response time and throughput are channel program characteristics and cache-hit rates.

**Channel program characteristics**

Channel program characteristics affect channel subsystem performance. ESCON channel subsystems using link-level and device-level protocols perform nonsynchronous data transfers, and should use extended count key data (ECKD) channel programs.
Count key data (CKD) channel programs run in an ESCON environment, but may increase response times and reduce throughput due to lost DASD rotations.

Channel programs that contain indirect data address words (IDAWs), Transfer in Channel commands (TICs), and chained data commands, or that have poorly-aligned data boundaries, cause longer storage-response and increase channel subsystem response times.

Chained data commands increase response time due to an additional interlocked exchange between the channel and control unit. Refer to “ESCON performance characteristics” for more information.

The amount of data to be transferred per I/O operation affects throughput. As the amount of data transferred per I/O operation increases (the ratio of data transferred to overhead improves), throughput improves.

**Cache-hit rates**

For control units which implement caches, cache-hit rates affect the channel subsystem performance. As the cache-hit rate increases, response time and throughput improve. The cache-hit rate is the percentage of times when data needed for a read operation is in the control unit’s cache. For example, a cache-hit rate of 70% means that the required data is in the cache for 7 out of 10 read operations.

The cache-hit rate is significant because data is transferred out of the cache at the control unit’s maximum data transfer rate, while data from the device is transferred at lower device speeds. This means that the higher the cache-hit rate, the better the response time and the better the throughput.

**ESCON performance characteristics**

With ESCON channels you need to consider the distance between the channel and the control unit since this affects the setup and completion times of an I/O operation. As the distance between the channel and the control unit increases, the response time increases and the throughput decreases. Channel and control unit utilization also increases as distance between the channel and control unit increases.

The speed of data transfer through fiber optic cable is subject to the Propagation delay time is determined by two factors: the speed of light through the optical fiber (which is fixed), and the length of the fiber optic link. Propagation delay time increases as the distance between elements in a fiber optic environment increase.

Interlocked exchange affects response time. Interlocked exchange requires that the channel (or control unit) wait for a response from the control unit (or channel) before proceeding with the next step of an I/O operation. As distance increases, the interlocked-exchange response time increases because of longer propagation delay times.

The throughput and response time for a shared ESCON channel are comparable to that of an unshared ESCON channel with comparable workload.

**OSA channels**

OSA channels include all OSA-Express2 and OSA-Express3 features. OSA-Express2 features can be carried forward on an upgrade from a z890 or z9 BC server.
Note: Unless noted differently, throughout this section, the term “OSA features” refers to all the OSA-Express3 and OSA-Express2 features.

**Supported CHPID types**

OSA channels support the following modes of operation:

- **CHPID type OSD**
  - OSA-Express2 or OSA-Express3 features is running in QDIO mode.
    - QDIO mode is the preferred architecture on System z9 and System z10 for high-speed communication, helping to reduce host interruptions and improve response time.
    - TCP/IP traffic when Layer 3
    - Protocol-independent when Layer 2

- **CHPID type OSE**
  - OSA-Express2 or OSA-Express3 features is running in non-QDIO mode.
  - SNA/APPN/HPF and/or TCP/IP passthru (LCS)

- **CHPID type OSC**
  - OSA-Integrated Console Controller (OSA-ICC)
  - TN3270E, non-SNA DFT to IPL CPCs and LPARs
  - Operating system console operations

- **CHPID type OSN**
  - OSA-Express for NCP
  - Supports channel data link control (CDLC) protocol. This provides connectivity between System z operating systems and IBM Communication Controller for Linux (CCL).
    - CCL allows you to keep data and applications on the mainframe operating systems while moving NCP function to Linux on System z. CCL on System z helps to improve network availability by replacing token-ring networks and ESCON channels with an Ethernet network and integrated LAN adapters on System z10, OSA-Express3 GbE or 1000BASE-T Ethernet features, or OSA-Express2 GbE or 1000BASE-T Ethernet features.
    - Requires the configuring to be done on a port-by-port basis
    - Used exclusively for internal communication, LPAR-to-LPAR
    - Supports concurrent LIC updates
    - Supported in the z/OS, z/VM, z/VSE, TPF, z/TPF, and Linux on System z environments.

For more detailed information on these operating modes, refer to the *System z10, System z9 and eServer zSeries Open Systems Adapter-Express Customer’s Guide and Reference*.

**OSA/SF**

The Open Systems Adapter Support Facility (OSA/SF) is a host-based tool to support and manage the OSA features operating in QDIO (CHPID type OSD), non-QDIO mode (CHPID type OSE), or for OSA for NCP (CHPID type OSN). The OSA/SF is used primarily to manage all OSA ports, configure all OSA non-QDIO ports, and configure local MACs.

One OSA/SF application can communicate with all OSA features in a hardware complex. OSA/SF communicates with an OSA feature through a device predefined on the OSA feature. The device type is OSAD.

OSA/SF is not required to set up the OSA features in QDIO mode (CHPID type OSD). However, it can be used to set up MAC addresses and set adapter speed.
For channels (CHPID type OSN), OSA/SF does not provide any configuration management capabilities but provides capabilities only for operations management.

OSA/SF includes a Java-based Graphical User Interface (GUI) in support of the client application. The Java GUI is independent of any operating system/server (transparent to operating system), and is expected to operate wherever the Java 1.4 runtimes are available.

Interoperability testing has been performed for Windows 2000, Windows XP, and Linux on System z.

Use of the GUI is optional; a REXX command interface is also included with OSA/SF. OSA/SF has been, and continues to be, integrated in z/OS, z/VM, and z/VSE and runs as a host application. For OSA/SF, Java GUI communication is supported via TCP/IP only.

The Layer 3 OSA Address Table (OAT) displays all IP addresses registered to an OSA port.

OSA/SF has the capability of supporting virtual Medium Access Control (MAC) and Virtual Local Area Network (VLAN) identifications (IDs) associated with the OSA-Express2 and OSA-Express3 features configured as a Layer 2 interface.

These OSA/SF enhancements are applicable to CHPID type OSD, OSE, and OSN.

For more detailed information on OSA/SF, refer to System z10, System z9 and eServer zSeries Open Systems Adapter-Express Customer’s Guide and Reference.

**OSA-Express3 features**

The OSA-Express3 features are designed for use in high-speed enterprise backbones, for local area network connectivity between campuses, to connect server farms to z10 EC, and to consolidate file servers onto z10 EC. The workload can be Internet Protocol (IP) based or non-IP based. All OSA-Express3 features are hot-pluggable.

OSA-Express3 provides the following enhancements compared to OSA-Express2:

- Double the port density on a single feature. Although the maximum number of combined OSA features supported on the z10 EC server is 24, when all ports are OSA-Express3, a maximum of 96 OSA-Express3 ports of LAN connectivity are allowed compared to a maximum of 48 when all ports are OSA-Express2. With more ports per feature, the number of I/O slots and I/O resources can be reduced.

- Optimized latency mode helps to improve the performance of z/OS workloads by minimizing response times for inbound and outbound data when servicing remote clients. This only applies to OSA-Express3 and CHPID type OSD (QDIO).

- Reduced latency and improved throughput for standard frames (1492 bytes) and jumbo frames (8992 bytes) due in part by the PCIe adapter and the hardware data router.

OSA-Express3 features have PCIe adapters. The PCIe adapter supports 8 lanes and transfers data at a rate of 2.5 Gbps per lane (data transfer rate of 2 GBps in each direction). There are two ports on each PCIe adapter.
**Note:** For operating systems to exploit the four ports, software updates must be installed.

The hardware data router provides a direct host memory to LAN flow without firmware intervention.

The OSA-Express3 features includes:

- **OSA-Express3 Gigabit Ethernet (GbE) LX (FC 3362)**
  OSA-Express3 GbE LX has four ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having two ports. Supports CHPID types: OSD and OSN.
  The OSA-Express3 GbE LX uses a 9 micron single mode fiber optic cable with an LC duplex connector and a link data rate of 1000 Mbps (1 Gbps). However, OSA-Express3 GbE LX also accommodates the reuse of existing multimode fiber (50 or 62.5 micron) when used with a pair of mode conditioning patch (MCP) cables. It is designed to support unrepeated distances of up to 5 km (3.1 miles). If using MCP cables, the supported unrepeated distance is 550 meters (1804 feet).

- **OSA-Express3 Gigabit Ethernet (GbE) SX (FC 3363)**
  OSA-Express3 GbE SX has four ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having two ports. Supports CHPID types: OSD and OSN.
  The OSA/Express3 GbE SX uses a 50 or 62.5 micron multimode fiber optic cable with an LC duplex connector and a link data rate of 1000 Mbps (1 Gbps). The supported unrepeated distances vary:
  - With 50 micron fiber at 500 MHz-km: 550 meters (1804 feet)
  - With 62.5 micron fiber at 200 MHz-km: 273 meters (902 feet)
  - With 62.5 micron fiber at 160 MHz-km: 220 meters (772 feet)

- **OSA-Express3 1000BASE-T Ethernet (FC 3367)**
  OSA-Express3 1000BASE-T Ethernet has four ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having two ports. Supports CHPID types: OSD, OSE, OSC, and OSN.
  The OSA-Express3 1000BASE-T Ethernet uses a EIA/TIA Category 5 Unshielded Twisted Pair (UTP) cable with an RJ-45 connector and a maximum length of 100 meters (328 feet). It supports a link data rate of 10, 100, or 1000 Mbps; half duplex and full duplex operation modes; and autonegotiations to other speeds.

- **OSA-Express3 10 Gigabit Ethernet (GbE) Long Reach (LR) (FC 3370)**
  OSA-Express3 10 GbE LR has two ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having one port. Supports CHPID types: OSD.
  OSA-Express3 10 GbE LR uses a 9 micron single mode fiber optic cable with an LC duplex connector and a link data rate of 10 Gbps. It is designed to support unrepeated distances of up to 10 km (6.2 miles).
  OSA-Express3 10 GbE LR does not support autonegotiation to any other speed. It supports 64B/66B coding.

- **OSA-Express3 10 Gigabit Ethernet (GbE) Short Reach (SR) (FC 3371)**
  OSA-Express3 10 GbE SR has two ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having one port. Supports CHPID types: OSD.
  OSA-Express3 10 Gigabit Ethernet SR uses a 50 or 62.5 micron multimode fiber optic cable with an LC duplex connector and a link data rate of 10 Gbps. The supported unrepeated distances vary:
  - With 50 micron fiber at 2000 MHz-km: 300 meters (984 feet)
  - With 50 micron fiber at 500 MHz-km: 82 meters (269 feet)
All OSA-Express3 features support full duplex operation and standard frames (1492 bytes) and jumbo frames (8992 bytes).

**OSA-Express2 features**

OSA-Express2 LAN adapters help to ensure you have a balanced system to satisfy the bandwidth demands of your applications. The OSA-Express2 features are hot-pluggable.

The maximum number of combined OSA features supported on the z10 EC server is 24.

OSA-Express2 features include:

- **OSA-Express2 Gigabit Ethernet (GbE) LX (FC 3364)**
  OSA-Express2 GbE LX has two ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having one port. Supports CHPID types: OSD and OSN.
  The OSA-Express2 GbE LX uses a 9 micron single mode fiber optic cable with an LC duplex connector and a link data rate of 1000 Mbps (1 Gbps). However, OSA-Express2 GbE LX also accommodates the reuse of existing multimode fiber (50 or 62.5 micron) when used with a pair of mode conditioning patch (MCP) cables. It is designed to support unrepeated distances of up to 5 km (3.1 miles). If using MCP cables, the supported unrepeated distance is 550 meters (1804 feet).

- **OSA-Express2 Gigabit Ethernet (GbE) SX (FC 3365)**
  OSA-Express2 GbE SX has two ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having one port. Supports CHPID types: OSD and OSN.
  The OSA-Express2 GbE SX uses a 50 or 62.5 micron multimode fiber optic cable with an LC duplex connector and a link data rate of 1000 Mbps (1 Gbps). The supported unrepeated distances vary:
  - With 50 micron fiber at 500 MHz-km: 550 meters (1804 feet)
  - With 62.5 micron fiber at 200 MHz-km: 273 meters (902 feet)
  - With 62.5 micron fiber at 160 MHz-km: 220 meters (772 feet)

- **OSA-Express2 1000BASE-T Ethernet (FC 3366)**
  OSA-Express2 1000BASE-T Ethernet has two ports per feature occupying a single I/O slot. Each feature has two CHPIDs, with each CHPID having one port. Supports CHPID types: OSD, OSE, OSC, and OSN.
  The OSA-Express2 1000BASE-T Ethernet uses a EIA/TIA Category 5 Unshielded Twisted Pair (UTP) cable with an RJ-45 connector and a maximum length of 100 meters (328 feet). It supports a link data rate of 10, 100, or 1000 Mbps over a copper infrastructure; half duplex and full duplex operation modes; and autonegotiations to other speeds.

- **OSA-Express2 10 Gigabit Ethernet (GbE) Long Reach (LR) (FC 3368)**
  OSA-Express2 10 GbE LR is used as the enterprise backbone, between campuses, to connect server farms to, and consolidate file servers on, your z10 EC server.
  OSA-Express2 10 GbE LR has one CHPID per feature, with one port on that CHPID. Supports CHPID types: OSD.
  OSA-Express2 10 GbE LR uses a 9 micron single mode fiber optic cable with an SC duplex connector and a link data rate of 10 Gbps. It is designed to support unrepeated distances of up to 10 km (6.2 miles).
All OSA-Express2 features support full duplex operation and standard frames (1492 bytes) and jumbo frames (8992 bytes).

**OSA-Express3 and OSA-Express2 supported functions**

The following is a list of functions supported by the OSA-Express3 and OSA-Express2 features in QDIO mode (CHPID type OSD):

**Note:** Throughout this section, the term “OSA” refers to both OSA-Express3 and OSA-Express2.

**Dynamic LAN idle**
The OSA LAN idle timer value defines how long OSA will hold packets before presenting the packets to the host. The LAN idle function now allows the host OS to dynamically update the existing LAN idle timer values (defined within the QIB) while the specific QDIO data device is in the QDIO active state.

Dynamic LAN idle support is exploited by z/OS V1.8 with PTFs or later and z/VM 5.3 or later for guest exploitation.

**OSA-Express Network Traffic Analyzer Trace facility**
The OSA-Express Network Traffic Analyzer Trace facility is a diagnostic tool used to copy frames as they enter or leave an OSA adapter for an attached host. This facility is controlled and formatted by the z/OS Communications Server, but the data is collected in the OSA at the network port. Because the data is collected at the Ethernet frame level, you can trace the MAC headers for packets. You can also trace ARP packets, SNA packets, and packets being sent to and from other users sharing the OSA.

To enable the OSA-Express Network Traffic Analyzer Trace facility, you must be running with a minimum of z/OS V1.8 with PTFs or later.

**Queued Direct I/O Diagnostic Synchronization (QDIOSYNC)**
Queued Direct I/O Diagnostic Synchronization provides the ability to coordinate and simultaneously capture software (z/OS) and hardware (OSA) traces. This function allows the host operating system to signal the OSA feature to stop traces and allows the operator to capture both the hardware and software traces at the same time. You can specify an optional filter that alters what type of diagnostic data is collected by the OSA adapter. This filtering reduces the overall amount of diagnostic data collected and therefore decreases the likelihood that pertinent data is lost.

To use the Queued Direct I/O Diagnostic Synchronization facility, you must be running with a minimum of z/OS V1.8 with PTFs or later.

**Dynamic link aggregation support for the z/VM environment**
This function dedicates an OSA port to the z/VM 5.3 or later operating system for link aggregation under z/VM Virtual Switch-controlled link aggregation. Link aggregation (trunking) is designed to allow you to combine multiple physical OSA ports of the same type into a single logical link. You can have up to eight OSA ports in one virtual switch. This increases bandwidth and permits nondisruptive failover in the event that a port becomes unavailable. This function also supports dynamic add/remove of OSA ports and full-duplex mode (send and receive).

This function is supported on all OSA-Express2 and OSA-Express3 features in Layer 2 mode in QDIO mode (CHPID type OSD).
Multiple Image Facility (MIF) and spanned channels
OSA features support the Multiple Image Facility (MIF) for sharing channels across LPARs. They can be defined as a spanned channel to be shared among LPARs within and across LCSSs.

QDIO data connection isolation
QDIO data connection isolation provides protection for workloads (servers and clients) hosted in a virtual environment from intrusion or exposure of data and processes from other workloads.

This function applies to the OSA-Express3 and OSA-Express2 features (CHPID type OSD) on System z10 and to OSA-Express2 features (CHPID type OSD) on System z9.

Layer 2 (Link Layer) support
OSA features can support two transport modes when using CHPID type OSD (QDIO): Layer 2 (Link Layer) and Layer 3 (Network or IP Layer). Layer 2 support can help facilitate server consolidation and will allow applications that do not use IP protocols to run on the z10 EC server.

640 TCP/IP stacks
Increasing the TCP/IP stacks allows you to host more Linux on System z images. OSA supports 640 TCP/IP stacks or connections per dedicated CHPID, or 640 total stacks across multiple LPARs using a shared or spanned CHPID when priority specification is disabled.

Large send
Large send improves performance by offloading TCP packet processing from the host to the TCP/IP stack. Offloading allows the host to send IP datagrams up to 64K in size. The IP datagram is controlled by the host TCP/IP stack. Sending larger data blocks reduces host processor utilization while increasing network efficiencies.

Concurrent LIC update
Allows you to apply LIC updates without requiring a configuration off/on, thereby minimizing the disruption of network traffic during the update. This applies to CHPID types OSD and OSN.

Layer 3 virtual MAC
The z/OS Layer 3 Virtual MAC (VMAC) function simplifies the network infrastructure and facilitates IP load balancing when multiple TCP/IP instances are sharing the same OSA port or Media Access Control (MAC) address. With Layer 3 VMAC support, each TCP/IP instance has its own unique "virtual" MAC address instead of sharing the same universal or "burned in" OSA MAC address. Defining a Layer 3 VMAC provides a way for the device to determine which stack, if any, should receive a packet, including those received for IP addresses that are not registered by any TCP/IP stack. With Layer 3 VMAC in a routed network, OSA appears as a dedicated device to the particular TCP/IP stack, which helps solve many port-sharing issues.

Layer 3 Virtual MAC function is supported by z/OS V1.8 with PTFs or later and z/VM V5.3 or later for guest exploitation.

Jumbo frames
When operating at 1 Gbps (fiber or copper) and 10 Gbps (fiber), use of jumbo frames (8992 bytes) are supported.
HiperSockets

HiperSockets “network within the box” functionality allows high speed any-to-any connectivity among OS images within the z10 EC server without requiring any physical cabling. This “network within the box” concept minimizes network latency and maximizes bandwidth capabilities between z/VM, Linux on System z, z/VSE, and z/OS images (or combinations of these) to enable optimized e-business and ERP solutions within a single server. These images can be first level (i.e. directly under LPAR), or second level images (i.e. under z/VM). Up to 16 separate internal LANs can be configured within a server thereby allowing OS images to be grouped according to the function they provide. These groupings are independent of sysplex affiliation.

Separate HiperSockets LANs are mainly required if some logical partitions need to be isolated from other logical partitions. Each LAN is configured as an CHPID type IQD.

In addition the number of communication queues is 4096 and each queue can have three subchannels. If you want the internal LANs shared between partitions in different LCSSs then the channel must be spanned. For more information on spanned channels, refer to “Spanned channels” on page 48.

Broadcast support

Internet Protocol Version 6 (IPv6) broadcast packets are supported over HiperSockets internal LANs. TCP/IP applications that support IPv6 broadcast, such as OMPROUTE when running Routing Information Protocol Version 1 (RIPv1), can send and receive broadcast packets over HiperSockets interfaces. IPv4 and IPv6 broadcast support is available for HiperSockets on z/OS, z/VM V5.3 or later, and Linux on System z. Refer to [http://www.ibm.com/developerworks/linux/linux390/](http://www.ibm.com/developerworks/linux/linux390/) for more information on Linux on System z support.

IPv6 support

HiperSockets supports Internet Protocol Version 6 (IPv6). IPv6 expands the IP address space from 32 bits to 128 bits to enable a greater number of unique IP addresses in support of the proliferation of devices, such as cell phones and PDAs, now connecting to the Internet.

IPv4 and IPv6 support is available for HiperSockets on z/OS, z/VM, and Linux on System z.

VLAN support

Virtual Local Area Networks (VLANs), IEEE standard 802.1q, is supported in HiperSockets in a Linux on System z environment. VLANs increase bandwidth and reduce overhead by allowing networks to be organized for more optimum traffic flow. The network is organized by traffic patterns rather than physical location. This allows traffic to flow on a VLAN connection over HiperSockets and between HiperSockets and OSA.

HiperSockets Network Concentrator

HiperSockets Network Concentrator simplifies network addressing between HiperSockets and OSA allowing seamless integration of HiperSockets-connected operating systems into external networks, without requiring intervening network routing overhead, thus helping to increase performance and simplify configuration.

### HiperSockets Network Traffic Analyzer

The HiperSockets Network Traffic Analyzer Trace facility is used to diagnose problems in a HiperSockets network. As data flows over an IQD channel, the HiperSockets Network Traffic Analyzer captures and analyzes each packet. The captured data can be displayed immediately or written to a file.

The captured data includes packets being sent to and from other users sharing the Hipersockets channel, such as logical partitions with z/OS, Linux on System z, z/VSE, or z/VM and z/VM guests.

To use this function, the level of authorization for the HiperSockets network traffic analyzer must be selected. This authorization determines the scope of the tracing. Then a HiperSocket tracing device must be activated on your system. This is performed by the operating system of the owning partition.

Setting the authorization level is performed on the Support Element using the Network Traffic Analyzer Authorization task. The levels of authorization are as follows:

- No traffic on any IQD channel for the selected server can be traced
- No traffic on the selected IQD channel can be traced
- All traffic on the selected IQD channel can be traced. (This traces all traffic flowing between all the logical partitions using this IQD CHPID.)
- Customized traffic flow between selected logical partitions can be traced.

From the Customize a HiperSockets NTA Logical Partition Authorization window, select the logical partition that will be authorized to set up, trace, and capture the HiperSockets network traffic. Then select all eligible partitions to be traced. Only the traffic flowing between the selected eligible partition or partitions will be traced.

The Support Element issues security logs to create an audit trail of the HiperSockets network traffic analyzer tracing activity.

### Layer 2 (Link Layer) support

HiperSockets supports two transport modes on the z10 EC: Layer 2 (Link Layer) and Layer 3 (Network and IP Layer). HiperSockets in Layer 2 mode can be used by Internet Protocol (IP) Version 4 or Version 6 and non-IP protocols (such as AppleTalk, DECnet, IPCX, NetBIOS, or SNA).

Each HiperSockets device has its own Layer 2 MAC address and allows the use of applications that depend on a Layer 2 address such as DHCP servers and firewalls. LAN administrators can configure and maintain the mainframe environment in the same fashion as they do in other environments. This eases server consolidation and simplifies network configuration.

The HiperSockets device performs automatic MAC address generation to create uniqueness within and across logical partitions and servers. MAC addresses can be locally administered, and the use of Group MAC addresses for multicast and broadcasts to all other Layer 2 devices on the same HiperSockets network is
supported. Datagrams are only delivered between HiperSockets devices using the same transport mode (Layer 2 with Layer 2 and Layer 3 with Layer 3).

A HiperSockets Layer 2 device may filter inbound datagrams by VLAN identification, the Ethernet destination MAC address, or both. This reduces the amount of inbound traffic, leading to lower CPU utilization by the operating system.

As with Layer 3 functions, HiperSockets Layer 2 devices can be configured as primary or secondary connectors or multicast routers enabling high performance and highly available Link Layer switches between the HiperSockets network and an external Ethernet.

HiperSockets Layer 2 support is exclusive to System z10 and is supported by Linux on System z and by z/VM guest exploitation.

For hardware and software requirements, refer to the z/OS, z/VM, z/VSE subsets of the 2097DEVICE Preventive Service Planning (PSP) bucket prior to installing System z10 EC.

**Multiple Write Facility**

HiperSockets allows the streaming of bulk data over a HiperSockets link between LPARs. The receiving LPAR can process a much larger amount of data per I/O interrupt. This function is transparent to the operating system in the receiving LPAR. HiperSockets Multiple Write Facility, with fewer I/O interrupts, is designed to reduce CPU utilization of the sending and receiving LPAR.

HiperSockets Multiple Write Facility is supported in the z/OS environment.
Chapter 6. Sysplex functions

This chapter describes the following z10 EC sysplex functions:
- “Parallel Sysplex”
- “Coupling facility” on page 87
- “System-managed CF structure duplexing” on page 92
- “Geographically Dispersed Parallel Sysplex (GDPS)” on page 93
- “Intelligent Resource Director (IRD)” on page 94

Parallel Sysplex

IBM Parallel Sysplex makes use of a broad range of hardware and software products to process, in parallel, a transaction processing workload across multiple z/OS images with direct read/write access to sharing data.

The Parallel Sysplex allows you to manage a transaction processing workload, balanced across multiple z/OS images running on multiple Central Processor Complexes (CPCs), as a single data management system. It also offers workload availability and workload growth advantages.

The Parallel Sysplex enhances the capability to continue workload processing across scheduled and unscheduled outages of individual CPCs participating in a Parallel Sysplex using a coupling facility by making it possible to dynamically reapportion the workload across the remaining active Parallel Sysplex participants. Additionally, you can dynamically add processing capacity (CPCs or LPs) during peak processing without disrupting ongoing workload processing.

z10 EC CPC support for the Parallel Sysplex consists of having the capability to do any or all of the following (refer to Table 10 on page 38):
- Configure IC links and define them as CHPID type ICP (peer link - connects to another IC)
- Install ISC-3 links and define them as CHPID type CFP (peer link - connects to another ISC-3)
- Install ICB-4 links (connects System z10 to System z9, z990, and z890) and define them as CHPID type CBP (peer link - connects to another ICB-4)
- Install 12x IFB links (connects System z10 to System z10 or System z10 to a System z9) and define them as CHPID type CIB (connects to another 12x IFB).
- Install 1x IFB links (connects System z10 to System z10) and define them as CHPID type CIB (connects to another 1x IFB).
- Define, as an LPAR, a portion or all of the CPC hardware resources (CPs, ICFs, storage, and coupling connections) for use as a coupling facility that connects to z/OS or another CF.
- Connect to a coupling facility for data sharing or resource sharing.

The z10 EC models provide the following support for the Parallel Sysplex:
- The z10 EC’s Parallel Sysplex support consists of supporting coupling facilities on z10 EC, supporting attachment to remote coupling facilities via various type of coupling links, supporting Server Time Protocol (STP) or attachment to a Sysplex Timer for purposes of sysplex-consistent time, and supporting various ancillary CPC functions used by Parallel Sysplex support.
Note: If you want to implement a Parallel Sysplex between two sites, the fiber distance has been increased to 100 km. Refer to page 94 for more information.

- Internal coupling links can be used to connect either z/OS images to coupling facilities (CFs) or CF images to other CF images within a z10 EC CPC. IC links have the advantage of providing CF communication at memory speed and do not require physical links.

These various interconnect formats provide the connectivity for data sharing between a coupling facility and the CPCs or LPARs directly attached to it.

### Parallel Sysplex coupling link connectivity

z10 EC supports IFB, ICB-4, ISC-3, and IC for passing information back and forth over high speed links in a Parallel Sysplex environment. These technologies are all members of the family of coupling connectivity options available on z10 EC. With Server Time Protocol (STP), coupling links can also be used to exchange timing information. Refer to "Server Time Protocol (STP)" on page 89 for more information about Server Time Protocol. Refer to Table 14 for a summary of the coupling link options.

**Table 14. Coupling link options**

<table>
<thead>
<tr>
<th>Link Type</th>
<th>CHPID Type</th>
<th>Description</th>
<th>Communication Use</th>
<th>Maximum Links</th>
</tr>
</thead>
<tbody>
<tr>
<td>IFB 2, 3</td>
<td>CIB</td>
<td>InfiniBand fiber connection between operating system and coupling facility</td>
<td>z10 EC, z10 BC z9 EC, z9 BC</td>
<td>32</td>
</tr>
<tr>
<td>ICB-4 2, 4</td>
<td>CBP</td>
<td>Copper connection between operating system and coupling facility</td>
<td>z10 EC, z10 BC z9 EC, z9 BC z990, z890</td>
<td>16</td>
</tr>
<tr>
<td>ISC-3 5</td>
<td>CFP</td>
<td>Fiber connection between operating system and coupling facility</td>
<td>z10 EC, z10 BC z9 EC, z9 BC zSeries</td>
<td>48</td>
</tr>
<tr>
<td>IC</td>
<td>ICP</td>
<td>Internal coupling channel</td>
<td>Internal communication between CFs and z/OS LPARs</td>
<td>32</td>
</tr>
</tbody>
</table>

**Note:**

1. The maximum number of coupling links combined (IFBs, ICs, ICB-4s, and active ISC-3 links) cannot exceed 64 per server.
2. The maximum number of ICB-4 and IFB coupling links combined cannot exceed 32 per server.
3. Each IFB link supports up to 16 CHPIDs (CHPID type CIB) per fanout. z10 EC Model E12 supports up to 16 IFB links. z10 EC Models E26, E40, E56, and E64 support up to 32 IFB links.
4. ICB-4 is not available on Model E64.
5. ISC-3 peer mode only.

**Notes:**

1. ISC-3, ICB-4, and IFB links require a point-to-point connection (direct connection between a CPC and a coupling facility).
2. ISC-3, ICB-4, and IFB links can be redundantly configured (two or more ISC-3, ICB-4, or IFB links from each CPC to enhance availability and avoid extended recovery time.

**Peer mode**

ICBs (ICB-4 to ICB-4), IFBs (InfiniBand to InfiniBand), and ISCs (ISC-3 to ISC-3) operate only in peer mode. Peer mode provides both sender and receiver capability on the same link. Peer links provide up to seven buffer sets.

Refer to Figure 8 for an illustration of these coupling links.

![Figure 8. Coupling link connectivity](image)

When coupling within a z10 EC server, the IC channel can be shared among several LPARs and one coupling facility partition.

**ISC-3 links**

The ISC-3 feature, with a link data rate of 2 Gbps, is a member of the family of coupling link options available on z10 EC. The ISC-3 feature is used by coupled systems to pass information back and forth over high speed links in a Parallel Sysplex environment. When STP is enabled, ISC-3 links can be used to transmit STP timekeeping information and can also be defined as timing-only links to other z10 EC servers, as well as z10 BC, z9 EC, z9 BC, z990, and z890 servers.

The z10 EC ISC-3 feature is compatible with ISC-3 features on z890, z990, z9 EC, z9 BC systems, and z10 BC. ISC-3 (CHPID type CFP) can be defined as a spanned
channel and can be shared among LPARs within and across LCSSs. z10 EC supports 48 ISC-3 links in peer mode, 12 features (four links per feature).

The ISC-3 feature is composed of:
- One Mother card (ISC-M), FC 0217
- Two Daughter cards (ISC-D), FC 0218.

Each daughter card has two ports or links, for a total of four links per feature. Each link is activated by using the Licensed Internal Code Configuration Control (LICCC) and can only be ordered in increments of one. The ISC-D is not orderable. Extra ISC-M cards can be ordered in increments of one, up to a maximum of 12, with the restriction that the number of mother cards cannot exceed the number of daughter cards. When the quantity of ISC links (FC 0219) is selected, the appropriate number of ISC-Ms and ISC-Ds is selected by the configuration tool. Each port operates at 2 Gbps.

Each port utilizes a Long Wavelength (LX) laser as the optical transceiver, and supports use of a 9 micron single mode fiber optic cable terminated with an industry standard small form factor LC duplex connector. The ISC-3 feature accommodates reuse (at reduced distances) of 50/125-micrometer multimode fiber optic cables when the link data rate does not exceed 1 Gbps. A pair of mode conditioning patch cables are required, one for each end of the link.

ICB-4 links
Integrated Cluster Bus (ICB-4) provides a connection between two z10 EC servers or between a z10 EC server and a z10 BC, z9 EC, z9 BC, z990, or z890 server. ICB-4s can be defined as a spanned channel and can be shared among LPARs within and across LCSSs. An ICB-4 connection consists of one link that attaches directly to an STI port in the system, does not require connectivity to a card in the I/O cage, and provides one output port to support ICB-4 to ICB-4 connectivity. One ICB-4 connection is required for each end of the link.

Note: ICB-4 is not available on a Model E64 server.

ICB-4 supports a link data rate of 2 GigaBytes per second (GBps) and carries traffic over 10 meters (33 feet) of copper cable, of which three meters are reserved for intraserver connection. Only one cable is required for each pair of ICB features. z10 EC requires new ICB-4 cables.

ICB-4 channel paths are defined as CHPID type CBP. ICB-4 channel paths allow a single channel to perform both send and receive functions on the same channel.

When STP is enabled, ICB-4 links can be used to transmit STP timekeeping information and can also be defined as timing-only links to other z10 EC, z10 BC, z9 EC, z9 BC, z990, and z890 servers. Refer to Figure 6 on page 44 for an overview of the ICB link connections.

ICB-4 cables are ordered to match the quantity of ICBs on order and how they are being used. The quantity of ICB cables can be reduced, but cannot exceed the quantity of ICB functions on order. An ICB-4 cable connecting a System z10 EC to a System z9 is different from an ICB-4 cable connecting a System z10 EC to a System z10 EC. ICB-4 cables are provided as a features on System z10 EC.
**InfiniBand (IFB) links**

There are four types of InfiniBand optical links supported by z10 EC, each supporting a point-to-point topology:
- 12x IB-DDR (12x InfiniBand Double Data Rate)
- 12x IB-SDR (12x InfiniBand Single Data Rate)
- 1x IB-DDR (1x InfiniBand Double Data Rate)
- 1x IB-SDR (1x InfiniBand Single Data Rate).

The 12x IB-DDR (12x InfiniBand Double Data Rate) and 12x IB-SDR (12x InfiniBand Single Data Rate) optical links are used to connect a System z10 to either a System z10 or a System z9. They support a maximum link distance over IFB fiber optic cabling of 150 meters (492 feet) - three meters are reserved for intraserver connection.

The 12x IB-DDR and 12x IB-SDR optical links initialize at the single data rate (3.0 Gbps) and auto-negotiate to a higher speed (6.0 Gbps) if both ends of the link support the higher speed. For example, when a System z10 is connected to a System z9 using point-to-point IFB cabling, the link auto-negotiates to the highest common data rate – 3 Gbps. When a System z10 is connected to a System z10, the link auto-negotiates to the highest common data rate – 6 Gbps.

For a 12x IB-DDR optical link, the IFB cable is connected to an HCA2-O fanout card on the System z10. For a 12x IB-SDR optical link, the IFB cable is connected to an HCA1-O fanout card on the System z9. The IFB cable is a 50 micron OM3 multimode fiber optic cable with MPO connectors.

Both the HCA2-O fanout card and the HCA1-O fanout card contain two ports. Each port has an optical transmitter and receiver module.

The 1x IB-DDR and 1x IB-SDR optical links are used to connect a System z10 to another System z10. They support a maximum unrepeated distance of 10 kilometers (6.2 miles) and a maximum repeated distance of 100 kilometers (62 miles) when attached to a qualified Dense Wavelength Division Multiplexer (DWDM). The list of qualified DWDM vendors is available on Resource Link, [http://www.ibm.com/servers/resourcelink](http://www.ibm.com/servers/resourcelink), located under the “Hardware products for server” on the Library page.

The 1x IB-DDR optical link operates at a link data rate of 5 Gbps (Gigabits per second) when connected to a DWDM capable of DDR speed. The 1x IB-SDR optical link operates at a link data rate of 2.5 Gbps (Gigabits per second) when connected to a DWDM capable of SDR speed. The speed may be auto-negotiated if the attached DWDM is capable of operating at SDR or DDR speeds. This environment supports use of 9 micron single mode fiber optic cables with LC duplex connectors. The 1x IB-DDR and 1x IB-SDR cable is connected to an HCA2-O LR fanout card on the z10 EC. HCA2-LR fanout card supports two ports for the 1x IB-DDR and 1x IB-SDR optical links.

**Note:** Note: The InfiniBand link data rates do not represent the performance of the link. The actual performance is dependent upon many factors including latency through the adapters, cable lengths, and the type of workload. Specifically, with 12x InfiniBand coupling links, while the link data rate can be higher than that of ICB, the service times of coupling operations are greater, and the actual throughput is less.
When STP is enabled, IFB links can be used to transmit STP timekeeping information and can also be defined as timing-only links to other z10 EC servers, as well as z10 BC, z9 EC, z9 BC, z990, and z890 servers.

The CHPID type assigned to InfiniBand is CIB. Up to 16 CHPID type CIB can be defined to an HCA2-O fanout card, distributed across the two ports as needed. The ability to define up to 16 CHPIDs on an HCA2-O fanout allows physical coupling links to be shared by multiple sysplexes. For example, one CHPID can be directed to one CF, and another CHPID directed to another CF on the same target server, using the same port.

There is a maximum of 64 coupling CHPIDs for all link types (IFBs, ICs, ICB-4s, and active ISC-3s per server). z10 EC servers can support up to 32 IFB links. The maximum number of external coupling links combined (ICB-4, IFB, and ISC-3 links) cannot exceed 56 per server.

**IC links**

Internal coupling (IC) links are used for internal communication between coupling facilities defined in LPARs and z/OS images on the same server. IC link implementation is totally logical requiring no link hardware. However, a pair of CHPID numbers must be defined in the IOCDS for each IC connection. IC links cannot be used for coupling connections to images in external systems.

IC links will have CHPID type ICP (Internal Coupling Peer). The rules that apply to CHPID type ICP are the same as those that apply to CHPID type CFP (ISC-3 peer links), with the exception that the following functions are not supported:

- Service On/Off
- Reset I/O Interface
- Reset Error Thresholds
- Swap Channel Path
- Channel Diagnostic Monitor
- Repair/Verify (R/V)
- Configuration Manager Vital Product Data (VPD).

IC links have improved coupling performance over ICB-4 and ISC-3 links. IC links also improve the reliability while reducing coupling cost. Up to 32 IC links can be defined on z10 EC models. However, the recommendation is to define two IC peer links (four CHPIDs type ICP).

Refer to “Internal coupling and HiperSockets channels” on page 49 for recommendations on CHPID usage.

**Timing-only links**

If you are configuring a timing network using STP, time synchronization may be required in configurations other than a Parallel Sysplex. For a server that is not part of a Parallel Sysplex, but required to be in the same Coordinated Timing Network (CTN), additional coupling links must be configured in order for the server to be configured in the CTN. These coupling links are called timing-only links. Use HCD to define timing-only links and generate an STP control unit.
### Coupling facility

The coupling facility provides shared storage and shared storage management functions for the Parallel Sysplex (for example, high speed caching, list processing, and locking functions). Applications running on z/OS images in the Parallel Sysplex define the shared structures used in the coupling facility.

PR/SM LPAR allows you to define the coupling facility, which is a special LPAR that runs Coupling Facility Control Code (CFCC). CFCC is Licensed Internal Control Code (LICC). It is not an operating system. There are two versions of CFCC:
- ESA architecture (31-bit)
- z/Architecture (64-bit).

When the CFCC is loaded by using the coupling facility partition activation, the z/Architecture CFCC is always loaded. However, when CFCC is loaded into a coupling facility guest of z/VM, the ESA architecture or z/Architecture CFCC version is loaded based on how that guest is running.

At LPAR activation, CFCC automatically loads into the coupling facility partition from the Support Element hard disk. No initial program load (IPL) of an operating system is necessary or supported in the coupling facility partition.

CFCC runs in the coupling facility partition with minimal operator intervention. Operator activity is confined to the Operating System Messages task. PR/SM LPAR limits the hardware operator controls usually available for LPARs to avoid unnecessary operator activity. For more information, refer to System z10 Processor Resource/Systems Manager Planning Guide.

A coupling facility link provides the connectivity required for data sharing between the coupling facility and the CPCs directly attached to it. Coupling facility links are point-to-point connections that require a unique link definition at each end of the link.

### CFCC considerations

To support migration from one coupling level to the next, you can run different levels of the coupling facility concurrently in different coupling facility partitions on the same or different CPCs. Refer to “CFCC concurrent patch apply” on page 88 for a description of how a CF patch or a new CFCC level can be applied to one coupling facility partition in a CPC while not affecting the code level of other coupling facility partitions in the same CPC.

When migrating CF levels, the lock, list, and cache structure sizes increase to support new functions. This adjustment can have an impact when the system allocates structures or copies structures from one coupling facility to another at different CFCC levels.

For any CFCC level upgrade, you should always run the CFSIZER tool that takes into account the amount of space needed for the current CFCC levels. The CFSIZER tool is available at [http://www.ibm.com/systems/support/z/cfsizer/](http://www.ibm.com/systems/support/z/cfsizer/).

The content of each CFCC level is available at [http://www.ibm.com/systems/z/advantages/pso/cftable.html](http://www.ibm.com/systems/z/advantages/pso/cftable.html).
**CFCC concurrent patch apply**

CFCC LICC maintenance and upgrades can be performed while the z/OS images connected to it continue to process work and without requiring a POR of the server on which the CF is located. This is done by installing the latest LICC changes onto the server, moving the structures on the CF to the second CF in the Parallel Sysplex, recycling the CF LPAR, and moving the structures back again. With CFCC concurrent patch apply, you can:

- Selectively apply the new patch to one of possibly several CFs running on a z10 EC. For example, if you have a CF that supports a test Parallel Sysplex and a CF that supports a production Parallel Sysplex on the same z10 EC, you now have the ability to apply a disruptive patch to only the test CF without affecting the production CF. After you have completed testing of the patch, it can be applied to the production CF as identified in the example.
- Allow all other LPARs on the z10 EC where a disruptive CFCC patch will be applied to continue to run without being impacted by the application of the disruptive CFCC patch.

This process does not change the characteristics of a concurrent CFCC patch, but does significantly enhance the availability characteristics of a disruptive CFCC patch by making it much less disruptive.

**CFCC Level 16**

CFCC Level 16 provides the following enhancements:

- Coupling Facility duplexing protocol enhancements provide faster service time when running System-Managed CF structure duplexing by allowing one of the duplexing protocol exchanges to complete asynchronously. More benefits are seen as the distance between the CFs becomes larger, such as in a multisite Parallel Sysplex.
- CF subsidiary list notification enhancements provided to avoid false scheduling overhead for Shared Message Queue CF exploiters.
- Latch Fairness provides more consistent response time from CF requests.

CFCC Level 16 includes the support introduced in previous CFCC levels.

**CFCC Level 15**

CFCC Level 15 provides the following:

- Increase in the allowable tasks in the coupling facility from 48 to 112.
- RMF measurement improvements.

CFCC Level 15 includes the support introduced in previous CFCC levels.

**CFCC Level 14**

CFCC Level 14 provides dispatcher and internal serialization mechanisms enhancements to improve the management of coupled workloads from all environments under certain circumstances.

CFCC Level 14 includes the support introduced in previous CFCC levels.

**CFCC Level 13**

CFCC Level 13 provides Parallel Sysplex availability and performance enhancements. It provides changes that affect different software environments that run within a Parallel Sysplex. For example, DB2 data sharing is expected to see a performance improvement, especially for cast-out processing against very large DB2 group buffer pool structures.
CFCC Level 13 includes the support introduced in previous CFCC levels.

**CFCC Level 12**

CFCC level 12 provides support for the following functions:

- **64-bit addressing**
  
The 64-bit addressing supports larger structure sizes and eliminates the 2 GB “control store” line in the coupling facility. With this support, the distinction between ‘control store’ and ‘non-control store’ (data storage) in the coupling facility is eliminated, and large central storage can be used for all coupling facility control and data objects.

- **48 internal tasks**
  
  Up to 48 internal tasks for improved multiprocessing of coupling facility requests.

- **System-managed CF structured duplexing (CF duplexing)**
  
  CF duplexing is designed to provide a System z10 EC model, hardware assisted, easy-to-exploit mechanism for duplexing CF structure data. This provides a robust recovery mechanism for failures such as loss of single structure or CF, or loss of connectivity to a single CF, through rapid failover to the other structure instance of the duplex pair. Refer to “System-managed CF structure duplexing” on page 92 for more information.

**CFCC Level 11**

CFCC Level 11 provides support for the following function:

- **System-managed CF structured duplexing (CF duplexing)**
  
  CF duplexing is designed to provide an S/390 G5/G6 model, hardware assisted, easy-to-exploit mechanism for duplexing CF structure data. This provides a robust recovery mechanism for failures such as loss of single structure or CF, or loss of connectivity to a single CF, through rapid failover to the other structure instance of the duplex pair. Refer to “System-managed CF structure duplexing” on page 92 for more information.

**Coupling connection considerations**

There are several limits regarding coupling connections to be aware of when ordering and configuring these resources. Refer to Table 14 on page 82 for information on these link limits.

If individual link limits are exceeded, IOCP issues caution messages and HCD issues errors. Refer to the System z10 and System z9 Stand-Alone Input/Output Configuration Program User’s Guide for details.

**Server Time Protocol (STP)**

Server Time Protocol (orderable feature code 1021) provides the means for multiple System z10, System z9, z990, and z890 servers to maintain time synchronization with each other without using a Sysplex Timer. STP is designed to synchronize servers configured in a Parallel Sysplex or a sysplex without a coupling facility, as well as servers that are not in a sysplex.

Server Time Protocol is a server-wide facility that is implemented in the Licensed Internal Code (LIC) of z10 EC server and CFs and presents a single view of time to Processor Resource/Systems Manager (PR/SM). STP uses a message-based protocol to transmit timekeeping information over externally defined coupling links between servers. The coupling links used to transport STP messages include
ISC-3 links configured in peer mode, IFB links, and ICB-4 links. These links can be the same links already being used in a Parallel Sysplex for coupling facility communications.

By using the same links to exchange timekeeping information and coupling facility messages in a Parallel Sysplex, STP can scale with distance. Servers exchanging messages over short distance links, such as ICB-4 links and IFB links, are designed to meet more stringent synchronization requirements than servers exchanging messages over long distance links, such as ISC-3 (distances up to 100 km) and IFB (distances up to 150 m), where the synchronization requirements are less stringent.

The STP design introduces a concept called Coordinated Timing Network (CTN). A Coordinated Timing Network (CTN) is a collection of servers and coupling facilities that are time synchronized to a time value called Coordinated Server Time. The concept of a Coordinated Timing Network fulfills two key goals:

- Concurrent migration from an existing External Time Reference (ETR) network to a time network using STP
- Capability of servers that cannot support STP to be synchronized in the same network as servers that support STP (z10 EC, z10 BC, z9 EC, z9 BC, z990, and z890).

A CTN can be configured in two ways:

- **Mixed CTN** - Allows the coexistence of non-STP capable servers (z800 and z900 servers) in an External Time Reference (ETR) timing network with STP capable servers. In a Mixed CTN, the Sysplex Timer provides the timekeeping for the network. z800 and z900 servers are the only non-capable servers that can coexist in a Mixed CTN.

- **STP-only CTN** - Each server must be configured with same CTN ID. The HMC or Support Element provides the user interface for all time related functions, such as time initialization, time adjustment, and offset adjustment. The HMC or Support Element must also be used to initialize or modify the CTN ID and network configuration.

With STP you can:

- Initialize the time manually or by using an External Time Source (ETS). The ETS can be a dial-out time server or a connection to a Network Time Protocol (NTP) server so the Coordinated Server Time can be set to within 100 milliseconds of the ETS.
- Schedule periodic dial outs to a time service so that Coordinated Server Time may be gradually steered to an international time standard.

**Note:** If scheduled operations are set up to access the external time source and “Use NTP” or “Use NTP with pulse per second (PPS)” is selected on the ETS Configuration panel, the requests generated by scheduled operations will be ignored.

- Initialize Time Zone offset, Daylight Saving Time (DST) offset, and Leap seconds offset
- Schedule changes to Time Zone offset, Daylight Saving Time (DST) offset, and Leap Seconds offset. STP can automatically schedule Daylight Saving Time, based on the selected Time Zone
- Enhance the accuracy to an external time source by utilizing pulse per second (PPS) output from NTP servers. This allows you to set your time to an external time source to within 10 microseconds.
- Obtain external time source (ETS) by accessing the HMC that is running the NTP server. Using the HMC as an NTP server allows you to obtain your time source from the internet or another NTP server.

- Improve availability when power has failed for a single server (a server having the role of Preferred Time Server (PTS) and Current Time Server (CTS)) or when there is a site power outage in a multi-site configuration where the server having the role of PTS/CTS is installed.

  If an Internal Battery Feature (IBF) is installed on your z10 BC server, STP can receive notification that power has failed and that the IBF is engaged. When STP receives this notification from a server that has the role of PTS/CTS, STP can automatically reassign the role of the Current Time Server (CTS) to the Backup Time Server (BTS), thus automating the recovery action and improving availability.

- Use an Application Programming Interface (API) to automate an STP CTN reconfiguration. If the Preferred Time Server (PTS) fails and the Backup Time Server (BTS) takes over as the Current Time Server (CTS), an API is available on the HMC so you can automate the reassignment of the PTS, BTS, and Arbiter roles. This improves availability by avoiding a single point of failure after the BTS has taken over as the Current Time Server (CTS).

- Save the STP configuration and time information across Power on Resets (POR) or power outages for a single or dual server STP-only CTN. This means you do not need to reinitialize the time or reassign the PTS/CTS role for a single server STP-only CTN or the PTS, BTS, or CTS roles for a dual server STP-only CTN across POR or power outage events.

- Generate z/OS messages and messages on the HMC when hardware events that affect the External Time Source (ETS) configured for an STP-only CTN occur.

The benefits of STP include:

- Allowing clock synchronization without requiring the Sysplex Timer and dedicated timer links. This reduces costs by eliminating Sysplex Timer maintenance costs, power costs, space requirements, and fiber optic infrastructure requirements.

- Supporting a multisite timing network of up to 100 km over fiber optic cabling, thus allowing a sysplex to span these distances. This overcomes the limitation of timer to timer links being supported only up to 40 km.

- Potentially reducing the cross-site connectivity required for a multisite Parallel Sysplex. Dedicated links are no longer required to transport timing information because STP and coupling facility messages may be transmitted over the same links.

- Supporting the configuration of different NTP servers for the Preferred Time Server (PTS) and the Backup Time Server (BTS), which improves the availability of NTP servers used as an external time source. Only the PTS or the BTS can be the Current Time Server in an STP-only CTN. If the PTS/CTS cannot access the NTP server or the pulse per second (PPS) signal from the NTP server, the BTS, if configured to a different NTP server, may be able to calculate the adjustment required and propagate it to the PTS/CTS. Then, the PTS/CTS will perform the necessary time adjustment steering.
System-managed CF structure duplexing

A set of architectural extensions to the Parallel Sysplex is provided for the support of system-managed coupling facility structure duplexing (CF duplexing) of coupling facility structures for high availability. All three structure types (cache structures, list structures, and locking structures) can be duplexed using this architecture.

Support for these extensions on z10 EC is concurrent with the entire System z family of servers. It also requires the appropriate level for the exploiter support of CF duplexing. CF duplexing is designed to:

- Provide the necessary base for highly available coupling facility structure data through the redundancy of duplexing.
- Enhance Parallel Sysplex ease of use by reducing the complexity of CF structure recovery.
- Enable some installations to eliminate the requirement for standalone CFs in their Parallel Sysplex configuration.

For those CF structures that support use of CF duplexing, customers have the ability to dynamically enable (selectively by structure) or disable the use of CF duplexing.

The most visible change for CF duplexing is the requirement to connect coupling facilities to each other with coupling links. The required connectivity is bi-directional with a peer channel attached to each coupling facility for each remote CF connection. A single peer channel provides both the sender and receiver capabilities; therefore, only one physical link is required between each pair of coupling facilities. If redundancy is included for availability, then two peer mode links are required, however, this connectivity requirement does not necessarily imply any requirement for additional physical links. Peer mode channels can be shared between ICF partitions and local z/OS partitions, so existing links between servers can provide the connectivity between both:

- z/OS partitions and coupling facility images,
- Coupling facility images.

One of the benefits of CF duplexing is to hide coupling facility failures and structure failures and make total loss of coupling facility connectivity incidents transparent to the exploiters of the coupling facility. This is handled by:

- Shielding the active connectors to the structure from the observed failure condition so that they do not perform unnecessary recovery actions.
- Switching over to the structure instance that did not experience the failure.
- Reestablishing a new duplex copy of the structure at a specified time. This could be as quickly as when the coupling facility becomes available again, on a third coupling facility in the Parallel Sysplex, or when it is convenient for the customer.

System messages are generated as the structure falls back to simplex mode for monitoring and automation purposes. Until a new duplexed structure is established, the structure will operate in a simplex mode and may be recovered through whatever mechanism provided for structure recovery prior to the advent of CF duplexing.
As the two instances of a system-managed duplex structure get update requests, they must coordinate execution of the two commands to ensure that the updates are made consistently to both structures. Most read operations do not need to be duplexed.

z/OS operator commands display the status of the links for problem determination. In addition, the Resource Management Facility (RMF) provides the performance management aspects about the CF-CF connectivity and the duplexed structures. Together, these enable the installation to manage and monitor the coupling facility configuration and new structure instances resulting from CF duplexing.

For more information on CF duplexing, you can refer to the technical white paper, System-Managed CF Structure Duplexing at the Parallel Sysplex website, [http://www.ibm.com/systems/z/ps0](http://www.ibm.com/systems/z/ps0).

**Geographically Dispersed Parallel Sysplex (GDPS)**

In e-business, two important objectives for survival are systems designed to provide continuous availability and near transparent disaster recovery (DR). Systems that are designed to deliver continuous availability combine the characteristics of high availability and near continuous operations to deliver high levels of service - targeted at 24 x 7.

To attain high levels of continuous availability and near-transparent disaster recovery, the solution should be based on geographical clusters and data mirroring. These technologies are the backbone of the GDPS solution. GDPS offers three different solutions based on the underlying mirroring technology:

- The GDPS solution, based on Peer-to-Peer Remote Copy (PPRC, recently renamed to IBM System Storage® Metro Mirror), is referred to as GDPS/PPRC.
- The GDPS solution based on Extended Remote Copy (XRC, recently renamed to IBM System Storage z/OS Global Mirror), is referred to as GDPS/XRC.
- The GDPS solution based on IBM System Storage Global Mirror is referred to as GDPS/Global Mirror.

GDPS is an integrated, automated application and data availability solution designed to provide the capability to manage the remote copy configuration and storage subsystem(s), automate Parallel Sysplex operational tasks, and perform failure recovery from a single point of control, thereby helping to improve application availability. GDPS is independent of the transaction manager (e.g., CICS® TS, IMS®, WebSphere®) or database manager (e.g., DB2, IMS, and VSAM) being used, and is enabled by means of key IBM technologies and architectures.

**GDPS/PPRC**

GDPS/PPRC is designed to manage and protect IT services by handling planned and unplanned exception conditions, and maintain data integrity across multiple volumes and storage subsystems. By managing both planned and unplanned exception conditions, GDPS/PPRC can help to maximize application availability and provide business continuity.

GDPS/PPRC is capable of the following attributes:

- Near continuous availability solution
- Near transparent D/R solution
- Recovery Time Objective (RTO) less than an hour
- Recovery Point Objective (RPO) of zero (optional)
Protects against localized area disasters (distance between sites limited to 100 km fiber)

Server Time Protocol (STP) allows you to have a GDPS/PPRC configuration across two sites up to 100 km apart. STP overcomes the limitations of the Sysplex Timer to Sysplex Timer links being supported only up to 40 km. STP is designed to eliminate the need for a third site to locate the second Sysplex Timer for installations where the multisite sysplex spans a distance greater than 40 km but less than 100 km.

**GDPS/XRC**

Extended Remote Copy (XRC) is a combined hardware and z/OS software asynchronous remote copy solution. GDPS/XRC includes automation to manage remote copy pairs and automates the process of recovering the production environment with limited manual intervention, including invocation of CBU. This provides the ability to perform a controlled site switch for a planned or unplanned site outage and gives significant value in reducing the duration of the recovery window and requiring less operator interaction.

GDPS/XRC is capable of the following attributes:
- Disaster recovery solution
- RTO between an hour to two hours
- RPO less than one minute
- Protects against localized as well as regional disasters (distance between sites is unlimited)
- Minimal remote copy performance impact

**GDPS/Global Mirror**

Global Mirror enables a two-site disaster recovery and backup solution for z/OS and open systems environments. Using asynchronous technology, Global Mirror is designed to maintain a consistent and restartable copy of data at a remote site that can be located at virtually unlimited distances from the local site.

GDPS/Global Mirror is capable of the following attributes:
- Disaster recovery solution
- RTO between an hour to two hours
- RPO less than one minute
- Protects against regional disasters (distance between sites is unlimited)
- Minimal remote copy performance impact
- Support for z/OS and open data

**Intelligent Resource Director (IRD)**

Intelligent Resource Director (IRD) is a function that optimizes your workload’s resource utilization of the z10 EC across multiple LPARs.

It strengthens key z10 EC and z/Architecture platform technologies, including z/OS workload manager (WLM), Processor Resource/Systems Manager (PR/SM) (logical partitioning hardware technology) and Parallel Sysplex clustering technology. This powerful combination provides the ability to dynamically manage workloads within multiple logical operating system images executing on a single z10 EC server, as a single large-scale computer resource, with dynamic workload management and physical resource balancing built into the native operating system and underlying hardware.
With IRD, z/OS WLM will exploit Parallel Sysplex technologies to monitor performance of workloads on multiple images against those workload goals. z/OS WLM will then interact with the PR/SM hypervisor, directing PR/SM to dynamically adjust the physical CPU and I/O resource allocation of the hardware across the multiple operating system instances. This adjustment will not require Parallel Sysplex data-sharing and will be totally transparent to customer workload applications.

IRD not only combines PR/SM, z/OS WLM, and Parallel Sysplex for LPAR CPU management, but it also includes Dynamic Channel Path Management (DCM) and I/O (Channel) Subsystem Priority to increase business productivity.

Through IRD technology extensions, the Parallel Sysplex will be able to dynamically change system image weights, reconfigure channels on the fly, and vary logical processors online and offline dynamically to maximize overall throughput across all of the system images to enable the most critical business application of highest priority to get the resources (CPU and I/O) it needs.

**LPAR CPU management (clustering)**

An LPAR cluster is the subset of the systems in a Parallel Sysplex that are running as LPARs on the same server.

LPAR CPU management allows dynamic adjustment of processor resources across partitions in the same LPAR cluster. Through the z/OS WLM policy, installations specify the business importance and goals for their workloads. WLM will then manage these sets of LPARs to provide the processor resources needed for the work to meet its goals based on business importance.

LPAR CPU management requires z/OS WLM goal mode and a coupling facility structure that contains critical status information enabling cross-partition management of CP and I/O resources. These functions are delivered as a part of z/OS and CFCC Level 15 on the z10 EC machines.

LPAR CPU management can manage Linux on System z on an LPAR running on regular CPs, but not on IPFs.

**I/O priority queuing (IOPQ)**

I/O subsystem priority queuing extends the classic strengths of I/O priority queuing by addressing other challenges that are not currently handled by existing I/O priority schemes.

For example, prior to I/O subsystem priority queuing, discretionary work in one partition could dominate channels shared with business critical work in another partition. With this function, z/OS WLM and the Hardware Management Console set priorities that will be used to give the business-critical work higher priority access to the channels. This in turn may allow customers that do not exploit MIF, in order to prevent such problems, to be able to do so now and may lead to reduced overall channel requirements. These new capabilities will help provide optimal workload management.

The range of I/O weights for each LPAR is set within the Hardware Management Console. WLM adjusts the I/O weights within this range. It can be a fixed range, in which WLM would play no part.
**Dynamic channel path management (DCM)**

This portion of IRD is a combination of hardware strengths and software flexibility. Paths can now be managed between the processor and the control units in the system. Dynamic channel path management (DCM) enables the system to respond to ever changing channel requirements by moving channels from lesser used control units to more heavily used control units as needed. DCM can manage control units connected to ESCON channels.

When used with z/OS WLM in goal mode, z/OS WLM is able to direct DCM to move channels to help business critical work achieve its goals. This also helps reduce the requirement for greater than 256 channels.

I/O priority queuing and DCM benefit the Parallel Sysplex environment, with increased benefit in a multi-image environment (Parallel Sysplex). Although Parallel Sysplex data sharing is not required for IRD, the benefits of combining the two are unsurpassed.

I/O priority queueing has no value in a single-system environment.

**Table 15. IOPQ in a single-system environment**

<table>
<thead>
<tr>
<th></th>
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<th></th>
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</thead>
<tbody>
<tr>
<td>LPAR CPU Mgmt</td>
<td>Yes</td>
<td>Yes</td>
<td>Little (Vary Logical CP)</td>
</tr>
<tr>
<td>DCM</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>IOPQ</td>
<td>No</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

*Note: Both DCM and IOPQ do have more value with goal mode.*

**Workload manager (WLM)**

With the z10 EC, workload manager (WLM) provides industry leading partitioning and workload management. Maximum utilization of all system resources is enabled through dynamic, automatic allocation of processor, memory, and I/O resources across partitions based on real time workload demand and customer policy.

Workload manager on the z10 EC provides end-to-end management of transactions, from the web browser to data storage then back to the web browser. Workload manager can exploit Cisco routers and facilitate dynamic and automatic self-management of data based on business priorities.

Using IBM’s discrete server technology with the z10 EC and z/OS, installations may take advantage of workload based pricing to further reduce the cost of computing as applications continue to grow by using:

- Software pricing based on what you define, not what capacity has been installed.
- Common pricing for many cross-platform products.
- License Manager, which simplifies and centralizes via a standard licensing certificate to control software usage billing.

Workload based pricing is adopted by many tools vendors, and provides for "rigid" management within a flexible system.
EAL5 certification

The z10 EC server received the Common Criteria Evaluation Assurance Level 5 (EAL5) certification level (Evaluation Assurance Level 5) for the security of its LPARs that run under the control of the Processor Resource/Systems Manager (PR/SM).
Chapter 7. Cryptography

System z10 EC offers a number of standard and optional hardware-based encryption features. These features include:

- CP Assist for Cryptographic Function (CPACF)
- Configurable Crypto Express2
- Configurable Crypto Express3

CPACF delivers cryptographic support for Data Encryption Standard (DES), Triple Data Encryption Standard (TDES), Advanced Encryption Standard (AES), Secure Hash Algorithm (SHA), and Pseudo Random Number Generation (PRNG).

The Crypto Express2 feature (FC 0863) and the Crypto Express3 feature (FC 0864) feature combine the functions of a coprocessor (for secure key encrypted transactions) and accelerator (for Secure Sockets Layer (SSL) modes into a single feature.

Support for CPACF is also available through the Integrated Cryptographic Service Facility (ICSF). ICSF is a component of z/OS that is designed to transparently use the CPACF and Crypto Express2 or Crypto Express3 functions to balance the workload and satisfy the bandwidth requirements of the applications.

Products that include any of the cryptographic feature codes contain cryptographic functions that are subject to special export licensing requirements by the U.S. Department of Commerce. It is your responsibility to understand and adhere to these regulations whenever moving, selling, or transferring these products.

The cryptographic features are eligible for export under License Exception ENC as retail items to all end users in all countries except the embargoed, subject to the usual customer screening. The dormant cards themselves, without the enabling software, are also eligible for export an NLR (No License Required) to all customers in all countries except the embargoed, subject to the usual screening.

**CP Assist for Cryptographic Function (CPACF)**

CPACF is available on the z10 EC server. The CPACF provides a set of symmetric cryptographic functions that focus on the encryption/decryption function of clear key operations for SSL, Virtual Private Network (VPN), and data storing applications not requiring FIPS 140-2 level 4 security. Each CPACF is shared between two processor units (PUs), which can be designated as various specialty engine types (CPs, IFLs, zIIPs, zAAPs). The CPACF function is activated using a no-charge enablement feature (FC 3863) and offers the following support on every CPACF:

- Data Encryption Standard (DES)
- Triple data Encryption Standard (TDES)
- Advanced Encryption Standard (AES) for 128-bit, 192-bit, and 256-bit keys
- Secure Hash Algorithms: SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512
- Pseudo Random Number Generation (PRNG).

The DES, TDES, and AES functions use clear key values.
The DES, TDES, AES, and PRNG functions require enablement of the CPACF function (no charge FC 3863) for export control. The CPACF for SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512 are shipped enabled.

MSA instructions for invoking CPACF function for DES, TDES, AES, PRNG, SHA-1, SHA-256, and SHA-512 are found in the z/Architecture Principles of Operation.

**Protected key CPACF**
When using CPACF for high performance data encryption, CPACF also helps to ensure that key material is not visible to applications or the operating systems. This function requires Crypto Express3.

The protected key function is supported by z/VM 5.4 or later.

**Enablement and disablement of DEA key and AES key functions**
Using the Customize Activation Profile task on the Support Element, you can enable the encrypt DEA key and encrypt AES key functions of the CPACF to import a clear key, then disable the encrypt DEA key and encrypt AES key functions to protect the CPACF from further imports. The CPACF feature must be installed to use the DEA key and AES key functions on the Support Element.

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**Crypto Express2 and Crypto Express3**

The Crypto Express2 feature (FC 0863) and Crypto Express3 feature (FC 0864) are designed to satisfy high-end server security requirements. The initial order of Crypto Express2 or Crypto Express3 is two features. Each Crypto Express2 or Crypto Express3 feature contains two adapters. Each adapter (referred to as a crypto) can be configured as a coprocessor or an accelerator.

A Crypto Express2 or Crypto Express3 coprocessor is used for secure key encrypted transactions. This is the default configuration. A coprocessor supports:
- Highly secure cryptographic functions, use of secure encrypted key values, and user defined extensions (UDX)
- Secure and clear-key RSA operations.

A Crypto Express2 or Crypto Express3 accelerator is used for SSL acceleration. An accelerator:
- Supports clear key RSA acceleration.
- Offloads compute-intensive RSA public-key and private-key cryptographic operations employed in the SSL protocol.

Although each Crypto Express2 and Crypto Express3 feature occupies an I/O slot and each feature is assigned two PCHID values, they do not use Channel Path Identifiers (CHPIDs). They use cryptographic numbers.

All LPARs can have access to the Crypto Express2 and Crypto Express3 features, if the image activation profile configures the Crypto to the LPAR. Cryptos can be dynamically added, moved, or deleted to or from LPARs without affecting the operating state of the LPAR.

**Crypto Express2**
Crypto Express2 provides a PCI-X interface to the host.
The Crypto Express2 provides function that was previously offered by PCICA and PCIXCC features.

The function supported includes:

- Consolidation and simplification using a single cryptographic feature
- Public key cryptographic functions
- Hardware acceleration for Secure Sockets Layer (SSL) and Transport Layer Security (TLS) protocols
- User Defined Extension (UDX)
- 13-19 Personal Account Numbers (PANs)
- Secure (encrypted) keys for AES-128, AES-192, and AES-256
- 4096-bit key RSA management capability and clear key RSA acceleration.
- Maximum number of features per server: 8
  - Number of cryptos per feature: 2
  - Maximum number of cryptos per server: 16
  - Number of domains per crypto: 16
  - Number of active LPARs per server: 60

**Crypto Express3**

Crypto Express3 (FC 0864), like Crypto Express2, is a tamper-sensing, tamper-responding, programmable cryptographic card designed to satisfy a System z10 EC server’s security requirements.

The Crypto Express3 feature provides a PCI EXPRESS (PCIe) interface to the host. Dual processors operate in parallel to support the Common Cryptographic Architecture (CCA) with high reliability.

In addition to supporting all the cryptographic functions available on Crypto Express2, Crypto Express3 includes:

- Improved performance for symmetric and asymmetric operations
- Dynamic power management to maximize RSA performance while keeping within temperature limits of the tamper-responding package
- Lock step checking of dual CPUs for enhanced error detection and fault isolation of cryptographic operations
- Updated cryptographic algorithms used in firmware loading with the TKE
- Cryptographic key exchanges between IBM CCA and non-CCA servers
- Secure remote key loading of encryption keys to ATMs, point of sale terminals (POS), and PIN entry devices
- PIN generation, verification, and translation functions
- Secure cryptographic key generation, installation, and distribution using both public and secret key cryptographic methods.

**User-defined extensions**

User-Defined Extensions to the Common Cryptographic Architecture (CCA) are supported on Crypto Express2 and Crypto Express3. For unique customer applications, Crypto Express2 and Crypto Express3 will support the loading of customized cryptographic functions on z10 EC. Support is available through ICSF and the Cryptographic Support for z/OS and z/OS.e web deliverable. Under a special contract with IBM, as a Crypto Express2 or Crypto Express3 customer, you will gain the flexibility to define and load custom cryptographic functions yourself.
This service offering can be requested by referring to the IBM “Cryptocards” website, then selecting the **Custom Programming** option.

The following is required for UDX support:
- One or more Crypto Express2 or Crypto Express3 features
- A Hardware Management Console
- A TKE workstation, if the UDX requires access control point
- z/OS V1R6 or later
- z/VM 5.3 or later for guest exploitation
- Cryptographic support for z/OS and z/OS.e V1R6 or later web deliverable, and PTFs. Cryptographic support for z/OS.e V1R6/V1R7 web deliverable (no longer downloadable).

If you use a User Defined Extension (UDX) of the Common Cryptographic Architecture (CAA), you should contact your local UDX provider for an application update before ordering a new z10 EC or before migrating or activating your UDX application. Your UDX application must be migrated to CCA level 3.41 or higher before activating it on the z10 EC using Crypto Express2. For Crypto Express3, your UDX must be migrated from Crypto Express2.


### Trusted Key Entry (TKE)

The Trusted Key Entry (TKE) workstation (FC 0839 / FC 0840) and the TKE 6.0 Licensed Internal Code (FC 0858) are optional features that provide a basic key management system for ICSF. TKE includes one Cryptographic coprocessor, which can be logged on with a passphrase or a logon key pair, to be used by security administrators for key identification, exchange, separation, update, backup, and management. Additionally, optional Smart Card Readers can be attached as a secure way to store keys. Up to 10 TKE workstations can be ordered.

**Note:** TKE workstation (FC 0840) will be available 1Q2010.

TKE 6.0 enhancements include:
- Grouping of domains across one or more Crypto Express2 and Crypto Express3 coprocessors. This allows you to run domain-scoped commands on every domain in the group using one command or to run host cryptographic adapter scoped commands on every adapter in the group using one command.
- Stronger cryptography encryption for TKE inbound/outbound authentication. This includes:
  - Ability to issue certificates with 2048-bit key strength
  - Encryption of sensitive data sent between the TKE and Crypto Express3 host cryptographic coprocessors using a 256-bit AES key
  - Signing of transmission requests with a 2048-bit signature key, if the host coprocessor is a Crypto Express3 coprocessor
  - Signing of replies sent by a Crypto Express3 coprocessor on the host with a 4096-bit key.

The Trusted Key Entry (TKE) workstation supports four users:
- Auto-logged user, which provides tasks to perform basic operations
- Admin user, which provides setup and configuration tasks
Auditor user, which provides tasks related to configuring and viewing the audited security events

Service user, which provides tasks for servicing the TKE workstation.

The orderable TKE features are:
- TKE 6.0 code (FC 0858) and TKE Workstation (FC 0839 / FC 0840)
- TKE Smart Card Readers (FC 0885)
- TKE Additional Smart Cards (FC 0884)

The TKE workstations require the TKE 6.0 or TKE 5.3 code and the TKE unit that contains Ethernet capability and PCI-X card.

Note: The following features can only be carried forward to System z10 EC. They can no longer be ordered:
- TKE 5.3 code (FC 0854)
- Smart Card Readers (FC 0887)
- TKE Additional Smart Cards (FC 0888)

**Trusted Key Entry (TKE) with Smart Card Readers**

Support for optional Smart Card Readers attached to the TKE 6.0 or TKE 5.3 workstation allows the use of smart cards that contain an embedded microprocessor and associated memory for key storage. Access to and use of confidential data on the smart card is protected by a user-defined Personal Identification Number (PIN).

**Wizard for migrating cryptographic configuration data**

A wizard on TKE is available to help you migrate Cryptographic configuration data from one Cryptographic coprocessor to a different Cryptographic coprocessor. Using the migration wizard will reduce the number of steps it takes to migrate data, therefore minimizing user errors and decreasing the duration of the migration.

You can migrate configuration data from:
- Crypto Express2 to Crypto Express2
- Crypto Express2 to Crypto Express3
- Crypto Express3 to Crypto Express3
- Crypto Express3 to Crypto Express2

The target Cryptographic coprocessor must have the same or greater capabilities as the Cryptographic coprocessor from which the data is migrating.

To locate the migration wizard and to view the criteria for migrating from Crypto Express3 or Crypto Express2, log onto TKE, then click **What’s New** on the TKE Welcome page.

**RMF monitoring**

The Cryptographic Hardware Activity report provides information about the activities in the Crypto Express2 and Crypto Express3 features. The request rate (number of requests per second) is reported per adapter. In addition, the utilization (how much of the interval the feature is busy) and the average execution time of all operations is reported.
FIPS certification

The tamper-resistant hardware security module, which is contained within the Crypto Express2 and Crypto Express3 is designed to meet the FIPS 140-2 Level 4 security requirements for hardware security modules.

Remote loading of ATM and POS keys

Remote key loading refers to the process of loading Data Encryption Standard (DES) keys to Automated Teller Machines (ATMs) and Point of Sale (POS) devices from a central administrative site. This provides:

- The ability to load initial keys to an ATM or a POS device from a remote location
- Enhanced capabilities for exchanging keys with non-CCA cryptographic systems.
Chapter 8. Cabling

z10 EC utilizes Small Form Factor (SFF) connectors for ESCON (MTRJ), FICON (LC duplex), ISC-3 (LC duplex), Gigabit Ethernet (LC duplex), and 10 Gigabit Ethernet (LC duplex). The speed of the link is determined by the architecture and ranges from 17 MBps (ESCON); 1 Gbps (Gigabit Ethernet); 1, 2, or 4 Gbps (FICON); to 10 Gbps (10 Gigabit Ethernet). Up to three generations of features can coexist on z10 EC. Each feature has its own unique requirements; transceiver, connector, unrepeated distance, and link loss budget.

Fiber optic cables for the z10 EC are available via IBM Site and Facilities Services.

IBM Site and Facilities Services has a comprehensive set of scalable solutions to address IBM cabling requirements, from product-level to enterprise-level for small, medium, and large enterprises. IBM Site and Facilities Services is designed to deliver convenient, packaged services to help reduce the complexity of planning, ordering, and installing fiber optic cables. The appropriate fiber cabling is selected based upon the product requirements and the installed fiber plant.

The services include:
- IBM Facilities Cabling Services — fiber transport system
- IBM IT Facilities Assessment, Design, and Construction Services — optimized airflow assessment for cabling

These services take into consideration the requirements for all of the protocols and media types supported on the System z10, System z9, and zSeries (for example, ESCON, FICON, Coupling Links, OSA-Express) whether the focus is the data center, the Storage Area Network (SAN), the Local Area Network (LAN), or the end-to-end enterprise.

Under IBM Facilities Cabling Services, there is the option to provide IBM Fiber Transport System (FTS) trunking commodities (fiber optic trunk cables, fiber harnesses, panel-mount boxes) for connecting to the z10 EC, z10 BC, z9 EC, z9 BC, z990, and z890. IBM can reduce the cable clutter and cable bulk under the floor. An analysis of the channel configuration and any existing fiber optic cabling is performed to determine the required FTS trunking commodities. IBM can also help organize the entire enterprise. This option includes enterprise planning, new cables, fiber optic trunking commodities, installation, and documentation.

Under IBM IT Facilities Assessment, Design, and Construction Services, the Optimized Airflow Assessment for Cabling option provides you with a comprehensive review of your existing data center cabling infrastructure. This service provides an expert analysis of the overall cabling design required to help improve data center airflow for optimized cooling, and to facilitate operational efficiency through simplified change management.

For additional information on cabling, refer to the following:
- System z10 Enterprise Class Installation Manual for Physical Planning
Fiber Quick Connect (FQC) for ESCON and FICON LX cabling

Fiber Quick Connect (FQC), an optional feature on z10 EC, is available for all ESCON (62.5 micron multimode fiber) and FICON LX (single-mode fiber) channels. FQC is designed to significantly reduce the amount of time required for on-site installation and setup of fiber optic cabling. FQC eases the addition of, moving of, and changes to ESCON and FICON LX fiber optic cables in the data center, and FQC may reduce fiber connection time by up to 80%.

FQC is for factory installation of IBM Facilities Cabling Services - Fiber Transport System (FTS) fiber harnesses for connection to channels in the I/O cage. FTS fiber harnesses enable connection to FTS direct-attach fiber trunk cables from IBM Global Technology Services.

FQC, coupled with FTS, is a solution designed to help minimize disruptions and to isolate fiber cabling activities away from the active system as much as possible.

IBM provides the direct-attach trunk cables, patch panels, and Central Patching Location (CPL) hardware, as well as the planning and installation required to complete the total structured connectivity solution. For example, for ESCON, four trunks (each with 72 fiber pairs) can displace up to 240 fiber optic jumper cables, which is the maximum quantity of ESCON channels in one I/O cage. This significantly reduces fiber optic jumper cable bulk.

On the CPL panels, you can select the connector to best meet your data center requirements. Small form factor connectors are available to help reduce the floor space required for patch panels.

Prior to the server arriving on-site, CPL planning and layout is done using the default CHannel Path IDentifier (CHPID) report and the documentation showing the CHPID layout and how the direct-attach harnesses are plugged.

**Note:** FQC supports all of the ESCON channels and all of the FICON LX channels in all of the I/O cages of the server.

Cabling responsibilities

Fiber optic cables ordering, cable planning, labeling, and placement are the customer responsibilities for new installations and upgrades. Fiber optic conversion kits and Mode Conditioning Patch (MCP) cables are not orderable as features on a System z10 EC. Representatives will not perform the fiber optic cabling tasks without a service contract.

The following tasks are required to be performed by the customer prior to machine installation:

- All fiber optic cable planning.
- All purchasing of correct, qualified, fiber cables.
- All installation of any required Mode Conditioning Patch (MCP) cables.
- All installation of any required Conversion Kits.
- All routing of fiber optic cables to correct floor cutouts for proper installation to server.
  - Use the Physical Channel Identifier (PCHID) report or the report from the Channel Path Identifier (CHPID) Mapping Tool to accurately route all cables.
All labeling of fiber optic cables with PCHID numbers for proper installation to server.
- Use the PCHID report or the report from the CHPID Mapping Tool to accurately label all cables.

Additional service charges may be incurred during the machine installation if the preceding cabling tasks are not accomplished as required.

**Cable ordering**

Fiber optic cables for the z10 EC are available from IBM Site and Facilities Services.

ICB-4 cables are available as features.

The following table lists the channel card feature codes and associated cabling information available on System z10 EC. The features brought forward on an upgrade from z990 or z9 EC are also listed.

*Table 16. Channel card feature codes and associated connector types and cable types*

<table>
<thead>
<tr>
<th>Feature Code</th>
<th>Feature Name</th>
<th>Connector Type</th>
<th>Cable Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>0163</td>
<td>HCA2-O</td>
<td>12x MPO</td>
<td>50 micron, OM3 12x IB-DDR</td>
</tr>
<tr>
<td>0168</td>
<td>HCA2-O LR</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>0219</td>
<td>ISC-3</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>2324</td>
<td>ESCON channel</td>
<td>MTRJ</td>
<td>62.5 micron MM</td>
</tr>
<tr>
<td>2319</td>
<td>FICON Express LX</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>2320</td>
<td>FICON Express SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3319</td>
<td>FICON Express2 LX</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3320</td>
<td>FICON Express2 SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3321</td>
<td>FICON Express4 LX (10KM)</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3322</td>
<td>FICON Express4 SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3324</td>
<td>FICON Express4 LX (4KM)</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3325</td>
<td>FICON Express8 10KM LX</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3326</td>
<td>FICON Express8 SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3362</td>
<td>OSA-Express3 GbE LX</td>
<td>LC duplex</td>
<td>9 micron SM 2</td>
</tr>
<tr>
<td>3363</td>
<td>OSA-Express3 GbE SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3364</td>
<td>OSA-Express2 GbE LX</td>
<td>LC duplex</td>
<td>9 micron SM 3</td>
</tr>
<tr>
<td>3365</td>
<td>OSA-Express2 GbE SX</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
<tr>
<td>3366</td>
<td>OSA-Express2 1000BASE-T Ethernet</td>
<td>RJ-45</td>
<td>EIA/TIA Category 5 Unshielded Twisted Pair (UTP)</td>
</tr>
<tr>
<td>3367</td>
<td>OSA-Express3 1000BASE-T Ethernet</td>
<td>RJ-45</td>
<td>EIA/TIA Category 5 Unshielded Twisted Pair (UTP)</td>
</tr>
<tr>
<td>3368</td>
<td>OSA-Express2 10 GbE LR</td>
<td>SC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3370</td>
<td>OSA-Express3 10 GbE LR</td>
<td>LC duplex</td>
<td>9 micron SM</td>
</tr>
<tr>
<td>3371</td>
<td>OSA-Express3 10 GbE SR</td>
<td>LC duplex</td>
<td>50, 62.5 micron MM</td>
</tr>
</tbody>
</table>
Table 16. Channel card feature codes and associated connector types and cable types (continued)

<table>
<thead>
<tr>
<th>Feature Code</th>
<th>Feature Name</th>
<th>Connector Type</th>
<th>Cable Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>If this is an initial order and FQC is selected, the ESCON (FC 2324) and FICON (FC 2319, 3319, 3321, 3323, 3324, 3325) counts do not apply and are zeroed out.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Accommodates reuse of existing multimode fiber (50 or 62.5 micron) when used with a pair of mode conditioning patch (MCP) cables.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Refer to the Services section of Resource Link for additional information.

Cabling report

When the Fiber Quick Connect feature is ordered, a second part of the PCHID report is provided to document the connections between the ESCON and FICON LX channels and the MTP couplers. Figure 9 shows an example of the cabling portion of the report.

<table>
<thead>
<tr>
<th>Cage</th>
<th>Slot</th>
<th>F/C</th>
<th>Port</th>
<th>PCHID</th>
<th>Harn. Leg</th>
</tr>
</thead>
<tbody>
<tr>
<td>A01B</td>
<td>2</td>
<td>3321</td>
<td>3F(1.2)R</td>
<td>110/1-1 111/1-2 112/1-3 113/1-4</td>
<td></td>
</tr>
<tr>
<td>A01B</td>
<td>3</td>
<td>3321</td>
<td>3F(1.2)R</td>
<td>120/1-5 121/1-6 122/2-1 123/2-2</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>320/1-5 321/1-6 322/2-1 323/2-2</td>
<td></td>
</tr>
<tr>
<td>Z01B</td>
<td>3</td>
<td>3321</td>
<td>3F(1.2)R</td>
<td>330/2-3 331/2-4 332/2-5 333/2-6</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>500/A-1 501/A-2 502/A-3 503/A-4</td>
<td></td>
</tr>
<tr>
<td>Z15B</td>
<td>1</td>
<td>3321</td>
<td>1R(9.10)R</td>
<td>504/A-2 505/A-3 506/A-4 507/A-5</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>508/A-5 509/A-6 510/A-7 511/A-8</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1B</td>
<td>3323</td>
<td>2F(6.10)L</td>
<td>5F0/8-4 5F1/8-5 5P2/8-6 5P3/9-1</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>5P8/9-6 5P9/A-1 5P10/A-2 5P11/A-3</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>5P12/A-4 5P13/A-5 5P14/A-6</td>
<td></td>
</tr>
</tbody>
</table>

Legend:
A25B  Top of A frame
A01R  Bottom of A frame
Z01B  Bottom of Z frame
Z15B  Top of Z frame
3321   FICON Express 10KM LX
3323   ESCON Channel 16 Ports

Figure 9. Cabling section of the PCHID report sample

The columns in this part of the report represent the following data:

**Cage** Displays the number of cages installed and the location of the I/O and CPC cages containing I/O. (CPC cages are shown only if ICBs are part of the configuration.) In this example, there are three I/O cages: one in the bottom of the A frame (A01B), one in the top of the Z frame (Z15B), and one in the bottom of the Z frame (Z01B).

**Slot** Displays the I/O slot where the harness is plugged.
F/C Displays the feature code of the channel card where the harness is plugged.

Brkt Displays the MTP bracket that the harness plugs into (F is the bracket in the front of the frame, B is the bracket in the back of the frame, R is the bracket to the right of the frame, L is the bracket to the left of the frame).

PCHID/Harn-Leg Displays the PCHID number port harness is plugged into, the harness number based on the MTP coupler the harness is plugged to, the harness leg that is plugged into the port.
Chapter 9. Hardware Management Console and Support Element

The z10 EC models include a Hardware Management Console and two internal Support Elements (SEs) located on the Z frame. The second Support Element, the alternate SE, is standard on z10 EC models and is configured the same as, and serves as an alternate to, the primary SE.

The Hardware Management Console operates as a closed platform which makes it easier to ensure the security and integrity. The purpose of the HMC is to provide a platform for the execution of the HMC application.

The HMC is configured with a firewall to limit network access in and out. By default, no external connections are allowed through the firewall. As objects are defined to the HMC application, the necessary firewall rules are added to allow for communications to and from these objects. Firewall rules are also added to allow external user connections, access by Product Engineering, and the customization of network settings to allow specific applications.

The Hardware Management Console communicates with each CPC through the CPC’s Support Element. When tasks are performed at the Hardware Management Console, the commands are sent to one or more SEs which then issue commands to their CPCs. Commands can be sent to as many as all of the CPCs defined to the Hardware Management Console. One Hardware Management Console can control up to 100 CPCs and one CPC can be controlled by 32 Hardware Management Consoles. Refer to Figure 10 for an example of a typical Hardware Management Console configuration.

The A frame contains the CPC
The Z frame contains the SEs

On z10 EC models, CPCs configured to a Hardware Management Console are those CPCs whose internal SEs are:

Figure 10. Hardware Management Console configuration
Attached by local area network (LAN) to the Hardware Management Console
Defined to have the same domain name and domain password as the Hardware Management Console
Defined in the Defined CPCs group at the Hardware Management Console.

The internal SEs for each CPC allows the Hardware Management Console to monitor the CPC by providing status information. Each internal SE provides the Hardware Management Console with operator controls for its associated CPC so you can target operations:
• In parallel to multiple or all CPCs
• To a single CPC.

Hardware Management Console Application (HWMCA)

The Hardware Management Console Application (HWMCA) is a licensed software application installed on the Hardware Management Console. The application provides an easy-to-use Graphical User Interface (GUI) you use to monitor and operate your CPCs. Starting the application makes the user interface available. You can directly manipulate objects displayed in the Hardware Management Console or Support Element using a mouse or key combinations. The application begins whenever the console is powered-on or rebooted. For more detail about the Hardware Management Console and SE, refer to the System z Hardware Management Console Operations Guide and to the System z10 Support Element Operations Guide.

You can also take an education course on Resource Link on How to use the Hardware Management Console.

Hardware Management Console and Support Element enhancements for System z10 EC

This section highlights the significant changes and enhancements for the z10 EC Version Code 2.10.2. For more detailed information on these enhancements, see the System z Hardware Management Console Operations Guide and the System z10 Support Element Operations Guide.

Password prompt for disruptive actions
Use the User Profiles task, logged with user ID ACSADMIN, to determine whether you want to be prompted for a password for disruptive actions.

Fibre channel analysis
Use the Fibre Channel Analyzer task to identify fiber optic cabling issues in your Storage Area Network (SAN) fabric without contacting IBM service personnel. All FICON channel error information is forwarded to the HMC where it is analyzed to help detect and report the trends and thresholds for all FICON channels on System z10. This report shows an aggregate view of the data and can span multiple systems. This data includes information about the PCHID, CHPID, channel type, source link address, and destination link address of where the error occurred.

This applies to FICON channels exclusively (CHPID type FC).

To use this task, you must first enable the fibre channel analysis function located on the Customize Console Services task.

HiperSockets Network Traffic Analyzer Authorization task
Use the Network Traffic Analyzer Authorization task to select the level of authorization for HiperSockets network traffic analyzers for tracing one IQD channel or all IQD channels.
You can set the following:
- No traffic on any IQD channel for the selected server can be traced
- No traffic on the selected IQD channel can be traced
- All traffic on the selected IQD channel can be traced
- Customized traffic flow between selected logical partitions can be traced.

The **Network Traffic Analyzer Authorization** task is accessible by a user with the role of access administrator (default user ID, ACSADMIN).

**Encrypt DEA key and Encrypt AES key functions**
Use the Customize Activation Profile task on the Support Element to enable the encrypt DEA key and encrypt AES key functions of the CPACF to import a clear key and disable the encrypt DEA key and encrypt AES key functions to protect the CPACF from further imports.

**Crypto Express3**
Use the Cryptographic Configuration task, Cryptographic Management task, Crypto Details task, and the View LPAR Cryptographic Controls task to configure, manage, and view Crypto Express3 function.

### Hardware Management Console and Support Element network connection

A local Hardware Management Console must be connected to its Support Elements through a Local Area Network (LAN). z10 EC models use a dual ethernet (FC 0089) for the LAN wiring between the Hardware Management Console and the Support Elements. The necessary LAN adapters for the Support Elements and the Hardware Management Console may be specified as features on the system order.

### Hardware Management Console (HMC) features and functions

#### Customization of the HMC or SE
You can use the Hardware Management Console workplace or Support Element workplace User Settings task to customize the presentation characteristics of the Hardware Management Console or Support Element. These customized settings can be saved to a diskette and used on other Hardware Management Consoles or Support Elements if desired. The User Settings task allows you to:
- Modify the default colors or use grey patterns instead of colors.
- Associate a color or pattern with any unacceptable status value you define to distinguish between types of exceptions.
- Change the background color of the Views area used to indicate exception and non-exception situations.
- Modify the default color associated with pending hardware or operating system messages.
- Enter the Hardware Management Console or Support Element TCP/IP address and domain name.

#### Status reporting
Each internal SE monitors the operation of its associated CPC and any CPC images running on the CPC and sends status to the Hardware Management Console for consolidation and exception processing.
Exception processing surfaces only those hardware status items you define as unacceptable to the running of your systems. You can define the conditions for exception processing at the Hardware Management Console or Support Element using the Details panel associated with each managed object.

- Using the tree style user interface, the exceptions icon displays in the status bar if any managed object is in an unacceptable state. The exceptions icon also displays in the status column in the work pane next to the managed object that is in an unacceptable state.

- Using the classic style user interface, the Hardware Management Console and Support Element display hardware status by using color (or grey patterns) to indicate acceptable or unacceptable status for objects. The default color change is from green (acceptable status) to red (unacceptable status). You can customize these colors (or patterns) using the Users Setting task.

Unacceptable status results in an exception situation that causes the color to change for the:
- Entire Views Area background.
- Object background in the Work Area for the object with the unacceptable status.
- Group object background in the Work Area for any group that the object with the unacceptable status is part of.

The default color change is from green (acceptable status) to red (unacceptable status). You can customize these colors (or patterns) using the Hardware Management Console Workplace or Support Element Workplace Settings task.

**Service Required state**

The Service Required state indicates that the spare hardware shipped with the CPC has been depleted. When a part fails causing the use of the last redundant parts of that type, you now have just the required number of parts to keep the CPC running. This message is a reminder to you and the service representative that repairs should be made at the earliest possible time before addition.

The following conditions can cause a Service Required state:
- Whenever the system is running with N Mode Power
- Whenever the system is running in N Mode on the service network
- Primary SE loss of communications with the alternate SE
- Memory sparing threshold is reached
- Alternate SE is fenced due to automatic switchover
- Whenever the IO Domain is running in N Mode
- Whenever an ETR card is found defective
- Whenever an oscillator card is found defective.

**Degrade indicator**

The text “Degraded” indicates that, although the CPC is still operating, some hardware is not working. It displays on an object in the CPC group on the remote console, the Hardware Management Console, and on the SEs when:
- Loss of channels due to CPC hardware failure
- Loss of memory
- One or more books are no longer functioning
- The ring connecting the books is open
- Capacity BackUp (CBU) resources have expired
- Processor cycle time reduced due to temperature problem
- CPC was IMLed during cycle time reduction.
Hardware messages
The Hardware Management Console allows you to monitor the hardware messages from any CPC, CPC images, or any group of CPCs or CPC images configured to it. The Support Element allows you to monitor the hardware messages from its CPC or any CPC images configured to it.

Hardware messages present information about problems that are detected, suggest actions where possible, and aid in requesting service when appropriate. When a message has been handled, it is deleted from all the Hardware Management Console(s) and SE(s).

When hardware messages are pending for a hardware object or group of hardware objects:
• In the tree style user interface, the hardware message icon displays in the status bar if any managed object received a hardware message. The hardware message icon also displays in the status column in the work pane next to the specific managed object or objects that received a hardware message.
• In classic style user interface, the background of the object and its associated group turns blue (the default) and the Hardware Messages icon turns blue and flashes.

Operating system messages
Local operating systems and Coupling Facility Control Code (CFCC) running in a coupling facility partition can use the console integration facility of the hardware to send operator messages to be displayed by the Hardware Management Console or Support Element. The Hardware Management Console and Support Element allow you to monitor and respond to the operating system messages from any CPC image, coupling facility, or any group of CPC images configured to it.

For a coupling facility partition, Coupling Facility Control Code (CFCC) uses the console integration facility to display coupling facility messages and to accept Coupling Facility Control Code (CFCC) commands. The console integration facility, through the Operating System Messages task, provides the only interface for entering commands to an operating coupling facility partition.

When important operating system messages are pending for a hardware object or group of hardware objects:
• In the tree style user interface, the operating system message icon displays in the status bar if any managed object received an operating system message. The operating system message icon also displays in the status column in the work pane next to the specific managed object or objects that received an operating system message.
• In classic style user interface, the background of the object and its associated group turns cyan (the default) and the Operating System Messages icon turns cyan and flashes.

Problem analysis and reporting
Each primary SE monitors and analyzes problems detected on the associated CPC. For problems that are isolated to a single CPC, the results are reported to the Hardware Management Console as a hardware message. For those problems that potentially involve multiple CPCs, that problem data is sent to the Hardware...
Management Console, where data from multiple CPCs is analyzed and reported.
The Hardware Management Console configured as a problem analysis focal point can perform:

- Problem analysis for FICON channel link errors of attached Support Elements.
- Problem analysis for ESCON, coupling facility, and Sysplex Timer link faults encountered by the CPCs configured to it.
- Service calls for all CPCs configured to it. Enabling the Hardware Management Console as a call home server identifies the Hardware Management Console as having a modem or LAN/Internet connection that all CPCs configured to it can use for placing service calls.

**Virtual RETAIN**

The Virtual RETAIN® function provides a way to capture problem data and place it in a temporary staging area on the Support Element hard disk for a problem that is to be called into IBM service. To ensure security and protection of the scan ring data, any hardware dump collected is encrypted before it is sent to RETAIN.

If RETAIN is not available, a hardware message is displayed for the Hardware Management Console, Support Element, and/or remote console user to instruct the customer to contact IBM Service to gather this staged problem data.

**Licensed Internal Code (LIC)**

Each Hardware Management Console and each SE has Licensed Internal Code (LIC) and is subject to periodic updates from IBM.

On systems with multiple Hardware Management Consoles, one of the Hardware Management Consoles should be configured as a LIC change management focal point. The Hardware Management Console configured can:

- Retrieve and distribute Licensed Internal Code updates for the Hardware Management Consoles remotely from IBM.
- Retrieve and distribute SE LIC updates to all the SEs of all the CPCs configured to the Hardware Management Console.

**Remote I/O configuration and IOCDS management**

Each CPC requires a definition of the I/O attached to it. The Hardware Configuration Definition (HCD) is a z/OS application that aids in the definition of all the I/O and aids in the distribution of the appropriate I/O definitions to the appropriate CPCs.

The Hardware Management Console configured as a change management focal point assists HCD in finding the names of all defined CPCs. A single HCD then distributes the appropriate IOCDS and IPL parameters to the various SEs of the CPCs defined to the same Hardware Management Console with change management capability.

**Scheduled operations**

The Hardware Management Console and Support Element provide support for scheduling the times and dates for automatic Licensed Internal Code (LIC) updates and backup of critical hard disk data for the Hardware Management Console, the CPCs configured to the Hardware Management Console, or the Support Element. You can accomplish this by using the Customize Scheduled Operations task.
For the Hardware Management Console, the Customize Scheduled Operations task, available from the HMC Management work pane (tree style view) or the Console Actions Work Area (classic style view), allows you to schedule the following LIC-related operations:
- Accept internal code changes
- Backup critical hard disk information
- Install concurrent code changes / Activate
- Remove internal code changes / Activate
- Retrieve internal code changes
- Retrieve internal code changes for defined CPCs
- Single step code changes retrieve and apply
- Transmit electronic service agent
- Transmit system availability data.

For the CPCs configured to the Hardware Management Console, the Customize Scheduled Operations task, available from the Operational Customization Tasks list, allows you to schedule the following LIC-related operations:
- Accept internal code changes
- Activate selected CPC
- Backup critical hard disk information
- Deactivate (Power off) selected CPC
- Install concurrent code changes / Activate
- Remove concurrent code changes / Activate
- Retrieve internal code changes
- Single step code changes retrieve and apply
- Transmit system availability data
- Access external time source
- Change LPAR weights.

For the Support Element, the Customize Scheduled Operations task, available from the CPC Operational Customization Tasks list, allows you to schedule the following LIC-related operations:
- Accept internal code changes
- Activate selected CPC
- Deactivate (Power off) selected CPC
- Install concurrent code changes / Activate
- Remove concurrent code changes / Activate
- Retrieve internal code changes
- Transmit system availability data
- Access external time source
- Change LPAR weights
- Activate or deactivate processor resources in an OOCdD record.

**Remote Support Facility (RSF)**

The Hardware Management Console provides Remote Support Facility (RSF) to aid in the service and maintenance of your system. RSF provides:
- Automatic or customer initiated call for service
- Automatic or customer downloading of the latest LIC change levels
- Automatic downloading of the latest phone list
- Support for records staged by Customer Initiated Upgrade (CIU)
- Support to enable Electronic Service Agent™ (Service Directory) to process operating system I/O error and software inventory data.

Remote Support Facility communicates with the IBM Service Support System using secure TCP/IP protocols. (Both IPv4 and IPv6 protocols are supported.) The
communication may be through the enterprise LAN to the Internet (either directly or via a customer supplied SSL Proxy) or through the HMC-provided modem and customer supplied phone line. In both cases, the connection uses high grade SSL encryption, and is an outbound only connection.

**Automation and API support**

Application Programming Interfaces (APIs) on the Hardware Management Console and Support Element provide an end-user with the ability to view and manipulate managed objects.

The HMC supports both Common Information Model (CIM) and Simple Network Management Protocol (SNMP) as systems management APIs.

These APIs contain the ability to get/set a Hardware Management Console or Support Elements managed object’s attributes, issue commands to be performed on a managed object from a local or remote application, and receive asynchronous event notifications. These APIs provide a mechanism to IBM, independent system management vendors, and an enterprise, to integrate with the Hardware Management Console Application (HWMCA).

For detailed information on the SNMP APIs, refer to *System z Application Programming Interfaces*. For detailed information on the CIM APIs, refer to *Common Information Model (CIM) Management Interface*.

**CPC activation**

Activating a CPC is an intelligent (LIC controlled) process that takes the CPC from its current state to a fully operational state. The activation may involve a power-on, power-on reset, and IPL, as necessary.

To activate a CPC, you must specify system activation information for each CPC configured to the Hardware Management Console.

You specify the CPC system activation information using activation profiles. Activation profiles allow you to define multiple power-on reset (POR) configurations for the same CPC. These profiles allow you to tailor the CPC resources (central processors, storage, and channels) to meet varying business and workload needs.

You use activation profiles to define PR/SM LPAR mode configurations. Activation profiles are stored on the SE hard disk so that they are available for future activate requests.

You can modify default activation profiles that are provided with the CPC and use them as templates to produce customized profiles to fit your processing needs.

There are four types of activation profiles you can produce:

- Reset - Used during power-on reset processing
- Load - Used to load an operating system
- Image - Used to define an LPAR
- Group - Used to specify the capacity of a group of LPARs.

For PR/SM LPAR mode, you must define a reset profile and one image profile for each LPAR.
NTP client/server support on the HMC
When the HMC has the NTP client installed and running, the HMC time can be continuously synchronized to an NTP server instead of synchronizing to a Support Element.

Also, when the HMC has the NTP client installed and running, the HMC can be configured to be used as an NTP server. This provides the ability for the Preferred Timer Server and Backup Time Server in an STP-only CTN to configure the external time source to use NTP with the HMC as the NTP server.

z/VM integrated systems management
z/VM integrated systems management from the HMC provides out-of-the-box integrated GUI-based basic management of z/VM guests. The HMC will detect z/VM images. The z/VM integrated systems management function includes disk, network adaptor and memory management, guest activation and deactivation, and the display of guest status.

From the HMC, you can also:
- Dynamically determine if a directory manager is installed. If it is installed, the HMC allows any guests to be selected for management, whether it is running or not. It also allows for the defining, altering, and deleting of z/VM guests.
- Enhanced z/VM systems management from the HMC allows selected virtual resources to be defined and managed, such as z/VM profiles, z/VM prototypes, z/VM virtual machines, and z/VM volume space.
- View and alter the Virtual Machine Resource Manager (VMRM) configuration and view the current VMRM measurement statistics.

Installation support for z/VM using the HMC
The System z10 EC allows the installation of Linux on System z in a z/VM 5.4 or later virtual machine using the Hardware Management Console (HMC) DVD drive. This function does not require an external network connection between z/VM and the HMC. Instead, it utilizes the existing internal communication path between the Support Element and the HMC. Installing z/VM from the HMC DVD drive using the legacy support and the z/VM support, z/VM can be installed in an LPAR and both z/VM and Linux on System z can be installed in a virtual machine from the HMC DVD drive without requiring any external network setup or a connection between an LPAR and the HMC.

User authentication
You can configure the HMC to use a LDAP server to perform user ID and password authentication at logon time. The HMC still defines the user ID and the roles given to that user ID, but an enterprise directory (LDAP) server is used to authenticate the user. This eliminate the need to store the user ID’s password locally.

This function allows the use of the current user ID/password policy for HMC user ID/passwords, and provides one centralized user ID/password control mechanism to help meet the user’s corporate security guidelines.

Network protocols
The HMC for z10 EC uses a single network protocol, TCP/IP, when communicating with the Support Elements (SEs). This network protocol is used for both discovery and normal communications purposes.
The HMC supports IPv6 and IPv4 protocols within any customer network (for example, for remote access to the HMC user interface or for communication between HMCs and Support Elements). It can also perform electronic remote support requests to IBM service over an IPv6 or IPv4 network.

**Customizable console date and time**

The Customize Console Date and Time task uses more traditional time zone definitions rather than specific offsets from GMT, which allows for the automatic handling of special time zone characteristics such as daylight savings time.

**System I/O configuration analyzer (SIOA)**

The System I/O configuration analyzer allows the system hardware administrator access to the system’s I/O configuration information from one place instead of obtaining it from many separate applications. The analyzer makes it easier to manage I/O configurations, especially across multiple CPCs.

**Network analysis tool for Support Element communications**

A network analysis tool is available that allows you to verify that all required TCP/IP ports are supported from the HMC to the Support Element.

**Instant messaging facility**

An instant messaging facility is available that allows basic messaging capabilities between users of the HMC and the Support Element. It also allows messaging between local users and remote users using existing the HMC and Support Element interconnection protocols. The messaging capabilities include:

- Interactive chats between two partners using plain text
- Plain text broadcast message to all sessions on a selected console.

**Screen capture function**

The HMC allows you to capture full screens or specific windows of the HMC and save them as PNG, JPG, or GIF files. These files can then be viewed, copied to removable media, or deleted.

**Call-home servers selection**

You can select which HMCs can be designated as call-home servers for your Hardware Management Console.

**User interface**

The Hardware Management Console and Support Element allow you to choose the interface style in which you prefer to work:

- Tree style user interface
- Classic style user interface.

The tree style user interface is the default for Operator, Advanced Operator, Access Administrator, and System Programmer user roles. The classic user interface is the default for the Service Representative user role.

The tree style interface provides hierarchical views of system resources and tasks using drill-down and launch-in-context techniques to enable direct access to hardware resources and task management capabilities.
The classic style interface is the original user interface and has an object-oriented design. You can directly manipulate the objects (such as CPCs) that are defined and be aware of changes to hardware status as they are detected. There are several techniques for manipulating objects and tasks. One way to do this is to left-click an object to select it and double-click the task. An alternate method is the drag and drop technique, which involves using the mouse to pick up one or more objects, dragging them to a task, and then dropping them.

You can change from the tree style interface to the classic style using the User Settings task on the HMC.

Tree style user interface features
The following items are available when using the tree style user interface:

- **Tasks Index** node is available in the navigation pane. When selected, all tasks and their descriptions are listed in the work pane either in alphabetical order or by task category.
- **Expand all** and **collapse all** icons are available in the navigation pane and the task pad. The expand icon displays all the nodes in the navigation pane or all the tasks under each task group in the task pad. The collapse icon display only the main nodes in the navigation pane or the task groups in the task pad.
- **View** drop-down in the work pane table allows you to create a customized view in the work pane.

User authority
User authority for the HMC is determined by a user role that is associated with tasks. Each user role is actually a collection of authorizations. The HMC allows additional user roles to be defined to meet your needs. Refer to the System z Hardware Management Console Operations Guide for a list of predefined roles and for details on how to define and customer user roles.

Available media
The HMC is no longer provided with a diskette drive. The available media is DVD-RAM, CD-ROM, and USB flash memory drive.

When using the Change Console Internal Code task to download internal code changes from the IBM Support System to removable media, you will be prompted for a user ID and password. It is the user ID and password used to access the IBM Support System. After the user ID and password are authenticated, the request will be permitted.

Security considerations
Because multiple Hardware Management Consoles and internal SEs require connection through a LAN, it is important to understand the use and capabilities enabled for each Hardware Management Console.

Hardware Management Consoles operate as peers with equal access to the CPCs configured to them. The SE for each CPC serializes command requests from Hardware Management Console applications on a first come, first served basis. There is no guarantee of exclusive control across a sequence of commands sent from a single Hardware Management Console.

You should consider these security recommendations:
Following installation of the CPC(s), Hardware Management Console(s), and SE(s) in your configuration, the access administrator should change the default logon passwords at the Hardware Management Console(s) and SE(s).

Create a private LAN to interconnect the HMCs with the controlled SEs. Using a private LAN for your configuration offers several security, availability, and performance advantages as follows:

- Direct access to the LAN is limited to the Hardware Management Console(s), SE(s), CPC(s), and control unit(s) attached to it. Outsiders cannot connect to it.
- Traffic disruption due to temporary outages on the LAN is reduced, including disruptions caused by plugging in and powering on new devices on the LAN (minor) to LAN adapters being run at the wrong speed (catastrophic).
- LAN traffic is minimized reducing the possibility of delays at the Hardware Management Console/SE user interface.

Connect the HMCs to the enterprise LAN using the second LAN adapter in the HMC.

Assign a unique domain name that includes all the CPCs controlled from one or more Hardware Management Consoles.

Install one or more Hardware Management Consoles that have all of the CPCs you want to control defined to it.

Place at least one of these Hardware Management Consoles in the machine room near the CPCs that form its domain.

Use the following enable/disable controls to help you control access and provide focal point capabilities:

- Licensed Internal Code (LIC) update (change management focal point)
- Remote service support
- Remote customer access
- Remote service access
- Auto-answer of the modem.

Physically secure the Hardware Management Console (keep it in a locked room).

If a remote console is used for remote operations access, assign a secure logon password.

Logoff each Hardware Management Console when it is not in use. The Hardware Management Console provides a status bar capable of displaying status colors (or grey patterns) to alert you when operator activity is needed, even when no one is logged on.

Establish a limited list of objects and actions available to the operator.

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**Change management considerations**

All Hardware Management Consoles are shipped with change management enabled. If you want to limit the number of Hardware Management Consoles that have change management capability such as LIC update control, I/O definition and remote IOCDS management capability using HCD, enable only those HMCs to be used as change management consoles. At least one Hardware Management Console in the domain must be enabled for change management.
Remote operations and remote access

Remote Operations provides the ability to monitor or control a system, or group of systems, from a central or remote location. Remote capability creates a powerful tool for problem determination and diagnosis and operations assistance. Remote operations can save time and money while increasing the productivity of support staff. Technical expertise can be centralized, reducing the need for highly skilled personnel at remote locations.

Remote operations become increasingly important as:
- Data center operations and staff consolidate, with operations centers separate from those data centers.
- Companies and their DP staffs merge.
- World-wide operations become more common.

When considering remote operation of your z10 EC processor, there are two options available. You can choose one or both, based on your needs and configuration.

The first set of options deal with manual interaction and provide various methods of allowing a person to interact with the user interface. Manual control allows an operator to monitor and control the hardware components of the system using the Hardware Management Console or a Web browser.

A second set of options deal with machine interaction and provide methods of allowing a computer to interact with the consoles through an Application Program Interface (API). These automated interfaces allow a program to monitor and control the hardware components of the system. The automated interfaces are used by various automated products, including those from IBM and other vendors of other system management products.
Remote manual operations

Remote manual operations use the same Graphical User Interface (GUI) used by a local HMC operator. There are two ways to perform remote manual operations:

- Using a remote HMC
- Using a Web browser to connect to a local HMC.

The choice between a remote HMC and a Web browser connected to a local HMC is determined by the scope of control needed. A remote HMC defines a specific set of managed objects that will be directly controlled by the remote HMC. A Web browser to a local HMC controls the same set of managed objects as the local HMC. An additional consideration is communications connectivity and speed. LAN connectivity provides acceptable communications for either a remote HMC or Web browser control of a local HMC but dialup connectivity is only acceptable for occasional Web browser control.

Using the Hardware Management Console

A remote HMC gives the most complete set of functions because it is a complete Hardware Management Console – only the connection configuration is different from a local Hardware Management Console. As a complete HMC, it requires the same setup and maintenance as other HMCs. A remote HMC needs LAN TCP/IP connectivity to each Support Element to be managed. Therefore, any existing...
customer installed firewall between the remote HMC and its managed objects must permit communications between the HMC and SE. The remote HMC also requires connectivity to IBM or another HMC with connectivity to IBM for service and support.

Using a Web browser
Each HMC contains a Web server that can be configured to allow remote access for a specified set of users. When properly configured, an HMC can provide a remote user with access to all the functions of a local HMC except those that require physical access to a DVD-RAM, CD-ROM, or USB flash ememory drive. The user interface on the remote HMC is the same as the local HMC and has the same constraints as the local HMC.

The Web browser can be connected to the local HMC using either a LAN TCP/IP connection or a switched, dial, network PPP TCP/IP connection. Both types of connections can only use encrypted (HTTPS) protocols, as configured in the local HMC. If a PPP connection is used, the PPP password must be configured in the local HMC and in the remote browser system. Logon security for a Web browser is provided by the local HMC user logon procedures. Certificates for secure communications are provided, and can be changed by the user.

Browser level is the responsibility of the customer and browser service or support and maintenance does not require connectivity to IBM.

Refer to the System z Hardware Management Console Operations Guide for Web browser requirements and information on getting ready to configure and use the Web server and things to consider during your Web session.

Remote automated operations
As an alternative to manual operations, z10 EC models allow a computer to interact with the consoles through a programmable interface, or API. The automated interface allows a program to monitor and control the hardware components of the system in the same way a human can monitor and control the system. The HMC APIs provide monitoring and control functions through TCP/IP SNMP to an HMC. These APIs provide the ability to get and set a managed object’s attributes, issue commands, receive asynchronous notifications, and generate SNMP traps. For additional information about APIs, see the System z Application Programming Interfaces.

The automated interfaces are used by various automation products, including Tivoli® System Automation for z/OS - Processor Operations.
Chapter 10. Reliability, Availability, and Serviceability (RAS)

z10 EC models reduce downtime by using standard features that provide high levels of Reliability, Availability, and Serviceability (RAS).

Reliability

The features that provide a high level of reliability include the following:
- High-reliability technology components
- Parts integration to reduce the number of parts in the machine
- MCM System Run-In Test in manufacturing to reduce very early life fails
- To ensure data security, transmission of MCL files, restoration of backup files, and delivery of code loads via AROMs are digitally signed. In addition, any hardware dump collected from the Virtual RETAIN function is encrypted before being transmitted to RETAIN
- Common Criteria Evaluation Assurance Level 5 (EAL5) certification level for the security of its LPARs that run under the control of the Processor Resource/Systems Manager (PR/SM)

Availability

The features that provide a high level of availability include those that are discussed in this section.

Concurrent book add (CBA)

The concurrent book add function provides the capability of concurrently upgrading the server model by adding the second, third, or fourth processor book, which increases physical processors and memory. The concurrent book add (CBA) function allows new physical hardware to be integrated into the system without affecting ongoing customer workload.

Enhanced book availability

The z10 EC is designed to allow a single book, in a multi-book server, to be concurrently removed from the server and reinstalled during an upgrade or repair action. Enhanced book availability or concurrent book replacement is an extension of the support for concurrent book add (CBA).

Redundant I/O interconnect

When a single book in a multi-book server is removed from the server, redundant I/O interconnect provides connectivity to the server I/O resources using a second path from a different book.

The HCA2-C fanout cards reside on the front of a book in the processor cage. In the event of an outage, an HCA2-C fanout card, used for I/O, can be concurrently repaired using redundant I/O interconnect. The HCA2-C fanout card is exclusive to the z10 EC.
Flexible memory

Flexible memory provides the additional resources to maintain a constant level of memory in the event of a single book failure or during an enhanced book availability action. Flexible memory is available on Models E26, E40, E56, and E64 only. Depending on the model, the additional resources are offered in:

- 16 GB increments from 32 GB to 384 GB
- 32 GB increments from 384 GB to 752 GB
- 64 GB increments from 752 GB to 1136 GB

Contact your IBM representative to help you determine the appropriate configuration.

Plan ahead features

The Plan Ahead features allow you to order hardware and memory that your current configuration will need in the future. Ordering ahead will avoid a disruptive hardware install in the future. The Plan Ahead features include: Plan Ahead Memory (FC 1996), Plan Ahead Memory Activation (FC 1997), Plan Ahead for Line Cords (FC 2000), Plan Ahead for Balanced Power (FC 3001), and Plan Ahead for I/O Expansion (FC 1999).

Plan Ahead Memory (FC 1996)

The Plan Ahead Memory feature (FC 1996) adds the necessary physical memory required to support target memory sizes. Therefore, it gives you the flexibility to activate memory to any logical size offered between the starting logical memory size and the target logical memory size. You can preplan future upgrades to be nondisruptive.

The Plan Ahead Memory feature is offered in 16 GB increments.

This feature is supported by z/OS and z/VM V5.4 or later.

Plan Ahead Memory Activation (FC 1997)

The Plan Ahead Memory Activation feature (FC 1997) allows you to activate a Plan Ahead Memory feature. One Plan Ahead Memory Activation is required for each Plan Ahead Memory feature that you wish to activate.

Plan Ahead for I/O Expansion (FC 1999)

The Plan Ahead I/O Expansion feature (FC 1999) allows you to select the number of I/O cages above the minimum number assigned by the configurator. This feature is intended for customers who plan to increase their I/O capability in the future and want to avoid the outage associated with an I/O cage upgrade.

Plan Ahead for Line Cords (FC 2000)

The Plan Ahead for Line Cords feature (FC 2000) allows you to add a second set of line cords so you can add books and I/O cages in the future without having to modify the power infrastructure (circuit breakers, power feeds, line cords, etc.)

This feature is a co-requisite for the Plan Ahead of Balanced Power feature (FC 3001).

Plan Ahead for Balanced Power (FC 3001)
The Plan Ahead for Balanced Power feature (FC 3001) allows you to order the maximum number of bulk power regulators (BPRs) on any configuration. This feature helps to ensure that your configuration will be in a balanced power environment if you intend to add processors or I/O cages to your server in the future. Regardless of your configuration, all six BPR pairs will be installed and activated.

The Plan Ahead for Line Cords feature (FC 2000) is a co-requisite. Therefore, if the Plan Ahead for Line Cord feature was not previously selected, it will be added to the order.

Enhanced driver maintenance
Licensed Internal Code (LIC) updates contribute to downtime during planned outages. The z10 EC can be configured to permit planned LIC updates to the server at specified driver sync points; that is, points in the maintenance process when LIC may be applied concurrently. A limited number of sync points exist throughout the life of the LIC level and once a sync point has passed, the LIC can no longer be applied concurrently. Availability is improved by taking advantage of these sync points.

Dynamic oscillator switchover
z10 EC is designed with two oscillator cards, a primary and a backup. In the event the primary card fails, the backup is designed to detect the failure, switch over, and provide the clock signal to the server transparently.

Program directed re-IPL
Program directed re-IPL is designed to allow Linux on System z to re-IPL without operator intervention. Linux on System z can identify how it was IPL’d from the load device. Program directed re-IPL uses LIC to request a reload, using the same load parameters, from the same load device. Program directed re-IPL allows a Linux on System z running natively in an LPAR to execute a re-IPL.

z/OS V1R10 or later supports the program-directed IPL capability. The z/OS AutoIPL function allows the installation to specify IPL parameters to either IPL Stand-Alone Dump (SADMP), re-IPL z/OS, or both when the z/OS system requires a nonrestartable wait state to be loaded. z/OS also supports specification of IPL volumes and load parameters for IPLs that are to be performed.

Processor unit (PU) sparing
Two spare PUs are provided per server to maintain performance levels should an active CP, ICF, IFL, zAAP, zIIP, or System Assist Processor (SAP) fail on a z10 EC model.

- Cross-book PU sparing.
  Transparent sparing for failed processors is supported for z10 EC. There are two spare PUs per system and sparing is supported across the books in the unlikely event that the book with the failure has no spares available.

- Transparent CP/ICF/IFL/zAAP/zIIP sparing.
  CP/ICF/IFL/zAAP/zIIP sparing is transparent in all modes of operation and requires no operator or operating system intervention to invoke a spare PU. It is effective on all models including uniprocessor models.
  With transparent sparing, the application that was running on the failed PU will be preserved and will continue processing on a new PU with no customer
intervention required. Refer to “Application preservation” on page 131 for situations where no spare processors are available.

- Dynamic SAP sparing / reassignment.

Dynamic recovery is provided for failure of the System Assist Processor (SAP). In the event of a SAP failure, if a spare processor unit (PU) is available, in most cases the spare PU will be dynamically activated as a new SAP. If there is no spare PU available, and the CPC has more than one Central Processor (CP), an active CP will be reassigned as a SAP. In either case, there is no customer intervention required. This capability eliminates an unplanned outage and permits a service action, if necessary, to be deferred to a more convenient time.

**Processor design**

Each processor unit (PU) contains dual instruction and execution units that are used to verify internal operation and that operate simultaneously. Results are compared, and in the event of a miscompare, Instruction Retry is invoked. This design simplifies checking, and virtually eliminates PU failures due to soft errors.

**Support Element (SE)**

The z10 EC has two Support Elements (SEs). In the event the primary SE fails, switchover to the alternate is usually handled automatically.

**Hardware Management Console**

One Hardware Management Console is required for system monitoring and operation of the CPC(s) configured to it. For high availability applications, it is recommended that you have at least two Hardware Management Consoles for your configuration to guarantee that the Hardware Management Console functions are available when needed.

The Hardware Management Console is concurrently maintainable with the operation of the CPCs configured to it. Having more than one Hardware Management Console provides continuous availability of Hardware Management Console functions, including the following:

- Hardware operator controls, hardware status monitoring, and hardware and operating system messages for all configured CPCs
- Capability to call for service
- Remote operations control
- Problem analysis.

**Attaching to IBM service through the Internet**

The z10 EC provides the ability to connect to IBM service using the Internet. The SSL connection is made from the HMC through the corporate network and firewall to IBM service using the Internet. This is an outbound connection only.

**Hardware Management Console monitor system events**

The Hardware Management Console monitor system events is available on z10 EC models. The Message and State Monitor facilities of the HMC can be enabled to send e-mail notification to a specified recipient whenever a specific message is received from either the hardware subsystem or an operating system, or when a CPC (hardware object) or a CPC image (Operating system object) changes from one “state” to another “state”. The state of an object represents its current condition and functional capability, so a state change represents a change in functional capability that may require attention. Hardware and operating system
messages are intended to keep the operator informed of conditions that may require attention. However, not all messages and not all state changes are important; only specific ones require attention and notification of a responsible person.

**SAPs**

The z10 EC models provide the following base SAPs: Model E12 has three SAPs, model E26 has six, model E40 has nine, model E56 has 10, and model E64 has 11.

**Application preservation**

Application preservation is used in the case where a CP fails and there are no spares. The state of the failing CP is passed to another active CP where the operating system uses it to successfully resume the task in most cases without customer intervention.

**Dynamic coupling facility dispatching**

The dynamic coupling facility dispatching function helps enable continuous computing in the event of a coupling facility failure without requiring a standalone backup coupling facility. Enhanced dispatching algorithms enable you to define a backup coupling facility in an LPAR on your system. While this LPAR is in backup mode, although it is sharing resources with other LPARs running other active workload, it uses very little processor resource. When the backup CF becomes active, only the resource necessary to provide coupling is allocated.

**Error Correction Code (ECC)**

Memory error checking and correction code detects and corrects single bit errors. Also, because of the memory structure design, errors due to a single memory chip failure are corrected.

**Dynamic memory sparing**

The z10 EC does not contain spare memory DIMMs. Instead it has redundant memory distributed throughout its operational memory and these are used to bypass failing memory. Replacing memory cards may require power-off of the book which is disruptive. The extensive use of redundant elements in the operational memory greatly minimizes the possibility of a failure that requires memory card replacement.

**Memory scrubbing**

Storage background scrubbing provides continuous monitoring of storage for the correction of detected faults before the storage is used.

**Fixed HSA**

Preplanning requirements are minimized by providing a fixed HSA (16 GB). A fixed HSA designed so the maximum configuration capabilities can be exploited.

**Dynamic additions to a channel subsystem and LPARs**

You can dynamically add LPARs, LCSSs, subchannel sets, and logical CPs to an LPAR without preplanning.

You can dynamically update LPAR image profiles to support Crypto Express2 and Crypto Express3 without an outage to the LPAR. You can also dynamically delete or move Crypto Express2 and Crypto Express3 features from an LPAR.
LPAR dynamic storage reconfiguration
PR/SM LPAR storage reconfigurations can occur allowing nondisruptive add or removal to any partition with a cooperating guest. This capability removes the restriction of storage reconfigurations only being possible from an adjacent and above LPAR.

LPAR dynamic PU reassignment
To better suit the logical partition configurations used on a server, the initial allocation of customer-usable PUs to physical books can change dynamically. Swapping of specialty engines and CPs with each other can occur as the system attempts to “pack” logical partition configurations into physical configurations that span the least number of books.

The effect of this swapping can be observed in dedicated and shared partitions that utilize HiperDispatch.

CICS subsystem storage protect
Subsystem storage protection and subspace group facility support, for use with CICS/ESA, prevents application software from overwriting CICS system software, control blocks, and address spaces.

Partial memory restart
In the event of a memory card failure, the system can be restarted with reduced memory capacity. Processing can be resumed until replacement memory is installed.

Dynamic I/O configuration
Dynamic I/O configuration enhances system availability without requiring a planned outage.

Dynamic I/O configuration allows you to add, delete, or modify the I/O definitions of channel paths, control units, and I/O devices in the CPC. You can also name previously reserved logical partitions and you can save the changes you made to the I/O configuration definitions and apply them to the active I/O Configuration Data Set (IOCDs).

Note: Dynamic I/O configuration is not available on a model with only ICFs or IFLs.

Dynamic I/O configuration requires z/OS or z/VM. Linux on System z, z/VSE, TPF, and z/TPF do not provide dynamic I/O configuration support.

When z/VM is controlling the I/O configuration, z/VM’s dynamic I/O support is designed to handle all of the elements of the multiple Channel Subsystem facility for dynamic I/O configuration changes. To dynamically change the I/O configuration, one of two techniques can be employed:
• z/VM Control Program (CP) suite of interactive dynamic I/O commands
• HCM/HCD - configuration management tools.

ESCON port sparing
ESCON 16-port I/O card includes one unused port dedicated for sparing in the event of a port failure on that card. Other unused ports are available for growth of ESCON channels without requiring new hardware.
**FICON cascaded directors**
FICON cascaded directors allow a native FICON (FC) channel or a FICON Channel-to-Channel (CTC) to connect a server to a device or other server with two native FICON directors between them. This is only for a two-switch configuration.

**FCP full-fabric connectivity**
The FCP full-fabric connectivity supports multiple numbers of directors/switches that can be placed between the server and the FCP/SCSI device, thereby allowing many hops through a storage network for I/O connectivity.

**Maintenance/Upgrade for coupling**
The z10 EC provides concurrent maintenance for the ISC-3 adapter card. Also, ISC-3 coupling links may now be added concurrently. This eliminates the need for scheduled downtime in the demanding sysplex environment.

**Concurrent channel upgrade**
It is possible to concurrently add ESCON, FICON, ISC-3, and OSA-E channels to an I/O cage provided there are unused channel positions in the I/O cage. In addition, ICBs and IFBs, and their associated cables, can be added provided there are unused cable jack positions. This capability may help eliminate an outage to upgrade the channel configuration.

**Redundant power feeds**
The power system offers redundant primary (AC) power supplies. Depending upon the model, the system might have dual feeds or quad feeds. These redundant power supplies are electrically isolated and each have their own line cord(s), allowing the system to survive the loss of customer power to either line cord(s). If power is interrupted to one of the power supplies, the other power supply will pick up the entire load and the system will continue to operate without interruption. Therefore, the line cord(s) for each supply must be wired to support the entire power load of the system.

Refer to the System z10 Enterprise Class Installation Manual for Physical Planning for more details about power feeds.

**Redundant power and thermal subsystems**
The DC power and thermal subsystems are designed with N+1 redundancy. Failure of a power or thermal component does not cause a system outage.

**Redundant cage controllers**
The z10 EC Power and Service Control Network features redundant cage controllers for logic and power control. This design enables nondisruptive service to the controllers and eliminates customer scheduled outage.

**Oscillator (OSC) and External Time Reference (ETR) cards**
There are two standard OSC cards and two ETR cards on z10 EC. An ETR card failure will automatically switch to the other ETR card; the effectiveness of this action depends on the proper planning of the ETR connections. Dynamic oscillator switchover is designed to detect the failure of the primary oscillator card and switch over to the backup, providing the clock signal to the server transparently.
Preferred Time Server and Backup Time Server

In an STP-only CTN configuration, it is required that at least one server is defined as the Preferred Time Server. It is also required that a Current Time Server is assigned. The Current Time Server (the Stratum 1 server) has the highest level of hierarchy in the STP-only CTN and has connectivity to the servers designated as Stratum 2 servers. If only a Preferred Time Server is defined in an STP-only CTN, it is assigned as the Current Time Server.

If there is a failure in the Preferred Time Server, synchronization fails if a backup configuration is not established. Therefore, it is highly recommended that a Backup Time Server is defined in an STP-only CTN. The Backup Time Server is normally a Stratum 2 server that has connectivity to the Preferred Time Server, as well as to all other Stratum 2 servers that are connected to the Preferred Time Server. By providing this connectivity, the Backup Server can easily take over as the Current Time Server if there is a failure with the Preferred Time Server or if a reconfiguration is planned. Therefore, the servers in the STP-only CTN can maintain synchronization.

Additionally, when the external time source for the STP-only CTN is configured to use NTP (with or without PPS), having the ETS configured on the Backup Time Server using different NTP server(s) provides continuous availability of NTP servers. In the event that the Preferred Time Server cannot access its configured NTP server(s), adjustments can be made using information from the Backup Time Server. This is achieved without reconfiguring the Backup Time Server as the Current Time Server.

Concurrent hardware maintenance

Concurrent maintenance enables the replacement of failed units concurrently with system operation. This enhances the processor availability by eliminating the need for system outage to effect the repair. Concurrent maintenance capability exists for the following elements:

- Power
- Thermal
- ESCON cards
- FICON Express2 cards
- FICON Express4 cards
- FICON Express8 cards
- OSA-Express3 cards
- OSA-Express2 cards
- Crypto Express3 feature
- Crypto Express2 feature
- ISC-3 cards
- ICB-4 cable
- 12x IB-DDR fiber optic cable
- MBA fanout cards
- HCA2-O HCA2-O LR, HCA2-C fanout cards
- OSC cards
- ETR cards
- Hardware Management Console
- Support Element.
Concurrent Licensed Internal Code (LIC) patch

Concurrent code patch allows the activation of a patch concurrent with system operation thereby increasing the availability of the processor by reducing scheduled outage for LIC maintenance. This capability exists for code for the following elements:

- CP
- SAP
- Cage controllers
- LP
- CFCC
- Power
- Thermal
- ESCON channels
- FICON channels
- FCP channels
- OSA ports
- ISC-3 links
- ICB-4 links
- IFB links
- IC links
- HiperSockets
- Hardware Management Console
- Support Element.

Note: Concurrent patch support is available for OSA-Express2 features configured for OSD.

Electronic Service Agent (Service Director)

Electronic Service Agent (Service Director™) will have I/O error data collected by a component integral to the operating system, forwarded from the operating system through a Hardware Management Console, and then to an eService server in IBM. Electronic Service Agent provides the analysis of the data and provides various users access to the data through a web browser interface.

Internal Battery Feature (IBF)

The Internal Battery Feature (IBF) provides backup input power. The feature is packaged internal to the machine. It can be used with a UPS to provide additional protection.

Redundant coupling links

Redundant coupling links (ISC-3s from different ISC-M cards, ICBs from different MBA fanout cards, and IFBs from different HCA fanout cards) can be configured between a server and the coupling facility. This potentially removes a single point of failure for the server’s data sharing capability in the Parallel Sysplex environment.

Large page support

Large page support provides performance value primarily to long running memory access intensive applications.
**Customer Initiated Upgrade (CIU)**
Customer Initiated Upgrade (CIU) allows you to permanently increase processor or memory capacity. You can request these orders through the web using IBM Resource Link.

You can perform permanent upgrades while temporary capacity is active. This allows for quick conversion of temporary capacity to permanent capacity.

**Capacity Upgrade on Demand (CUoD)**
Capacity Upgrade on Demand provides the capability to permanently add CPs, ICFs, IFLs, zAAPs, zIIPs, SAPs, memory, and channels nondisruptively, eliminating the need for a scheduled outage. Installations who take advantage of the CUoD option may invoke the additional capacity nondisruptively.

**On/Off Capacity on Demand (On/Off CoD)**
When your business needs short term additional capacity, On/Off Capacity on Demand (On/Off CoD) is designed to deliver it. On/Off CoD is designed to temporarily turn on CPs, IFLs, ICFs, zAAPs, and SAPs.

Up to eight temporary records (CBU, CPE, and On/Off CoD) can be installed and activated at any given time. You also have the flexibility of activating some of the resources on a given record. You do not have to activate the entire record. You also have the ability to add capacity and engines and extend the duration of the temporary upgrade concurrently, therefore eliminating the need for constant ordering of new temporary records for different customer scenarios.

You can order an On/Off CoD upgrade record using Resource Link.

**Capacity Backup (CBU)**
The Capacity BackUp capability (temporary upgrade) enables enterprises to provide flexible, cost-effective disaster recovery on z10 EC models. You can order a CBU upgrade record using Resource Link.

**Capacity for Planned Events (CPE)**
Capacity for Planned Events (CPE) is designed to replace lost capacity within a customer's enterprise for planned down time events, such as system migration or relocation (for a data center move). This temporary upgrade is available for 3 days. You can order a CPE upgrade record using Resource Link.

**Capacity provisioning**
Capacity provisioning allows you to set up rules defining the circumstances under which additional capacity should be provisioned in order to fulfill a specific business need. The rules are based on criteria, such as: a specific application, the maximum additional capacity that should be activated, time and workload conditions.

This support provides a fast response to capacity changes and ensures sufficient processing power will be available with the least possible delay even if workloads fluctuate.

For more information, refer to the z/OS MVS Capacity Provisioning Manager User’s Guide.
System managed CF structure duplexing
A set of architectural extensions to the Parallel Sysplex is provided for the support of system managed CF structure duplexing (CF duplexing) of coupling facility structures for high availability.

Installing this software and LIC, and enabling this function is designed to:
• Provide the necessary base for highly available coupling facility structure data through the redundancy of duplexing.
• Enhance Parallel Sysplex ease of use by reducing the complexity of CF structure recovery.
• Enable some installations to eliminate the requirement for standalone CFs in their Parallel Sysplex configuration.

CBU smart reactivation
CBU smart reactivation reduces outage duration, during a disaster event, if a processor book (containing the MCM) needs to be changed (while CBU is activated).

Geographically Dispersed Parallel Sysplex (GDPS)
GDPS is a multisite solution that is designed to provide the capability to manage the remote copy configuration and storage subsystems, automate Parallel Sysplex operational tasks, and perform failure recovery from a single point of control, thereby helping to improve application availability.

The GDPS/PPRC Hyperswap™ function is designed to broaden the continuous availability attributes of GDPS/PPRC by extending the Parallel Sysplex redundancy to disk subsystems.

Concurrent undo CBU
A prerequisite to executing this feature is that the customer or z/OS application must configure offline the processors that are being removed. So the best rule to follow is, "Configure offline the same logical processors that were configured online following the CBU activation". The Concurrent Undo CBU will require the following actions to configure the processors offline based on how it will be invoked:
• GDPS invoked Hardware Management Console/SE API.
• Customer program invoked Hardware Management Console/SE API.

Notes:
1. As the user (or z/OS automation) deconfigures logical CPs, there is no guarantee that the logical CPs will remain in sequential numbering.
2. The SE panel will give no directions as to which CPs, ICFs, or IFLs to configure offline.

Fiber optic cabling
To serve the cabling needs of System z customers, IBM Site and Facilities Services has fiber optic cabling services available whether the requirements are product-level or enterprise-level. These services take into consideration the requirements for the protocols and media types supported on the System z10 (for example, ESCON, FICON, Coupling Links, OSA-Express) whether the focus is the data center, the Storage Area Network (SAN), the Local Area Network (LAN), or the end-to-end enterprise.
**CHPID Mapping Tool**

This tool provides a convenient interface to map hardware I/O ports on order to your CHPID definitions. An availability option automatically assigns PCHIDs to your CHPID definitions to minimize connecting critical paths to a single points of failure. This is recommended for all new z10 EC hardware builds or for upgrades from a z9 EC to a z10 EC, as well as for making changes to an already installed machine after hardware upgrades that change or increase the number of channels.

**Multipath initial program load**

*z/OS on System z10 EC allows the system to attempt an IPL on alternate paths, if available, if I/O errors occur during the IPL. The system will attempt the IPL on an alternate path until all paths have been attempted or until the IPL is successful. This function increases the availability by allowing IPL to complete if possible using alternate paths and eliminates the need for manual problem determination when attempting an IPL.*

This function is applicable for all FICON features with CHPID type FC and all ESCON features with CHPID type CNC.

**Point to point SMP network**

*For System z10 EC, point to point SMP network provides growth paths up to a 64 engine system where each of the 64 PUs have full access to all system resources, specifically memory and I/O. A point to point SMP network design provides greater bandwidth and more interconnect concurrency between resources.*

**System-initiated CHPID reconfiguration**

*This function allows you to submit one request to all operating systems to configure offline or configure online all the CSS.CHPIDs associated with a particular chid. It reduces the duration of a repair action when an ESCON or FICON channel, an OSA port, or and ISC-3 link is shared across LPARs.*

**Link aggregation support**

*Link aggregation (trunking) is designed to allow you to combine multiple physical OSA-Express ports of the same type into a single logical link. You can have up to eight OSA-Express ports in one virtual switch. This increases bandwidth and permits nondisruptive failover in the event that a port becomes unavailable. This function dedicates an OSA port to the z/VM 5.3 or later operating system for link aggregation under z/VM Virtual Switch-controlled link aggregation.*

This support also provides dynamic add/remove of OSA ports and full-duplex mode (send and receive).

This support applies to OSA-Express2 and OSA-Express3.

**Network Traffic Analyzer Trace facility**

*The Network Traffic Analyzer Trace facility is a diagnostic tool used to capture data as it enters or leaves an OSA adapter or Hipersockets channel for an attached host.*

For OSA adapters, this facility is controlled and formatted by the z/OS Communications Server; however, the traced data is collected in the OSA at the network port.
For HiperSockets channels, the Support Element sets up authorization to allow tracing on selected HiperSockets. Traced data can be collected in a Linux partition; then tcpdump tools can be used to format and analyze the data.

For OSA adapters and HiperSockets Layer 2 devices, because the data is collected at the Ethernet frame level, you can trace the MAC headers for packets. For OSA adapters and HiperSockets channels, you can trace ARP packets, SNA packets, and packets being sent to and from other users sharing the OSA adapter or Hipersockets channel, including other TCP/IP stacks, Linux on System z users, and z/VM guest exploitation.

The Network Traffic Analyzer Trace facility supports OSA-Express2, OSA-Express3, and Hipersockets.

**QDIO diagnostic synchronization**
Queued Direct I/O (QDIO) diagnostic synchronization provides the ability to coordinate and simultaneously capture software (z/OS) and hardware (OSA-Express) traces. This function allows the host operating system to signal the OSA-Express feature to stop traces and allows the operator to capture both the hardware and software traces at the same time. You can specify an optional filter that alters what type of diagnostic data is collected by the OSA-Express adapter. This filtering reduces the overall amount of diagnostic data collected and therefore decreases the likelihood that pertinent data is lost.

This support applies to OSA-Express2 and OSA-Express3.

**FICON purge path extended**
The FICON purge path error-recovery function is used in FICON problem determination. The FICON purge path error-recovery function can transfer error-related data and statistics between the channel and entry switch, and from the control unit and its entry switch to the host operating system.

**FICON Express8 and FICON Express4 pluggable optics for individual servicing**
The FICON Express8 and FICON Express4 features have small form factor pluggable (SFP) optics to permit each channel to be individually serviced in the event of a fiber optic module failure. The traffic on the other channels on the same feature can continue to flow if a channel requires servicing.

**CICS subspace group facility**
z10 EC model CPCs provide support for the subspace group facility that can enhance the data integrity and reliability of application server subsystems, such as Customer Information Control System Transaction Server (CICS TS), reducing application failures, service outages, and incorrect modification of critical business data.

**Dynamic channel path management**
Dynamic Channel Path Management (DCM) enables the system to respond to changing channel requirements by moving channels from lesser used control units to more heavily used control units as needed. DCM can manage control units connected to ESCON channels.
When used with z/OS workload manager (z/OS WLM) in goal mode, z/OS WLM can direct Dynamic Channel Path Management to move channels to help business critical work achieve its goals. This also helps reduce the requirement for greater than 256 channels.

**Serviceability**

The features that provide a high level of serviceability include the following:

- Automatic error detection and fault isolation concurrent with system operation.
- Automatic remote support capability.
- High degree of concurrent maintenance capability in hardware and code.
- Multiple Channel Swap - an enhancement for channel problem determination allowing up to 16 channels to be swapped.
- Status Panel showing status of N+1 power system.
Appendix A. System z10 EC Version 2.10.2 purpose and description

This appendix contains information about Version 2.10.2 licensed internal code.

Preventative Service Planning (PSP) bucket considerations

Use IBM Service Link or contact your IBM representative to obtain a current copy of the 2097DEVICE bucket applicable to your environment. The PSP bucket contains corequisite software and hardware planning information that applies to various operating system environments. This includes Authorized Program Analysis Reports (APARS), Program Temporary Fixes (PTFs), and Licensed Internal Code (LIC) product patches.

Software corequisites

See the appropriate 2097DEVICE Preventative Service Planning (PSP) buckets subset ID for APAR and PTF information for the System z10 EC models.

<table>
<thead>
<tr>
<th>Software</th>
<th>PSP bucket subset ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>z/OS</td>
<td>2097ZOS</td>
</tr>
<tr>
<td>z/VM</td>
<td>2097ZVM</td>
</tr>
<tr>
<td>z/VSE</td>
<td>2097ZVSE</td>
</tr>
</tbody>
</table>

Engineering change (EC) considerations

Version Code 2.10.2 for z10 EC includes the following Central Processor Complex (CPC) and Hardware Management Console (HMC) Licensed Internal Code (LIC), engineering change (EC) and Microcode Load (MCL) levels:

- CPC level: EC N24409 + MCLs
- HMC Level: EC N24415 + MCLs

To verify that the enhancements described in this document apply to your system, display the LIC EC levels running on the CPC and the HMC.

CPC EC N24409 + MCLs

From the HMC using the tree view, you can display the LIC EC and MCL level of the system’s CPC as follows:
1. From the navigation pane, select Tasks Index.
2. Scroll down the Tasks Index work pane and select System Information. The Target Object Selection window displays.
3. Select the object and click OK. The System Information window displays.
4. Verify that the EC level is in this list.

HMC EC N24415 + MCLs

From the HMC using the tree view, you can display the LIC EC and MCL level of the system’s HMC as follows:
1. From the navigation pane, select **Tasks Index**.
2. Scroll down the Tasks Index work pane and select **View Console Information**.
   The **View Console Information** window displays.
3. Verify that the EC level is in this list.

**Miscellaneous lower level ECs included in Version 2.10.2**

The following table provides miscellaneous changes included in CPC system code EC N24409 with Hardware Management Console system code EC N24415.

*Table 18. ECs included in Version 2.10.2*

<table>
<thead>
<tr>
<th>EC number</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>H25080</td>
<td>Backup-DVD New Build &amp; MES Upgrade</td>
</tr>
<tr>
<td>N24422</td>
<td>SUL-DVD Driver 79</td>
</tr>
<tr>
<td>H25078</td>
<td>Security-Log DVD</td>
</tr>
<tr>
<td>G40983A</td>
<td>HMC/SE DIAGS CDR</td>
</tr>
<tr>
<td>J14654</td>
<td>TKE Backup-DVD New Build &amp; MES Upgrade</td>
</tr>
<tr>
<td>J14860</td>
<td>SE Upgrade Data DVD MES Only</td>
</tr>
<tr>
<td>N10984</td>
<td>HMC DIAGS CDR</td>
</tr>
<tr>
<td>G43459</td>
<td>SE DIAGS CDR</td>
</tr>
<tr>
<td>J10269</td>
<td>Legacy SE Restore Diskettes for use with HMC Version 2.9.0 or higher</td>
</tr>
<tr>
<td>G40571</td>
<td>Blank Formatted Diskette</td>
</tr>
<tr>
<td>G40676</td>
<td>STP Enablement Code (FC 1021)</td>
</tr>
<tr>
<td>G40676A</td>
<td></td>
</tr>
<tr>
<td>G42645</td>
<td>HWMCA PC BIOS Update CDR Version 2.9.X</td>
</tr>
<tr>
<td>G35949</td>
<td>HWMCA Upgrade Data DVD MES Only</td>
</tr>
<tr>
<td>G42891</td>
<td>HWMCA Upgrade Data DVD</td>
</tr>
</tbody>
</table>
Appendix B. Resource Link

Resource Link is a customized web-based solution that provides everything you need to plan for, install, and maintain IBM System z10, System z9, eServer® zSeries and S/390 servers and associated software.


Resource Link content areas include:

- **Personal Folders**  
  Organize site content according to your personal interests by creating folders for shortcuts to subscriptions. Subscribing to pages or individual documents means you will be notified of updates and changes.

- **Planning**  
  Interactive planning provides a streamlined plan for the installation of a system using online planning information tailored for your system.

- **Education**  
  A web-based multimedia education provides product courses that can be used for training or refreshing skills.

- **Library**  
  Product documentation that can be viewed, printed, or downloaded.

- **Fixes**  
  Interactive tools allow you to request, receive, and install system upgrades.

- **Problem Solving**  
  Resources to help you investigate and solve specific hardware and software problems.

- **Services**  
  Support for services such as installation, migration, networking, planning and relocating servers, Fiber Cabling, System z Application Programming Interfaces (APIs), and links to IBM software support.

- **Tools**  
  Information about tools such as machine information, CHPID mapping, coupling facility structure sizer, and links to software tools.

- **Customer Initiated Upgrade (CIU)**  
  A web-based application that allows you to download licensed internal code (LIC) to permanently upgrade processors and memory. You can also temporarily add processor capacity using the On/Off Capacity on Demand (On/Off CoD), Capacity for Planned Events (CPE), and Capacity Backup (CBU) features.

Resource Link contains the following additional functions:

- **Customized Planning Aids** - Prepares you for the arrival and installation of your System z10 EC hardware. To use Customized Planning Aids you need a valid order number and a Configuration Control Number (CCN), both available from your IBM Sales Representative.

- **CHPID Mapping Tool** - Downloadable from Resource Link, this tool allows you to map hardware I/O ports on order to your IOCP CHPID definitions. An availability option automatically maps hardware I/O ports to CHPIDs minimizing single points of failure.
- **Machine information** - Provides detailed information about your System z10, System z9, or zSeries machine including information about the last time your machine called home, service states, and hardware status.

- **Power Estimation Tool** - Allows you to estimate the power consumption of a specific System z10 or System z9 machine model and its associated configuration.

- **WWPN Prediction Tool** - Assists you in preplanning and setting up your Storage Area Networks (SANs) environment prior to the installation of your System z10 server. Therefore, you can be up and running much faster after the server is installed. This tool applies to all FICON channels defined as CHPID type FCP (for communication with SCSI devices).
Appendix C. Capacity upgrades

The z10 EC is designed to support concurrent upgrades that provide additional capacity with no server outage. The Capacity on Demand offerings provide permanent and temporary upgrades. All the upgrades are delivered by Licensed Internal Code Configuration Control (LICCC).

Licensed Internal Code Configuration Control (LICCC) provides for processor or memory upgrade with no hardware changes by enabling the activation of additional installed capacity. Concurrent upgrades using LICCC can be done for:

- CPs, SAPs, ICFs, IFLs, zIIPs, zAAPs - requires available unused PUs in installed books
- Memory - requires available capacity on installed memory cards
- Channel cards - requires available ports on channel cards.

You can order permanent upgrades using the Customer Initiated Upgrade (CIU) application through Resource Link or calling your IBM sales representative.

There are three type of temporary upgrades available on z10 EC. The offerings providing these upgrades are: On/Off Capacity on Demand (On/Off CoD), Capacity Backup (CBU), or Capacity for Planned Events (CPE). You can order a CPE and CBU temporary upgrade using the CIU application through Resource Link or calling your IBM sales representative. You can order an On/Off CoD temporary upgrade only using the CIU application through Resource Link.

Each Capacity on Demand offering is available through an IBM contract. You must order the Online CoD Buying feature (FC 9900) to enable using Resource Link to order capacity upgrades. Refer to the System z10 Capacity on Demand User’s Guide for details.

Permanent upgrades

When using the CIU application through Resource Link to order a permanent upgrade, you can increase model capacity, add specialty engines (ICFs, IFLs, zAAPs, zIIPs, and SAPs), add memory, activate unassigned model capacity or IFLs, and deactivate activated model capacity or IFLs.

You can perform permanent upgrades while temporary capacity is active. This allows for quick conversion of temporary capacity to permanent capacity.

When calling your IBM sales representative to order a permanent upgrade (referred to as Capacity Upgrade on Demand (CUoD)), you can increase model capacity, add specialty engines (ICFs, IFLs, zAAPs, zIIPs, and SAPs), add memory, activate unassigned model capacity or IFLs, deactivate activated model capacity or IFLs, activate channels, activate crypto, and perform recharacterization.

Refer to the System z10 Capacity on Demand User’s Guide for more information.
Temporary upgrades

Using On/Off CoD, CBU, or CPE, you can increase model capacity and add specialty engines (ICFs, IFLs, zAAPs, zIIPs, and SAPs).

Characteristics of temporary upgrades include:

- **Permanent upgrade while temporary capacity is active** - You can add permanent processor or memory capacity while temporary On/Off CoD, CBU, or CPE records are active. This allows for quick conversion of temporary capacity to permanent capacity.

- **Multiple records can be simultaneously active** - Up to 8 records (On/Off CoD, CBU, and CPE) can be active at any given time. However, only one On/Off CoD record can be active at any given time.

- **Store LICCC records in an unactivated state** - Up to 200 records (On/Off CoD, CBU, and CPE) can be staged on the Support Element at any given time. This provides greater flexibility to quickly enable needed temporary capacity.

- **Automatic deactivation** - When a record expires, the resource is automatically deactivated. However, the record will not be deactivated if it means removing a dedicated engine or the last of that engine type.

- **Partial activation** - You do not have to activate the entire record. You can choose partial activation of resources up to the maximum you ordered.

**On/Off Capacity on Demand (On/Off CoD)**

On/Off Capacity on Demand (On/Off CoD) is designed to satisfy your need for short term additional capacity. On/Off CoD allows you to temporarily add any available unused resource (CPs, IFLs, ICFs, zIIPs, zAAPs, and SAPs) up to two times the purchased capacity. You can order this upgrade only using the CIU application through Resource Link.

The upgrade record is downloaded, staged, installed, and activated on your z10 EC server through its Support Element. The On/Off CoD record is not automatically activated when it is downloaded. It is placed in a “staged” area on the Support Element waiting to be installed and activated.

If you need the increased capacity for a longer period of time or you want to increase the capacity to the maximum amount order on the record, you can “replenish” the record. Using Resource Link, you place an order for a replenishment record to extend the expiration date, increase the capacity limits, or add additional tokens to an existing upgrade record. Replenishment allows you to update an existing record without having to place a completely new order and to update an existing record while capacity is active for that record.

When you order an On/Off CoD record, you can either post-pay or prepay for the upgrades. The payment method is based on the type of On/Off CoD upgrade you select:

- When you order a post-paid On/Off CoD record without spending limits, you select your upgrade configuration. There is no cost incurred when you order or install this type of record. You pay for what you activate during the activation time. You are charged on a 24-hour basis. For each month (starting with the month you activated the record), a report is generated. In the following month, you are billed for hardware and software charges.

- When ordering a prepaid On/Off CoD record, you select your upgrade configuration and identify the duration of the configuration. Resource Link calculates the number of tokens you will need to activate your selected upgrade...
configurations. When the order is downloaded, you are billed for the total hardware cost. As resources are used, the corresponding number of tokens are decremented. Tokens are tracked on a 24-hour basis. For each month resources are used, a report is generated. In the following month, you are billed for software charges.

- When ordering a post-paid On/Off CoD record with spending limits, you select your upgrade configuration and identify your spending limit for each upgrade. Resource Link calculates the maximum number of tokens you may need to activate upgrade configurations without exceeding your spending limit. Tokens are tracked on a 24-hour basis. You will be notified when you are reaching the limit you set on your order. For each month (starting with the month you downloaded the record), a report is generated. In the following month, you are billed for hardware charges. Software charges are separate.

There are limits to the number of temporary zIIPs, zAAPs, IFLs, ICFs, and SAPs you can purchase. Refer to the *System z10 Capacity on Demand User’s Guide* for details.

The On/Off CoD characteristics include:

- **Reusable On/Off CoD records** - Using a single On/Off CoD upgrade record, the z10 EC supports the moving from one capacity setting to another, either decreasing or increasing the amount of active temporary capacity. Multiple activations are possible within the same On/Off CoD upgrade record. The record remains valid for 180 days and may be replenished.
- **API used to activate** - z10 BC allows activation of On/Off CoD using the record identifier as an input parameter on the HMC SNMP API.
- **No-charge test** - The On/Off CoD test can be used to validate the processes to download, activate, and deactivate On/Off CoD capacity nondisruptively. With each On/Off CoD enabled machine, you are entitled to one no-charge test. The test may run for a maximum duration of 24 hours beginning with the activation of the test record. In addition to validating the On/Off CoD function, you can use this test as a training session for personnel who are authorized to activate On/Off CoD.
- **Multiple records simultaneously active** - An On/Off CoD record, CBU record, and CPE record can be active at the same time.
- **Administrative test** - For z10 EC, the Administrative Test function is no longer available.

Refer to the *System z10 Capacity on Demand User’s Guide* for more information.

**Capacity Backup (CBU)**

Capacity Backup (CBU) is designed to replace lost capacity due to an emergency or disaster recovery situation. CBU increases capacity nondisruptively by allowing you to add specialty engines (IFLs, ICFs, zAAPs, zIIPs, SAPs) or add capacity by feature codes.

Each CBU record is allowed one 90-day “real” activation and a number of free 10-day test activations. The number of free test activations equates to the number of years that are purchased with the CBU record. (For example, a three year CBU record has three tests activations, a one year CBU record has one test activation.) Additional test activations beyond the free tests may be purchased in single increments up to a maximum of 15 CBU tests per record. This maximum of 15 tests per record cannot be exceeded and includes any free activations plus additional paid test activations.
The CBU characteristics include:

- **No password is required at time of activation.**
- **Specialty engines are managed by quantities** - Added capacity is dictated by processor types. You must indicate the number of engines that can be added to the permanent configuration.
- **CP capacity is managed by feature codes** - Feature codes either adds engines or increase the capacity to a permanent engine.
- **Choice in the length of contract** - Expiration date of a contract is 1 to 5 years. You have the capability to replenish your CBU record up to the maximum 5 year limit. One test activation is provided for each additional CBU year added to the CBU record.
- **Limit on the number of zIIPs or zAAPs you can order** - This number cannot exceed the total number of permanents plus temporary CPs.

Refer to the *System z10 Capacity on Demand User's Guide* for more information.

**Capacity for Planned Events (CPE)**

Capacity for Planned Events (CPE) is designed to replace lost capacity for planned down time events, such as system migration or relocation (for a data center move). CPE increases capacity by allowing you to add model capacity or specialty engines (IFLs, ICFs, zAAPs, zIIPs, SAPs). Pricing is based on the model capacity and the type and quantity of the engines selected.

Each CPE order includes 1 activation for 3 days.

Refer to the *System z10 Capacity on Demand User's Guide* for more information.

**Concurrent PU conversions**

The z10 EC supports concurrent conversion of different processor unit (PU) types. This capability is extended to CPs, IFLs, ICFs, zIIPs, and zAAPs. This capability provides flexibility in configuring a z10 EC to meet the changing business environments.

**Note:** Concurrent PU conversion is not supported by CIU.

**Reserved CP support in LPAR mode**

With reserved CP support in LPAR mode, an LPAR may be defined with the number of logical CPs greater than the number of physical CPs. Additional CPs can be specified for the LPAR definition beyond the number of physical CPs currently installed on the model. Therefore, an enterprise planning to do a nondisruptive upgrade (with an LPAR defined of logical CPs equal to the number of physical CPs available on the installed hardware) does not need to deactivate, redefine, then reactivate in order to take advantage of the new CPs that have been activated. The enterprise simply needs to have defined additional CPs for the LPAR in advance. This ensures that any planned LPAR can be as large as the possible physical machine configuration, nondisruptively. For more information, refer to *System z10 Processor Resource/Systems Manager Planning Guide.*
Nondisruptive upgrades

The z10 EC Plan-Ahead process links the use of Capacity Upgrade on Demand with planning performed between IBM’s account team and IBM’s customer. Planning ahead enables customers to determine a future server configuration. IBM will also support its customers planning effort via capacity planning tools, IBM’s order processing configurative and team sessions, with the objective of nondisruptive growth to satisfy essential capacity demand.

Processor capacity downgrades

You are allowed to downgrade your machine using CIU, CUoD, or MES. The primary benefit to downgrading is a reduction in software charges based on a lower reported machine capacity.

Some additional considerations should be noted when downgrading:

• Downgrades are done by “unassigning” either CPs or IFLs.
• There may be a charge to unassign and then reactivate engines.
• Unassigned engines are still owned by the customer.
• Unassigning unused engines can reduce software charges since many software products are priced based on the number of active engines.
• Unassigned engines can be reactivated by CIU, CUoD, or MES.
• Unassigned engines may be temporarily activated using On/Off CoD or CPE. When used as a temporary engine, unassigned engines can be used as any of the supported engine types (thus an unassigned IFL can be activated as a CP). Reduced hardware usage charges are available when using unassigned engines as the same type.
• Unassigning of engines and later reactivation is concurrent.
Appendix D. Notices

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Glossary

This glossary includes terms and definitions from:

- The Information Technology Vocabulary, developed by Subcommittee 1, Joint Technical Committee 1, of the International Organization for Standardization and the International Electrotechnical Commission (ISO/IEC JTC1/SC1). Definitions of published parts of this vocabulary are identified by the symbol (I) after the definition; definitions taken from draft international standards, committee drafts, and working papers being developed by ISO/IEC JTC1/SC1 are identified by the symbol (T) after the definition, indicating that final agreement has not yet been reached among the participating National Bodies of SC1.

The following cross-references are used in this glossary:

- **Contrast with**. This refers to a term that has an opposed or substantively different meaning.
- **See**. This refers the reader to multiple-word terms in which this term appears.
- **See also**. This refers the reader to terms that have a related, but not synonymous, meaning.
- **Synonym for**. This indicates that the term has the same meaning as a preferred term, which is defined in the glossary.

### abend. Abnormal end of task

**abnormal end of task (abend)**. Ending a task before its completion because of an error condition that cannot be resolved by recovery facilities while the task is being executed.

**action**. One of the defined tasks that an application performs. Actions modify the properties of an object or manipulate the object in some way.

**activate logical partition**. An operator-initiated procedure that performs a system reset to an LPAR and assigns the previously defined hardware to that partition. It causes an automatic IPL of the system control program to occur in the partition unless the operator performs the IPL manually. Contrast with **deactivate logical partition**.

**active subchannel**. A subchannel that is locked and either busy or has a pending interrupt, and is indicated by subchannel status word (SCSW) bit 24 equals 1. The control information resides in the channel subsystem because it is necessary for the current operation. Contrast with **inactive subchannel**. See also **busy subchannel**.

**Note**: An active subchannel can also reside in the local working storage of an IOP or channel.

**active window**. The window with which users are currently interacting. This is the window that receives keyboard input.

**address**. (1) A value that identifies a register, a particular part of storage, data source, or a data sink. The value is represented by one or more characters. (T) (2) To refer to a device or an item of data by its address. (I) (A) (3) The location in the storage of a computer where data is stored. (4) In data communication, the unique code assigned to each device or workstation connected to a network. (5) The identifier of a location, source, or destination.

**address translation**. (1) A value that identifies a register, a particular part of storage, a data source, or a data sink. The value is represented by one or more characters. (T) (2) In virtual storage systems, the process of changing the address of an item of data or an instruction from its virtual storage address to its real storage address. See also **dynamic address translation**.

**ADMF**. Asynchronous Data Mover Facility

**alert**. (1) A unit of information, usually indicating the loss of a system resource, passed from one machine or program to a host to signal an error. (2) An error message sent to the system services control point (SSCP) at the host system.
**allocate.** To assign a resource, such as a disk or a diskette file to perform a task. Contrast with *deallocate.*

**American National Standard Code for Information Interchange (ASCII).** The standard code, using a coded character set consisting of 7-bit coded characters (8 bits including parity), used for information interchange among data processing systems, data communication systems, and associated equipment. The ASCII set consists of control characters and graphics characters. (A)

**Note:** IBM has defined an extension to ASCII code (characters 128 - 255).

**ANSI.** American National Standards Institute

**APAR.** Authorized program analysis report

**API.** Application programming interface

**application.** (1) The use to which an information processing system is put, for example, a payroll application, an airline reservation application, a network application. (2) A collection of software components used to perform specific types of work on a computer.

**Application Assist Processor (AAP).** A special processor configured for running Java applications on z10, z9, z990 and z890 class machines.

**application program.** (1) A program that is specific to the solution of an application problem. (T) (2) A program written for or by a user that applies to the user's work, such as a program that does inventory control or payroll. (3) A program used to connect and communicate with stations in a network, enabling users to perform application-oriented activities.


**ARP.** Address Resolution Protocol

**ASCII.** American National Standard Code for Information Interchange

**asynchronous.** (1) Pertaining to two or more processes that do not depend upon the occurrence of specific events such as common timing signals. (T) (2) Without regular time relationship; unexpected or unpredictable with respect to the execution of program instructions. Contrast with *synchronous.*

**ATM.** Asynchronous transfer mode

**ATM.** Automatic teller machine

**authorized program analysis report (APAR).** A request for correction of a problem caused by a defect in a current release of a program unaltered by the user.

**auto-answer.** In data communication, the ability of a station to respond automatically to a call that it receives over a switched line.

**auto-call.** In data communication, the ability of a station to initiate a call automatically over a switched line.

**B**

**basic mode.** A central processor mode that does not use logical partitioning. Contrast with *logically partitioned (LPAR) mode.*

**batch.** (1) An accumulation of data to be processed. (2) A group of records or data processing jobs brought together for processing or transmission. (3) Pertaining to activity involving little or no user action. Contrast with *interactive.*

**BBU.** Battery backup unit

**BL.** Parallel block multiplexer channel

**block.** A string of data elements recorded or transmitted as a unit. The element may be characters, words, or physical records. (T)

**block multiplexer channel.** A multiplexer channel that interleaves blocks of data. Contrast with *selector channel.* See also *byte multiplexer channel*

**BPA.** Bulk Power Assembly

**buffer.** (1) A routine or storage used to compensate for a difference in rate of flow of data, or time of occurrence of events, when transferring data from one device to another. (A) (2) To allocate and schedule the use of buffers. (A) (3) A portion of storage used to hold input or output data temporarily. See *fiber buffer.*

**burst.** In data communication, a sequence of signals counted as one unit in accordance with some specific criterion or measure. (A)

**bus.** (1) A facility for transferring data between several devices located between two end points, only one device being able to transmit at a given moment. (T) (2) A network configuration in which nodes are interconnected through a bidirectional transmission medium. (3) One or more conductors used for transmitting signals or power. (A)

**byte.** (1) A string that consists of a number of bits, treated as a unit, and representing a character. (T) (2) A binary character operated upon as a unit and usually shorter than a computer word. (A) (3) A string that consists of a particular number of bits, usually eight, that is treated as a unit, and that represents a character. (4) A group of eight adjacent binary digits that represent one extended binary-coded decimal interchange code (EBCDIC) character.
byte multiplexer channel. A multiplexer channel that interleaves bytes of data. Contrast with selector channel. See also block multiplexer channel.

CA. channel adapter (card)

cache. (1) A special purpose buffer storage, smaller and faster than main storage, used to hold a copy of the instructions and data obtained from main storage and likely to be needed next by the processor. (T) (2) A buffer storage that contains frequently accessed instructions and data; it is used to reduce access time.

cache structure. A coupling facility structure that enables high-performance sharing of cached data by multisystem applications in a Parallel Sysplex. Applications can use a cache structure to implement several different types of caching systems, including a store-through or a store-in cache.

CAW. Channel Address Word

CBU. Capacity Backup

CBY. ESCON byte multiplexer channel

CCC. Channel control check

CCW. Channel command word

CDC. Channel data check

central processor (CP). The part of the computer that contains the sequencing and processing facilities for instruction execution, initial program load, and other machine operations.

central processor complex (CPC). The boundaries of a system, exclusive of I/O control units and devices, that can be controlled by a single operating system. A CPC consists of main storage, one or more central processor units, time-of-day clocks, and channels, which are or can be placed in a single configuration. A CPC also includes channel subsystems, service processors, and expanded storage where installed.

central storage. Storage that is an integral part of the processor and includes both main storage and the hardware system area.

CF. coupling facility (CF)

CFS. coupling facility sender

CFR. coupling facility receiver channel

CFRM. coupling facility resource management (policy)

CH. Channel card

channel. (1) A path along which signals can be sent, for example, input/output channel. (2) The system element that controls one channel path, whose mode of operation depends on the type of hardware to which it is attached.

channel adapter. (1) A communication controller hardware unit used to attach the controller to a data channel. (2) Hardware that attaches a group of channels to the secondary data stager and prioritizes and stages data between the channels and the channel control element.

channel address. In S/370 mode, the 8 leftmost bits of an input/output address that identify the channel. See also device address and input/output address.

channel address word (CAW). An area in storage that specifies the location in main storage at which a channel program begins.

channel-attached. (1) Pertaining to attachment of devices directly by data channels (I/O channels) to a computer. (2) Pertaining to devices attached to a controlling unit by cables rather than by telecommunication lines. Contrast with link-attached.

channel command word (CCW). A doubleword at the location in main storage specified by the channel address word. One or more CCWs make up the channel program that directs data channel operations.

channel control check. A category of I/O errors affecting channel controls and sensed by the channel to which a device is attached. See also channel data check.

channel data check. A category of I/O errors, indicating a machine error in transferring data to or from storage and sensed by the channel to which a device is attached. See also channel control check.

channel data rate. The rate at which a channel can move data between a transmission link and processor storage during the data transfer portion of an I/O operation.

channel Licensed Internal Code. That part of the channel subsystem Licensed Internal Code used to start, maintain, and end all operations on the I/O interface. See also IOP Licensed Internal Code.

channel path (CHP). A single interface between a central processor and one or more control units along which signals and data can be sent to perform I/O requests.

channel path configuration. In an ESCON environment, the connection between a channel and a control unit or between a channel, an ESCON Director, and one or more control units. See also link, point-to-point channel path configuration, and switched point-to-point channel path configuration.

channel path identifier (CHPID). The channel subsystem communicates with I/O devices by means of
a channel path between the channel subsystem and devices. A CHPID is a value assigned to each channel path of the System z that uniquely identifies that path. Up to 256 CHPIDs are supported for each channel subsystem.

**channel status word (CSW).** An area in storage that provides information about the termination of input/output operations.

**channel subsystem (CSS).** A collection of subchannels that directs the flow of information between I/O devices and main storage, relieves the processor of communication tasks, and performs path management functions.

**channel subsystem (CSS) Licensed Internal Code.** Code that consists of the IOP Licensed Internal Code and the channel Licensed Internal Code.

**channel-to-channel (CTC).** Communication (transfer of data) between programs on opposite sides of a channel-to-channel adapter (CTCA).

**channel-to-channel adapter (CTCA).** An input/output device that is used by a program in one system to communicate with a program in another system.

**check stop.** The state that occurs when an error makes it impossible or undesirable to continue the operation in progress.

**choice.** An item that users may select. Choices appear in the selection fields, action bars, and pull-down menus.

**CHP.** Channel path

**CHPID.** See channel path identifier.

**CI.** Console integration

**CIB.** Coupling using InfiniBand

**CICS.** Customer Information Control System

**CICS/ESA.** Customer Information Control System/Enterprise Systems Architecture

**CIU.** Customer Initiated Upgrade

**CKD.** count key data.

**click.** To press and release a mouse button without moving the mouse pointer off the choice.

**CLIST (command list).** A data set in which commands and possibly subcommands and data are stored for subsequent execution.

**CMOS.** Complementary metal-oxide semiconductor

**CNC.** Mnemonic for an ESCON channel attached to an ESCON-capable device.

**command.** (1) A character string from a source external to a system that represents a request for system action. (2) A request from a terminal for performance of an operation or execution of a program. (3) A value sent on an I/O interface from a channel to a control unit that specifies the operation to be performed.

**command chaining.** The fetching of a new channel command word (CCW) immediately following the completion of the previous CCW.

**command entry field.** An entry field in which a user types commands.

**command list.** See CLIST.

**command retry.** A channel and control unit procedure that causes a command to be retried without requiring an I/O interrupt.

**communication control unit.** A communication device that controls transmission of data over lines in a network.

**communication controller.** (1) A device that directs the transmission of data over the data links of a network; its operation can be controlled by a program executed in a processor to which the controller is connected or it may be controlled by a program executed within the device. (T) (2) A type of communication control unit whose operations are controlled by one or more programs stored and executed in the unit. It manages the details of line control and the routing of data through a network.

**complementary metal-oxide semiconductor (CMOS).** A technology that combines the electrical properties of positive and negative voltage requirements to use considerably less power than other types of semiconductors.

**concurrent maintenance.** Hardware maintenance actions performed by a service representative while normal operations continue without interruption. See also nondisruptive installation and nondisruptive removal.

**configuration.** (1) The arrangement of a computer system or network as defined by the nature, number, and the chief characteristics of its functional units. More specifically, the term configuration may refer to a hardware configuration or a software configuration. (I) (A) (2) In an ESCON Director, the physical connection capability determined by a set of attributes. The attribute values specify the connectivity control status and identifiers associated with the ESCD and its ports. See also active configuration, configuration matrix, connectivity attribute, and saved configuration.

**configure.** To describe to the system the devices and optional features installed on the system.
connectivity. A term used to describe the physical interconnections of multiple devices/computers/networks employing similar or different technology or architecture together to accomplish effective communication between and among connected members involving data exchange or resource sharing.

console. A logical device used for communication between the user and the system. (A) See display station, monitor console, operator console, program mode console, programming support console, service console, and system console.

console integration (CI). The hardware and software facilities used to bring operating systems management and hardware systems management under a single control point.

control program. A computer program designed to schedule and to supervise the execution of programs of a computer system. (I) (A)

control unit. A hardware unit that controls the reading, writing, or displaying of data at one or more input/output units.

control unit data rate. The rate at which a control unit can move data between itself and a transmission link during the data transfer portion of an I/O operation.

controller. A unit that controls input/output operations for one or more devices.

conversational monitor system (CMS). A virtual machine operating system that provides general interactive time sharing, problem solving, and program development capabilities, and operates only under the VM control program.

Coordinated Server Time (CST). Represents the time in a CTN. Timekeeping messages carried over the coupling links determine the CST at each server.

Coordinated Timing Network (CTN). A collection of servers that are time synchronized to Coordinated Server Time (CST). All STP-configured servers in a CTN must have the same CTN ID.

coupling facility. A special partition that provides high-speed caching, list processing, and locking functions in a Parallel Sysplex.

coupling facility channel. A high bandwidth fiber optic channel that provides the high-speed connectivity required for data sharing between a coupling facility and the central processor complexes directly attached to it.

CP. (1) Control program (2) Central processor
CPC. Central processor complex
CPCID. CPC identifier

CPC image. Set of CPC resources that support a single control program.
CPU. Central processor unit
CPUID. CPU identifier
critical resource. A resource required for system operation. See also system resource.
CRW. Channel report word
CS. (1) Central storage. (2) Channel set. (3) Control storage.
CSS. Channel subsystem
CST. Coordinated Server Time
CSW. Channel status word
CTC. (1) Channel-to-channel. (2) Mnemonic for an ESCON or FICON channel attached to another ESCON or FICON channel respectively.
CTCA. Channel-to-channel adapter
CTN. Coordinated Timing Network
CU. Control unit
CUA. Control unit address.
CUADD. Control unit logical address.
CUoD. Capacity Upgrade on Demand
cursor. (1) A movable, visible mark used to indicate the position at which the next operation will occur on a display screen. (A) (2) A visual cue that shows the user where keyboard input will appear on the screen.

Customer Information Control System (CICS). An IBM licensed program that enables transactions entered at remote terminals to be processed concurrently by user-written application programs. It includes facilities for building, using, and maintaining data bases.

cvc. Mnemonic for an ESCON channel attached to a 9034.

d
DASD. See direct access storage device.
DASD subsystem. A storage control and its attached direct access storage devices.
DAT. Dynamic address translation
data processing (DP). The systematic performance of operations upon data; for example, arithmetic or logic operations upon data, merging or sorting of data, assembling or compiling of programs. (T)
**data rate.** See channel data rate, control unit data rate, device data rate, effective data rate, and input/output subsystem data rate. See also link rate.

**data sharing.** The ability of concurrent subsystems (such as DB2 or IMS DB) or application programs to directly access and change the same data while maintaining data integrity.

**data streaming.** In an I/O interface, a mode of operation that provides a method of data transfer at up to 4.5 MB per second. Data streaming is not interlocked between the sender and the receiver. Once data transfer begins, the sender does not wait for acknowledgment from the receiver before sending the next byte. The control unit determines the data transfer rate.

**data transfer.** (1) The result of the transmission of data signals from any data source to a data receiver. (2) The movement, or copying, of data from one location and the storage of the data at another location.

**data transfer mode.** The method of information exchange used on an I/O interface. See data streaming.

**DB2.** DATABASE 2

**DCA.** Distributed Converter Assembly

**DCAF.** Distributed console access facility

**DDR.** Double Data Rate

**deactivate logical partition.** An operator-initiated procedure that releases the hardware assigned to a LPAR, making it available to other partitions. Contrast with activate logical partition.

**Note:** The operator should first deactivate the system control program, if possible or necessary, and then reactivate the partition, which could provide a reset to that partition, if required.

**deallocate.** To release a resource assigned to a task. Contrast with allocate.

**DES.** Data Encryption Standard

**device.** A mechanical, electrical, or electronic contrivance with a specific purpose.

**device address.** In S/370 mode, the 8 rightmost bits of an I/O address that identify a particular I/O device and a control unit on the designated channel. See channel address, device-level addressing, and input/output address.

**DFSMS.** Data Facility Storage Management Subsystem

**direct access storage.** A storage device that provides direct access to data. (I) (A) See also random access memory.

**direct access storage device (DASD).** (1) A storage device in which the location of each data record can be directly addressed. (2) A device in which the access time is effectively independent of the location of the data. (Restriction: Does not refer to diskette drive.)

**DP.** data processing.

**DSS.** Digital Signature Standard

**dual inline memory module (DIMM).** A small circuit board with memory-integrated circuits containing signal and power pins on both sides of the board.

**dynamic address translation (DAT).** In virtual storage systems, the change of a virtual storage address to a real storage address during execution of an instruction. See also address translation.

**dynamic reconfiguration management.** In MVS™, the ability to modify the I/O configuration definition without needing to perform a power-on reset (POR) of the hardware or an initial program load (IPL).

**dynamic storage reconfiguration.** A PR/SM LPAR function that allows central or expanded storage to be added or removed from an LPAR without disrupting the system control program operating in the LPAR.

**E**

**EC.** Engineering change

**ECC.** Error checking and correction

**ECKD.** Extended count key data

**EEPROM.** Electrically erasable programmable read only memory

**EIA.** Electronics Industries Association. One EIA unit is 1.75 inches or 44.45mm.

**Enterprise Systems Connection (ESCON).** A set of products and services that provides a dynamically connected environment using optical cables as a transmission medium.

**EPO.** Emergency power off

**error checking and correction (ECC).** In a processor, the detection and correction of all single-bit errors, plus the detection of double-bit and some multiple-bit errors.

**ESA.** (1) Enterprise Systems Architecture (2) Expanded storage array

**ESA/370.** Enterprise Systems Architecture/370

**ESA/390.** Enterprise Systems Architecture/390

**ESCD.** Enterprise Systems Connection (ESCON) Director
ESCM. Enterprise Systems Connection Manager

ESCON. Enterprise Systems Connection

ESCON channel. A channel having an Enterprise Systems Connection channel-to-control-unit I/O interface that uses optical cables as a transmission medium. Contrast with parallel channel.

ESCON Director (ESCD). A device that provides connectivity capability and control for attaching any two links to each other.

ESCON environment. The data processing environment having an Enterprise Systems Connection channel-to-control-unit I/O interface that uses optical cables as a transmission medium.

ESCON Manager (ESCM). A licensed program that provides host control and intersystem communication capability for ESCON Director connectivity operations.

Ethernet definition. A communication network (USA, Xerox 1975).

ETR. External Time Reference

event. (1) An occurrence or happening. (2) An occurrence of significance to a task; for example, the completion of an asynchronous operation, such as an input/output operation.

expanded storage. Optional high-speed storage that transfers 4 KB pages to and from central storage.

F

facility. (1) An operational capability, or the means for providing such a capability. (T) (2) A service provided by an operating system for a particular purpose; for example, the checkpoint/restart facility.

FCP. Fibre Channel Protocol for SCSI

FDDI. Fiber Distributed Data Interface (100Mbits/second fiber optic LAN)

fiber. See optical fiber.

FICON. Fibre CONnection

frame. (1) For a zSystem or zSeries microprocessor cluster, a frame contains one or two central processor complexes (CPCs), Support Elements, and AC power distribution. (2) A housing for machine elements. (3) The hardware support structure, covers, and all electrical parts mounted there in that are packaged as one entity for shipping. (4) A formatted display. See display frame and transmission frame.

FRU. Field-replaceable unit.

ft. Foot.

G

Gb. Gigabit

GB. Gigabyte.

GbE. Gigabit Ethernet.

gigabit (Gb). A unit of measure for storage size. One gigabit equals one billion bits.

Gigabit Ethernet. An OSA channel (CHPID type OSD)

gigabyte (GB). (1) A unit of measure for storage size. One gigabyte equals 1,073,741,824 bytes. (2) Loosely, one billion bytes.

GMT. Greenwich mean time.

H

Hardware Management Console. A user interface through which data center personnel configure, control, monitor, and manage System z hardware and software resources. The HMC communicates with each central processor complex (CPC) through the CPC’s Support Element (SE).

hardware system area (HSA). A logical area of central storage, not addressable by application programs, used to store Licensed Internal Code and control information.

HCA. Host Channel Adapter

HCA1-O fanout. The HCA1-O (optical) fanout card is used for coupling using an InfiniBand connection on a z9. The HCA1-O fanout is designed to support a two-port 12x IB-SDR optical link operating at a link rate of 3 Gbps.

HCA2-C fanout. The HCA2-C (copper) fanout card has InfiniBand connections used for internal I/O on a z10. The HCA2-C fanout is designed to support a two-port 12x IB-DDR copper link operating at a link rate of 6 Gbps.

HCA2-O fanout. The HCA2-O (optical) fanout card is used for coupling using an InfiniBand connection on a z10 server. The HCA2-O fanout is designed to support a two-port 12x IB-DDR optical link operating at a link rate of 6 Gbps.

HCD. Hardware configuration definition

HDD. HDD

HiperSockets network traffic analyzer (HS NTA). Trace HiperSockets network traffic to help simplify problem isolation and resolution. Supported on System z10.

HSA. Hardware system area
HMCA. Hardware Management Console Application

IBB. Internal Bus Buffer

IBF. Internal Battery Feature

IC. Internal Coupling link

ICB. Integrated Cluster Bus link

ICF. Internal Coupling Facility

ICMF. Integrated Coupling Migration Facility

ICRF. Integrated Cryptographic Facility

ICSE. Integrated Cryptographic Service Facility

IDAW. Indirect data address word

IFB. InfiniBand

IFB-MP (InfiniBand Multiplexer). On a System z10, used for an I/O cage intraconnection to I/O features.

IFCC. Interface control check

IFL. Integrated Facility for Linux

IML. Initial machine load

IMS. Information Management System

initial machine load (IML). A procedure that prepares a device for use.

initial program load (IPL). (1) The initialization procedure that causes an operating system to commence operation. (2) The process by which a configuration image is loaded into storage at the beginning of a work day or after a system malfunction. (3) The process of loading system programs and preparing a system to run jobs.

initialization. (1) The operations required for setting a device to a starting state, before the use of a data medium, or before implementation of a process. (2) Preparation of a system, device, or program for operation. (3) To set counters, switches, addresses, latches, or storage contents to zero or to other starting values at the beginning of, or at the prescribed points in, a computer program or process.

Integrated Facility for Applications (IFA). A general purpose assist processor for running specific types of applications. See Application Assist Processor (AAP).

input/output (I/O). (1) Pertaining to a device whose parts can perform an input process and an output process at the same time. (I) (2) Pertaining to a functional unit or channel involved in an input process, output process, or both, concurrently or not, and to the data involved in such a process. (3) Pertaining to input, output, or both.

input/output configuration. The collection of channel paths, control units, and I/O devices that attach to the processor complex.

input/output configuration data set (IOCDS). The data set that contains an I/O configuration definition built by the I/O configuration program (IOCP).

input/output configuration program (IOCP). A program that defines to a system all the available I/O devices and the channel paths.

input/output configuration source. The file used as input to the IOCP when an IOCDS is created. It is an 80-column card-image, and is initially provided in EBCDIC form on a system tape, or in ASCII form on a 3.5-inch diskette.

interrupt. (1) A suspension of a process, such as execution of a computer program caused by an external event, and performed in such a way that the process can be resumed. (A) (2) To stop a process in such a way that it can be resumed. (3) In data communication, to take an action at a receiving station that causes the sending station to end a transmission. (4) To temporarily stop a process.

I/O. See input/output.

IOCDS. I/O configuration data set

IOCP. I/O configuration program

IODEF. I/O definition file

IPL. See initial program load.

IPv6. Internet Protocol Version 6

ISC. InterSystem Channel

ISDN. Integrated-Services Digital Network

K

KB. Kilobyte

kilobyte. (1) A unit of measure for storage size. (2) Loosely, one thousand bytes.

km. Kilometer

L

LAN. See local area network.
optical resonant cavity to provide positive feedback. Laser radiation can be highly coherent temporally, or spatially, or both. (E)

LCSS. Logical channel subsystem

LED. Light-emitting diode

LIC. Licensed Internal Code

Licensed Internal Code (LIC). Software provided for use on specific IBM machines and licensed to customers under the terms of IBM’s Customer Agreement.

light-emitting diode (LED). A semiconductor chip that gives off visible or infrared light when activated.

local area network (LAN). A computer network located on a user’s premises within a limited geographical area. Communication within a local area network is not subject to external regulations; however, communication across the LAN boundary can be subject to some form of regulation.

Note: A LAN does not use store and forward techniques.

logical address. The address found in the instruction address portion of the program status word (PSW). If translation is off, the logical address is the real address. If translation is on, the logical address is the virtual address. See also absolute address, physical address, real address, and virtual address.

logical control unit. A group of contiguous words in the hardware system area that provides all of the information necessary to control I/O operations through a group of paths that are defined in the IOCDS. Logical control units represent to the channel subsystem a set of control units that attach common I/O devices.

logical partition (LPAR). A subset of the processor hardware that is defined to support the operation of a system control program (SCP).

logical processor. In LPAR mode, central processor resources defined to operate in an LPAR like a physical central processor.

logical unit (LU). In SNA, a port to the network through which an end user accesses the SNA network and the functions provided by system services control points (SSCPs). An LU can support at least two sessions - one with an SSCP and one with another LU - and may be capable of supporting many sessions with other LUs.

logically partitioned (LPAR) mode. A central processor complex (CPC) power-on reset mode that enables use of the PR/SM feature and allows an operator to allocate CPC hardware resources (including central processors, central storage, expanded storage, and channel paths) among LPARs. Contrast with basic mode.

LU. Logical unit

LUPS. Local uninterruptible power supply

M

MAC. Message Authentication Code

main storage. (1) Program-addressable storage from which instructions and other data can be loaded directly into registers for subsequent processing. (I) (A) (2) That part of internal storage into which instructions and other data must be loaded for subsequent execution or processing. (3) The part of a processor unit where programs are run. See central storage.

Notes:
1. Main storage refers to the whole program-addressable execution space and can include one or more storage devices.
2. The term main storage is generally used in large and intermediate computers. The term memory is primarily used in microcomputers, calculators, and some minicomputers.

maintenance change level (MCL). A change to correct a single licensed internal code design defect. Higher quality than a patch, and intended for broad distribution. Considered functionally equivalent to a software PTF.

MAU. Multistation access unit

Mb. Megabit

MB. Megabyte

MBA. Memory bus adapter

MBA fanout. On a z9, an MBA fanout card is used for coupling using ICB-4 and for connecting an I/O cage to a CPC cage. On a z10, MBA fanout is used for coupling using ICB-4.

MCCU. Multisystem channel communication unit

MCL. See maintenance change level.

megabit (Mb). A unit of measure for storage size. One megabit equals 1,000,000 bits.

megabyte (MB). (1) A unit of measure for storage size. One megabyte equals 1,048,576 bytes. (2) Loosely, one million bytes.

menu bar. The area at the top of the primary window that contains keywords that give users access to actions
available in that window. After users select a choice in the action bar, a pulldown menu appears from the action bar.

**MIDAW**. Modified Data Indirect Address Word

**MIF**. Multiple Image Facility

**modem (modulator/demodulator)**. A device that converts digital data from a computer to an analog signal that can be transmitted on a telecommunication line, and converts the analog signal received to data for the computer.

**mouse**. In computer graphics, a pointing device operated by moving it on a surface.

**Multiple Image Facility (MIF)**. A facility that allows channels to be shared among PR/SM LPARs in an ESCON or FICON environment.

**multichip module (MCM)**. The fundamental processor building block for System z. Each System z “book” is comprised of a glass ceramic multichip module of processor units (PUs) and memory cards, including multilevel cache memory.

**multiplexer channel**. A channel designed to operate with a number of I/O devices simultaneously. Several I/O devices can transfer records at the same time by interleaving items of data. See block multiplexer channel and the multiplexer channel.

**MVS**. Multiple Virtual Storage

**MVS image**. A single occurrence of the MVS/ESA” operating system that has the ability to process work.

**MVS system**. An MVS image together with its associated hardware, which collectively are often referred to simply as a system, or MVS system.

**N**

**NetBIOS**. Local area network basic input/output system

**network**. (1) An arrangement of nodes and connecting branches. (2) A configuration of data processing devices and software connected for information exchange.

**NIC**. Numerically intensive computing

**O**

**On/Off Capacity on Demand (On/Off CoD)**. Used to temporarily turn on CPs, IFLs, ICFs, zIIPs, and zAAPs.

**operate**. To do a defined action, such as adding or comparing, performed on one or more data items.

**operating system (OS)**. Software that controls the execution of programs and that may provide services such as resource allocation, scheduling, input/output control, and data management. Although operating systems are predominantly software, partial hardware implementations are possible. (T)

**operator console**. (1) A functional unit containing devices that are used for communications between a computer operator and a computer. (2) A display used for communication between the operator and the system, used primarily to specify information concerning application programs and I/O operations and to monitor system operation.

**optical cable**. A fiber, multiple fibers, or a fiber bundle in a structure built to meet optical, mechanical, and environmental specifications. See also jumper cable, optical cable assembly, and trunk cable.

**optical fiber**. Any filament made of dielectric materials that guides light, regardless of its ability to send signals. See also fiber optics and optical waveguide.

**OS**. Operating system

**OSA**. Open Systems Adapter (OSA-Express3 and OSA-Express2). The OSA is an integrated hardware feature that provides direct connection to clients on local area networks (LANs).

**OSA/SF**. Open Systems Adapter/Support Facility

**P**

**parallel channel**. (1) A channel having a S/360™ and S/370 channel-to-control-unit I/O interface that uses bus-and-tag cables as a transmission medium. Contrast with ESCON channel. (2) A data path along which a group of signals representing a character or any other entity of data can be sent simultaneously.

**Parallel Sysplex**. A set of MVS systems communicating and cooperating with each other through certain multisystem hardware components and software services to process customer workloads. See also MVS system.

**PIN**. Personal Identification Number

**PKA**. Public-Key-Algorithm

**PKSC**. Public-Key Secure Cable

**point-to-point channel path configuration**. In an I/O interface, a configuration that consists of a single link between a channel and one control unit. Contrast with switched point-to-point channel path configuration.

**point-to-point connection**. A connection established between two data stations for data transmission. Contrast with multipoint connection.
Note: The connection may include switching facilities.

POR. Power-on reset

**power-on reset.** A function that re-initializes all the hardware in the system and loads the internal code that enables the machine to load and run an operating system. This function is intended as a recovery function.

**power-on reset state.** The condition after a machine power-on sequence and before an IPL of the control program.

**problem analysis.** The process of identifying the source of a problem; for example, a program component, a machine failure, telecommunication facilities, user or contractor-installed programs or equipment, an environment failure such as a power loss, or a user error.

**problem determination (PD).** The process of determining the source of a problem; for example, a program component, machine failure, telecommunication facilities, user or contractor-installed programs or equipment, environmental failure such as a power loss, or user error.

**problem management.** The management discipline that handles a problem from its detection through its final resolution. Problem management is composed of the following:
- Problem determination
- Problem diagnosis
- Problem bypass and recovery
- Problem resolution
- Problem tracking and control.

**processor.** (1) In a computer, a functional unit that interprets and executes instructions. A processor consists of at least an instruction control unit and an arithmetic and logic unit. (T) (2) The functional unit that interprets and executes instructions. (3) The boundaries of a system, exclusive of I/O control units and devices, that can be controlled by a single operating system. A processor consists of main storage, one or more central processors, time-of-day clocks, and channels, which are, or can be, placed in a single configuration. A processor also includes channel subsystems, and expanded storage where installed.

**processor complex.** A system configuration that consists of all the machines required for operation; for example, a processor unit, a processor controller, a system display, a service support display, and a power and coolant distribution unit.

**processor console.** The workstation from which an operator can control and observe system hardware operation. See also system console.

**Processor Resource/Systems Manager (PR/SM) facility.** The feature that allows the processor to use several system control programs (SCPs) simultaneously, provides logical partitioning capability for the real machine, and provides support for multiple preferred guests.

**processor unit (PU).** A PU can be defined as a CP, ICF, IFL, zIIP, zAAP or spare SAP.

**profile.** (1) A description of the characteristics of an entity to which access is controlled. (2) Data that describes the significant characteristics of a user, a group of users, or one or more computer resources.

**program.** Sequence of instructions for a computer. A program interacts and relies on either the hardware or other programs.

**program status word (PSW).** An area in storage used to indicate the sequence in which instructions are executed, and to hold and indicate the status of the computer system.

**program temporary fix (PTF).** A temporary solution or bypass of a problem diagnosed by IBM as resulting from a defect in a current, unaltered release of the program.

**PR/SM.** Processor Resource/Systems Manager

**PSC.** Power Sequence Controller

**PSP.** Preventive service planning

**PSW.** Program status word

**PTF.** Program temporary fix

**R**

**RAS.** Reliability, availability, serviceability

**reconfiguration.** (1) A change made to a given configuration in a computer system; for example, isolating and bypassing a defective functional unit or connecting two functional units by an alternative path. Reconfiguration is effected automatically or manually and can be used to maintain system integrity. (T) (2) The process of placing a processor unit, main storage, and channels offline for maintenance, and adding or removing components. (3) Contrast with nondisruptive installation and nondisruptive removal.

**recovery.** To maintain or regain system operation after a failure occurs. Generally, to recover from a failure is to identify the failed hardware, to deconfigure the failed hardware, and to continue or restart processing.

**remote service facility (RSF).** (1) A control program plus associated communication equipment that allows local personnel to connect to an IBM service center, and allows remote personnel to operate the remote system...
or send new internal code fixes to it, if properly authorized. (2) A system facility invoked by Licensed Internal Code that provides procedures for problem determination and error detection.


RETAI N. Remote Technical Assistance and Information Network

REXX. Restructured extended executor language

ring network. A network configuration in which devices are connected by unidirectional transmission links to form a closed path.

Note: A ring of an IBM token-ring network is referred to as a LAN segment or as a token-ring network segment.

RMF. Resource Measurement Facility™

RPQ. Request for Price Quotation

RPS. Rotational positional sensing/sensor

RSA. Rivest-Shamir-Adelman

RSF. Remote support facility

S

SAD. System Activity Display

SAP. System Assist Processor

SCP. System control program

scroll. To move a display image vertically or horizontally to display data that cannot be observed within the boundaries of the display frame.

scroll bar. A window component associated with a scrollable area that provides users a visual cue that more information is available and that the unseen information can be manipulated into view using the mouse. Users scroll the information in the window by interacting with the scroll bar.

SCSI. Small Computer System Interface

SDLC. See synchronous data link control.

SDR. Single Data Rate

SE. Support Element

Server Time Protocol (STP). A message based protocol designed to enable multiple servers to maintain time synchronization with each other. The timekeeping information is passed over data links (externally defined coupling links) between servers. It provides time synchronization for the z10 EC, z10 BC, z9 EC, z9 BC, z900, and z890 servers and CFs without requiring the Sysplex Timer.

service representative. A person who performs maintenance services for IBM hardware products or systems. See also IBM program support representative.

SIE. Start Interpretive Execution

single point of control. The characteristic a Parallel Sysplex displays when you can accomplish a given set of tasks from a single workstation, even if you need multiple IBM and vendor products to accomplish that particular set of tasks.

single system image. The characteristic a product displays when multiple images of the product can be viewed and managed as one image.

SNA. See systems network architecture.

SNA/Management Services (SNA/MS). Functions distributed among network components to operate, manage, and control the network.

SNA/MS. SNA/Management Services.

SNA network. The part of a user-application network that conforms to the formats and protocols of Systems Network Architecture. It enables reliable transfer of data among end-users and provides protocols for controlling the resources of various network configurations. The SNA network consists of network addressable units (NAUs), boundary function components, and the path control network.

SNMP. Simple network management protocol

STI. Self-Timed Interconnect

STI-MP (Self-Timed Interconnect Multiplexer). For System z9, used for an I/O cage intraconnection.

STP. Server Time Protocol

storage. (1) A functional unit into which data can be placed, in which they can be retained, and from which they can be retrieved. (T) (2) The action of placing data into a storage device. (I) (A)

structure. A construct used by MVS to map and manage storage on a coupling facility. See cache structure, list structure, and lock structure.

subchannel. In 370-XA, ESA/390 modes, and z/Architecture modes, the facility that provides all of the information necessary to start, control, and complete an I/O operation.

subchannel number. A system-unique 16-bit value used to address a subchannel. See also channel path identifier, device identifier, and device number.
**subsystem.** A secondary or subordinate system, or programming support, usually capable of operating independently of or asynchronously with a controlling system. (T) See DASD subsystem, and storage subsystem.

**subsystem storage.** Synonym for cache.

**Support Element (SE).** (1) An internal control element of a processor that assists in many of the processor operational functions. (2) A hardware unit that provides communications, monitoring, and diagnostic functions to a central processor complex (CPC).

**synchronous data link control (SDLC).** A form of communication line control that uses commands to control data transfer over a communication line.

**Sysplex Timer.** An IBM unit that synchronizes the time-of-day (TOD) clocks in multiple processors or processor sides. External Time Reference (ETR) is the MVS generic name for the IBM Sysplex Timer.

**system.** Comprises the processor complex and all attached and configured I/O and communication devices.

**system area.** A logical area of central storage used to store Licensed Internal Code and control information (not addressable by application programs).

**Systems Network Architecture (SNA).** The description of the logical structure, formats, protocols, and operational sequences for transmitting information units through, and controlling the configuration and operation of, networks.

S/370. IBM System/370
S/390. IBM System/390®

**target logical partition.** In LPAR mode, the target logical partition is the LPAR that is selected on the System Monitor panel. It is the current or immediate LPAR; the LPAR that the operator is working with or looking at.

**target processor.** The processor that controls execution during a program restart, instruction trace, stand-alone dump, or IPL, and whose ID is identified by highlighting on the status line.

**TCP/IP.** Transmission Control Protocol/Internet Protocol

**TDES.** Triple Data Encryption Standard

**time-of-day (TOD) clock.** A system hardware feature that is incremented once every microsecond, and provides a consistent measure of elapsed time suitable for indicating date and time. The TOD clock runs regardless of whether the processor is in a running, wait, or stopped state.

**TKE.** Trusted Key Entry

**TOD.** Time of day

**token.** A sequence of bits passed from one device to another on the token-ring network that signifies permission to transmit over the network. It consists of a starting delimiter, an access control field, and an end delimiter. The access control field contains a bit that indicates to a receiving device that the token is ready to accept information. If a device has data to send along the network, it appends the data to the token. When data is appended, the token then becomes a frame.

**token-ring network.** (1) A ring network that allows unidirectional data transmission between data stations, by a token passing procedure, such that the transmitted data return to the transmitting station. (T) (2) A network that uses ring topology, in which tokens are passed in a circuit from node to node. A node that is ready to send can capture the token and insert data for transmission.

**Note:** The IBM token-ring network is a baseband LAN with a star-wired ring topology that passes tokens from network adapter to network adapter.

**TPF.** Transaction processing facility

**transaction processing.** In batch or remote batch processing, the processing of a job or job step. In interactive processing, an exchange between a terminal and another device that does a particular action; for example, the entry of a customer’s deposit and the updating of the customer’s balance.

**TSCE.** Target system control facility

**U**

**UCW.** Unit control word

**UPC.** Universal power controller

**UPS.** Uninterruptible power supply

**user interface.** Hardware, software, or both that allows a user to interact with and perform operations on a system, program, or device.

**V**

**VLAN.** Virtual Local Area Network

**VSE.** Virtual Storage Extended
W

WAC. Wide Area Connector (card)

**window.** (1) An area of the screen with visible boundaries through which information is displayed. A window can be smaller than or equal in size to the screen. Windows can overlap on the screen and give the appearance of one window being on top of another. (2) A choice in the action bar of some applications. Users select it to arrange the display of several windows or to change the active window. (3) A choice in the action bar of multiple-document interface applications. (4) A choice in an action bar that allows the user to arrange the display of all open windows and to change the active window. (5) A choice in an action bar of multiple-document interface applications that allows a user to arrange the display of all open windows and to change the active window.

**workstation.** (1) A functional unit at which a user works. A workstation often has some processing capability. (2) A terminal or microcomputer, usually one that is connected to a mainframe or network, at which a user can perform applications. (3) See also display station and terminal.

Z

**zAAP.** (1) System z Application Assist Processor, System z10 Application Assist Processor (2) A specialized processor that provides a Java execution environment, which enables Java-based web applications to be integrated with core z/OS business publications and backend database systems.

**zIIP.** (1) System z Integrated Information Processor, System z10 Integrated Information Processor. (2) A specialized processor that provides computing capacity for selected data and transaction processing workloads, and for selected network encryption workloads.

**z10 BC.** IBM System z10 Business Class server

**z10 EC.** IBM System z10 Enterprise Class server

**z800.** eServer zSeries 800

**z890.** eServer zSeries 890

**z900.** eServer zSeries 900

**z9 BC.** IBM System z9 Business Class server

**z9 EC.** IBM System z9 Enterprise Class server

**Numerics**

**370-XA.** IBM System/370 extended architecture
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